

November 9, 2009

VIA HAND DELIVERY

The Honorable Kimberly D. Bose
Secretary
Federal Energy Regulatory Commission
888 First Street NE
Washington, DC 20426

Re: *Southwest Power Pool, Inc.*, Docket No. ER10-_____
Submission of Changes to Pricing Zone Rates

Honorable Secretary Bose:

Pursuant to section 205 of the Federal Power Act (“FPA”), 16 U.S.C. § 824d, and section 35.13 of the Federal Energy Regulatory Commission’s (“Commission” or “FERC”) regulations, 18 C.F.R. § 35.13, Southwest Power Pool, Inc. (“SPP”) submits revised pages to its Open Access Transmission Tariff (“OATT” or “Tariff”) to implement a rate change for Oklahoma Gas and Electric Company (“OG&E”), which is a transmission owner and pricing zone under the SPP Tariff. SPP submits this filing to track the rate update calculated by OG&E based on its transmission cost of service formula rate. SPP requests an effective date of January 1, 2010 for the Tariff revisions submitted in this Filing. In support, SPP states the following:

I. Background

As a Regional Transmission Organization (“RTO”), SPP administers the provision of open access transmission service on a regional basis across the facilities of the SPP transmission owners.¹ SPP’s Tariff uses zonal rates where the rates for deliveries to points of delivery (“POD”) or load within the SPP region are based on the zone in which the POD or load is located.² Rates for through-and-out transactions are

¹ See *Sw. Power Pool, Inc.*, 89 FERC ¶ 61,084 (1999); *Sw. Power Pool, Inc.*, 86 FERC ¶ 61,090 (1999); *Sw. Power Pool, Inc.*, 82 FERC ¶ 61,267 (1998).

² See SPP Tariff, Schedules 7–9.

based on the zone from which the power exits the SPP transmission system.³ The transmission owner controls the determination of rate changes for its zone. SPP, in turn, in administering its Tariff, makes the filings necessary to incorporate any such rate changes in its Tariff.

OG&E, a wholly-owned subsidiary of OGE Energy Corp., is an electric public utility with plant, property, and other assets dedicated to the production, transmission, distribution, and sale of electric energy to wholesale and retail customers in Oklahoma and Arkansas. OG&E is a transmission-owning member of SPP. OG&E has implemented a transmission cost of service formula rate for transmission services over its facilities, which has been incorporated into the SPP Tariff.⁴

On April 24, 2009, OG&E submitted in Docket No. ER08-281-000 an Offer of Settlement (“Settlement”) to resolve all outstanding issues relating to its transmission cost of service formula rate. The Commission accepted the Settlement on June 25, 2009.⁵ OG&E’s updated charges under its revised formula take effect on January 1 each year.⁶ On September 16, 2009, SPP filed a motion with the Chief Administrative Law Judge (“ALJ”) requesting approval to implement the rates resulting from the Settlement under OG&E’s formula rate and protocols on an interim basis, with an effective date of June 25, 2009. The Chief ALJ granted the SPP’s motion on September 23, 2009.⁷

³ See *id.*

⁴ *Sw. Power Pool, Inc.*, 125 FERC ¶ 61,333 (2008); see also *Sw. Power Pool, Inc.*, Letter Order, Docket No. ER09-89-002 (Mar. 24, 2009) (accepting SPP’s subsequent compliance filing).

⁵ See *Okla. Gas & Elec. Co.*, 127 FERC ¶ 61,296 (2009). On September 24, 2009, SPP submitted a filing in Docket No. ER09-1740-000 (“September 24 Filing”) incorporating into SPP’s Tariff OG&E’s formula rate and protocols as revised by the Settlement. The September 24 Filing is currently pending before the Commission.

⁶ See Settlement at Exhibit A, OG&E Formula Rate Implementation Protocols, Section 1.2 (OG&E’s formula rate will be applicable to service on and after January 1 of each calendar year through December 31 of the same calendar year).

⁷ See *Okla. Gas & Elec. Co.*, Order of Chief Judge Granting Motion for Interim Rates, Docket No. ER08-281-000, at P 3 (Sept. 23, 2009) (“Chief ALJ Interim Rate Order”).

II. Description of Filing

SPP submits this filing to track the updated charges for transmission service applicable to OG&E's pricing zone, which is based on OG&E's formula rate for transmission services, as revised in the Settlement. SPP is submitting in this filing revised Tariff pages showing the changes to Attachment H updating the annual revenue requirement for Network Integration Transmission Service applicable to OG&E's pricing zone.⁸

In addition, pursuant to Section III.A of Attachment J of SPP's Tariff, the costs of OG&E's transmission facilities that qualify for Base Plan funding are partially spread throughout the SPP region.⁹ Specifically, if the cost of a transmission upgrade that qualifies as a Base Plan Upgrade exceeds \$100,000, one third of the cost of the upgrade is allocated to the entire SPP region in the form of a Base Plan Region-Wide ATRR in Attachment H of SPP's Tariff and a Base Plan Region-Wide Point-to-Point charge in Addendum 1 to Schedule 11 of SPP's Tariff.¹⁰ The remainder of the cost of the Base Plan Upgrade is allocated to the various SPP zones (based on each zone's incremental positive MW-mile benefits from the Base Plan Upgrade) in the form of a Base Plan Zonal ATRR in Attachment H of SPP's Tariff and a Base Plan Zonal Point-to-Point charge in Addendum 2 to Schedule 11 of SPP's Tariff.¹¹ The application of OG&E's formula rate necessitates adjustments to the Base Plan Zonal and Region-Wide ATRRs and Point-to-Point rates in Attachment H and Addendum 1 and 2 to Schedule 11 of SPP's Tariff, respectively.

SPP also includes in this filing: (a) spreadsheets specifying the base plan upgrades and economic upgrade credits, as well as the revenue requirement amounts and the region-wide and zonal allocation of the costs, as Exhibit III; (b) spreadsheets detailing the

⁸ These sheets are attached as Exhibit I, with a redlined version of the changed pages included as Exhibit II. SPP also notes that the submitted Tariff revisions include language that is currently pending before the Commission in other proceedings, which has not yet been accepted. This language is indicated in italics.

⁹ See SPP Tariff at Attachment J, Section III.A. In order to qualify as a Base Plan Upgrade, transmission facilities must satisfy specific criteria (detailed in Section III.B of Attachment J) or receive a waiver of such criteria from SPP (the conditions for which are specified in Section III.C of Attachment J).

¹⁰ See *id.* at Attachment J, Section III.A.2.i.

¹¹ See *id.* at Attachment J, Section III.A.2.ii. If the cost of the Base Plan Upgrade is less than or equal to \$100,000, the ATRR associated with the Base Plan Upgrade is allocated to the Base Plan Zonal ATRR of the zone in which the Base Plan Upgrade is located. See *id.* at Attachment J, Section III.A.1.

calculation of the revised and new regional and zonal Base Plan Point-to-Point Transmission Charges, as Exhibit IV; and (c) a spreadsheet detailing the billing determinants used to calculate the regional and zonal rates for Point-to-Point Transmission Service for each pricing zone, as Exhibit V.

III. Effective Date and Request for Waiver

SPP requests that the Commission accept the revisions submitted in this filing with an effective date of January 1, 2010. Pursuant to section 35.11 of the Commission's rules and regulations, 18 C.F.R. § 35.11, to the extent necessary, SPP requests a waiver of the Commission's 60-day notice requirement set forth at 18 C.F.R. § 35.3. Waiver is appropriate because an effective date of January 1, 2010 would allow OG&E's adjusted rates to become effective within SPP on the same day as they become effective under the Settlement.

IV. Additional Information

A. Information Required by Section 35.13 of the Commission's Regulations, 18 C.F.R. § 35.13:¹²

(1) Documents Enclosed:

In addition to this transmittal letter, the following items are included: (a) the revised tariff pages, as Exhibit I; (b) a redlined version of the revised pages, as Exhibit II; (c) spreadsheets specifying the base plan upgrades and economic upgrade credits, as well as the revenue requirement amounts and the region-wide and zonal allocation of the costs, as Exhibit III; (d) spreadsheets detailing the calculation of the revised and new regional and zonal Base Plan Point-to-Point Transmission Charges, as Exhibit IV; and (e) a spreadsheet detailing the billing determinants used to calculate the regional and zonal rates for Point-to-Point Transmission Service for each pricing zone, as Exhibit V.

(2) Effective Date:

As discussed above, SPP requests an effective date of January 1, 2010 for the Tariff changes submitted in this filing.

¹² Because SPP is merely seeking to implement rates for OG&E that have been authorized by the Chief ALJ Interim Rate Order, SPP is not submitting any cost of service information. As SPP serves only a ministerial function here, it is OG&E that bears the responsibility for justifying the rate filing. More detailed information with respect to OG&E's rates can be found in the Settlement.

(3) **Names and Addresses of Persons to Whom this Filing Has Been Mailed:**

A copy of this filing has been served on all SPP Members and Customers, as well as on affected state regulatory commissions. In addition, a copy of this filing will be posted on the SPP web page (www.spp.org).

(4) **Description of Filing:**

A description of the changes, along with the reasons for these changes, is provided above.

(5) **Requisite Agreements:**

None required.

(6) **Costs Alleged or Judged Illegal, Duplicative, or Unnecessary.**

None.

(7) **Basis of Rates, etc.:**

The basis of the proposed rates and charges proposed is explained above and in Exhibits III and V.

(8) **Specifically Assignable Facilities Installed or Modified:**

There are none.

B. Correspondence and Communications

Correspondence and communications with respect to this filing should be sent to, and SPP requests the Secretary to include on the official service list, the following:

Heather H. Starnes, J.D.
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V. Conclusion

For the reasons stated, SPP requests that the Commission accept the proposed revisions to the SPP Tariff to be effective January 1, 2010.

Respectfully submitted,



Wendy N. Reed
Matthew K. Segers

**Attorneys for Southwest Power
Pool, Inc.**

EXHIBIT I

Addendum 1 to Schedule 11
Rate Sheet for Region-Wide Point-to-Point Transmission Service

Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider each month for Reserved Capacity at the sum of the applicable charges set forth below:

1. Annual Rate: \$361.1624/MW of Reserved Capacity per year.
2. Monthly Rate: \$30.0969/MW of Reserved Capacity per month.
3. Weekly Rate: \$6.9454/MW of Reserved Capacity per week.
4. Daily Rate:
 - On-Peak: \$1.3891/MW of Reserved Capacity per day.
 - Off-Peak: \$0.9922/MW of Reserved Capacity per day.

Non-Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider for Non-Firm Point-To-Point Transmission Service up to the sum of the applicable charges set forth below:

1. Monthly Rate: \$30.0969/MW of Reserved Capacity per month.
2. Weekly Rate: \$6.9454/MW of Reserved Capacity per week.
3. Daily Rate:
 - On-Peak: \$1.3891/MW of Reserved Capacity per day.
 - Off-Peak: \$0.9895/MW of Reserved Capacity per day.
4. Hourly Rate:
 - On-Peak: \$0.0868/MW of Reserved Capacity per hour.
 - Off-Peak: \$0.0412/MW of Reserved Capacity per hour.

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American Electric Power – West Zone

Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider each month for Reserved Capacity at the sum of the applicable charges set forth below:

1. Yearly Rate: \$1,112.0935/MW of Reserved Capacity per year.
2. Monthly Rate: \$92.6745/MW of Reserved Capacity per month.
3. Weekly Rate: \$21.3864/MW of Reserved Capacity per week.
4. Daily Rate:
 - On-Peak: \$4.2773/MW of Reserved Capacity per day.
 - Off-Peak: \$3.0552/MW of Reserved Capacity per day.

Non-Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider for Non-Firm Point-To-Point Transmission Service up to the sum of the applicable charges set forth below:

1. Monthly Rate: \$92.6745/MW of Reserved Capacity per month.
2. Weekly Rate: \$21.3864/MW of Reserved Capacity per week.
3. Daily Rate:
 - On-Peak: \$4.2773/MW of Reserved Capacity per day.
 - Off-Peak: \$3.0468/MW of Reserved Capacity per day.
4. Hourly Rate:
 - On-Peak: \$0.2673/MW of Reserved Capacity per hour.
 - Off-Peak: \$0.1270/MW of Reserved Capacity per hour.

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Oklahoma Gas & Electric Zone

Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider each month for Reserved Capacity at the sum of the applicable charges set forth below:

1. Yearly Rate: \$389.0280/MW of Reserved Capacity per year.
2. Monthly Rate: \$32.4190/MW of Reserved Capacity per month.
3. Weekly Rate: \$7.4813/MW of Reserved Capacity per week.
4. Daily Rate:
 - On-Peak: \$1.4963/MW of Reserved Capacity per day.
 - Off-Peak: \$1.0688/MW of Reserved Capacity per day.

Non-Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider for Non-Firm Point-To-Point Transmission Service up to the sum of the applicable charges set forth below:

1. Monthly Rate: \$32.4190/MW of Reserved Capacity per month.
2. Weekly Rate: \$7.4813/MW of Reserved Capacity per week.
3. Daily Rate:
 - On-Peak: \$1.4963/MW of Reserved Capacity per day.
 - Off-Peak: \$1.0658/MW of Reserved Capacity per day.
4. Hourly Rate:
 - On-Peak: \$0.0935/MW of Reserved Capacity per hour.
 - Off-Peak: \$0.04441/MW of Reserved Capacity per hour.

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Southwestern Public Service Zone

Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider each month for Reserved Capacity at the sum of the applicable charges set forth below:

1. Yearly Rate: \$213.0025/MW of Reserved Capacity per year.
2. Monthly Rate: \$17.7502/MW of Reserved Capacity per month.
3. Weekly Rate: \$4.0962/MW of Reserved Capacity per week.
4. Daily Rate:
 - On-Peak: \$0.8192/MW of Reserved Capacity per day.
 - Off-Peak: \$0.05852/MW of Reserved Capacity per day.

Non-Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider for Non-Firm Point-To-Point Transmission Service up to the sum of the applicable charges set forth below:

1. Monthly Rate: \$17.7502/MW of Reserved Capacity per month.
2. Weekly Rate: \$4.0962/MW of Reserved Capacity per week.
3. Daily Rate:
 - On-Peak: \$0.8192/MW of Reserved Capacity per day.
 - Off-Peak: \$0.5836/MW of Reserved Capacity per day.
4. Hourly Rate:
 - On-Peak: \$0.05120/MW of Reserved Capacity per hour.
 - Off-Peak: \$0.02432/MW of Reserved Capacity per hour.

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Westar Energy, Inc. Zone

Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider each month for Reserved Capacity at the sum of the applicable charges set forth below:

1. Yearly Rate: \$2,868.2633/MW of Reserved Capacity per year.
2. Monthly Rate: \$239.0219/MW of Reserved Capacity per month.
3. Weekly Rate: \$55.1589/MW of Reserved Capacity per week.
4. Daily Rate:
 - On-Peak: \$11.0318/MW of Reserved Capacity per day.
 - Off-Peak: \$7.8798/MW of Reserved Capacity per day.

Non-Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider for Non-Firm Point-To-Point Transmission Service up to the sum of the applicable charges set forth below:

1. Monthly Rate: \$239.0219/MW of Reserved Capacity per month.
2. Weekly Rate: \$55.1589/MW of Reserved Capacity per week.
3. Daily Rate:
 - On-Peak: \$11.0318/MW of Reserved Capacity per day.
 - Off-Peak: \$7.8583/MW of Reserved Capacity per day.
4. Hourly Rate:
 - On-Peak: \$0.6895/MW of Reserved Capacity per hour.
 - Off-Peak: \$0.3274/MW of Reserved Capacity per hour.

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Western Farmers Electric Cooperative Zone

Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider each month for Reserved Capacity at the sum of the applicable charges set forth below:

1. Yearly Rate: \$392.4384/MW of Reserved Capacity per year.
2. Monthly Rate: \$32.7032/MW of Reserved Capacity per month.
3. Weekly Rate: \$7.5469/MW of Reserved Capacity per week.
4. Daily Rate:
 - On-Peak: \$1.5094/MW of Reserved Capacity per day.
 - Off-Peak: \$1.0781/MW of Reserved Capacity per day.

Non-Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider for Non-Firm Point-To-Point Transmission Service up to the sum of the applicable charges set forth below:

1. Monthly Rate: \$32.7032/MW of Reserved Capacity per month.
2. Weekly Rate: \$7.5469/MW of Reserved Capacity per week.
3. Daily Rate:
 - On-Peak: \$1.5094/MW of Reserved Capacity per day.
 - Off-Peak: \$1.0752/MW of Reserved Capacity per day.
4. Hourly Rate:
 - On-Peak: \$0.0943/MW of Reserved Capacity per hour.
 - Off-Peak: \$0.0448/MW of Reserved Capacity per hour.

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ATTACHMENT H
Annual Transmission Revenue Requirement For Network Integration
Transmission Service

SECTION I: General Requirements

1. The Zonal Annual Transmission Revenue Requirement within each Zone for purposes of determining the charges under Schedule 9, Network Integration Transmission Service, is specified in Column (3) of Table 1. The Base Plan Zonal Annual Transmission Revenue Requirement used to determine the zonal charges under Schedule 11 is specified in Column (4) of Table 1. The amount of Zonal Annual Transmission Revenue Requirement and Base Plan Zonal Annual Transmission Revenue Requirement that is included in Columns (3) and (4) and reallocated to the Region-wide Annual Transmission Revenue Requirement, in accordance with Attachment J, is specified in Column (5) of Table 1.

Table 1

(1) Zone	(2)	(3) Zonal ATRR	(4) Base Plan Zonal ATRR	(5) ATRR Reallocate d to Balanced Portfolio Region- wide ATRR
1	American Electric Power –West (Total)	\$150,913,384	\$8,401,901	\$0
	American Electric Power (Public Service Company of Oklahoma and Southwestern Electric Power Company) See Section II.3	\$147,162,500		
	East Texas Electric Cooperative, Inc.	\$2,733,879		
	Tex-La Electric Cooperative of Texas, Inc.	\$588,874		
	Deep East Texas Electric Cooperative, Inc.	\$428,131		
2	Reserved for Future Use			
3	City Utilities of Springfield, Missouri	\$8,651,509	(\$5,500)	\$0
4	Empire District Electric Company	\$14,075,000	(\$18,001)	\$0
5	Grand River Dam Authority (Est.)	\$24,589,256	(\$92,135)	\$0
6	Kansas City Power & Light Company	\$35,461,776	\$605,432	\$0
7	Oklahoma Gas & Electric Zone	\$81,045,221	\$1,906,234	\$0

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8	Midwest Energy, Inc.	\$4,197,347	\$97,711	\$0
9	Aquila Networks-MPS/L&P (Total)	\$20,759,283	\$126,874	\$0
9a	Aquila Networks-MPS	\$14,059,183		
9b	Aquila Networks-L&P	\$6,700,100		
10	Southwestern Power Administration	\$9,431,500	\$0	\$0
11	Southwestern Public Service	\$91,414,185	\$848,815	\$0
12	Sunflower Electric Corporation	\$14,484,045	\$171,959	\$0
13	Western Farmers Electric Cooperative	\$20,719,639	\$418,045	\$0
14	Westar Energy, Inc. (Kansas Gas & Electric and Westar Energy)	\$115,503,530	\$11,225,522	\$0
15	Mid-Kansas Electric Cooperative (Total)	\$6,620,370	\$302,858	\$0
15a	Mid-Kansas Electric Cooperative	\$5,947,002	\$302,858	
15b	ITC Great Plains	\$673,368	\$0	
16	Lincoln Electric System	\$14,168,176	\$0	\$0
17	Nebraska Public Power District	See Section II.4	\$407,337	\$0
18	Omaha Public Power District	\$35,176,688	\$462,856	\$0
19	Total			\$0

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2. For the purposes of determining the Region-wide Charges under Schedule 11, the Region-wide Annual Transmission Revenue Requirement, as shown in Line 3 of Table 2, shall be the sum of (i) the Base Plan Region-wide Annual Transmission Revenue Requirement, and (ii) the total Balanced Portfolio Region-wide Annual Transmission Revenue Requirements.

Table 2

1	Base Plan Region-wide ATRR	\$12,203,782
2	Total Balanced Portfolio Region-wide ATRR Total, Column (5), Table 1	\$0
3	Region-wide ATRR (Line 1 + Line 2)	\$12,203,782

3. The revenue requirements stated in this Attachment H shall not be changed absent a filing with the Commission, accompanied by all necessary cost support.
4. New or amended revenue requirements in this Attachment H shall not be filed with the Commission by the Transmission Provider unless such revenue requirements have been provided by or for a Transmission Owner. Such revenue requirements shall have been accepted or approved by the applicable regulatory or governing authority except in the event of a simultaneous filing with the Commission by the Transmission Owner and Transmission Provider.
5. If a Transmission Owner has a Commission approved formula rate, the successful completion of its approved annual formula rate update procedures shall constitute regulatory acceptance sufficient to authorize the Transmission Provider to file with the Commission to update that Transmission Owner's revenue requirements. The Transmission Provider shall follow any special procedures related to updating a Transmission Owner's revenue requirements as outlined in Section II of this Attachment.
6. The Transmission Provider shall allocate the accepted or approved revenue requirement associated with a Base Plan Upgrade, in accordance with Attachment J to this Tariff, to the Base Plan Region-wide Annual Transmission Revenue Requirement in Table 2 above and to the appropriate Base Plan Zonal Annual Transmission Revenue Requirements in Column (4) of Table 1 above.

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EXHIBIT II

Addendum 1 to Schedule 11
Rate Sheet for Region-Wide Point-to-Point Transmission Service

Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider each month for Reserved Capacity at the sum of the applicable charges set forth below:

1. Annual Rate: ~~\$361.1624~~~~\$360.8182~~/MW of Reserved Capacity per year.
2. Monthly Rate: ~~\$30.0969~~~~\$30.06282~~/MW of Reserved Capacity per month.
3. Weekly Rate: ~~\$6.9454~~~~\$6.9388~~/MW of Reserved Capacity per week.
4. Daily Rate:
 - On-Peak: ~~\$1.3891~~~~\$1.3878~~/MW of Reserved Capacity per day.
 - Off-Peak: ~~\$0.9922~~~~\$0.9913~~/MW of Reserved Capacity per day.

Non-Firm Point-to-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider for Non-Firm Point-To-Point Transmission Service up to the sum of the applicable charges set forth below:

1. Monthly Rate: ~~\$30.0969~~~~\$30.0682~~/MW of Reserved Capacity per month.
2. Weekly Rate: ~~\$6.9454~~~~\$6.9388~~/MW of Reserved Capacity per week.
3. Daily Rate:
 - On-Peak: ~~\$1.3891~~~~\$1.3878~~/MW of Reserved Capacity per day.
 - Off-Peak: ~~\$0.9895~~~~\$0.9885~~/MW of Reserved Capacity per day.
4. Hourly Rate:
 - On-Peak: ~~\$0.0868~~~~\$0.0867~~/MW of Reserved Capacity per hour.
 - Off-Peak: ~~\$0.0412~~~~\$0.0412~~/MW of Reserved Capacity per hour.

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