

Member Impacting Project Overview Integrated Marketplace Regulatory Workstream

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Version Control

Version	Date	Author	Change Description
1.0	7/18/2011	Beth Miller	Initial Version

Executive Summary

The Regulatory/Tariff and Compliance Workstream scope includes creating and managing all necessary NERC, FERC and state documents and filings related to the Integrated Marketplace and the Consolidated Balancing Authority ("CBA"). It is responsible for coordinating revisions to all SPP Governing documents and continually reviewing documents to ensure consistency and compliance. It also ensures all appropriate approvals from FERC, NERC, and state regulatory authorities, including CBA Registration and Certification, are secured for timely implementation and participation. Additionally, the Regulatory Staff provides communication and education outreach for market participants and regulatory authorities.

As part of planning for the Integrated Marketplace changes, an ad-hoc task force was created with senior representatives for the MWG and RTWG to help develop the initial draft language, review it, communicate it across the stakeholder groups and support it through the stakeholder process.

This MIPO will focus on the changes being made to the language in SPPs governing documents that may impact member business processes or systems. The workplan includes the process of developing, revising and approving draft language for SPPs Governing documents, which include; the SPP Tariff, Membership Agreement, and Business Practices. It encompasses overseeing the revised draft language as it moves through the stakeholder process, through MOPC and BOD approval, and through the FERC lifecycle until final approval.

Business Impact

The impact is to the members that need to revise their business processes or systems based on the governing document changes of the Integrated Marketplace.

Users Impacted

TBD

Business Functions Impacted

TBD

Technical Impact

SPP Systems/Processes Impacted

This workplan does not have direct oversight of the system changes that will be required based on the Integrated Marketplace implementation; however, it provides the timeline of the Regulatory filings that need to be made in order for a member to participate in the Integrated Marketplace.

The primary change is to Attachment AE which replaces the EIS Market with the Integrated Marketplace.

Anticipated Member Systems/Processes Impacted

Not Applicable

Member Requirements

Testing

Not Applicable

Training

TBD

Implementation/Back-out Plan

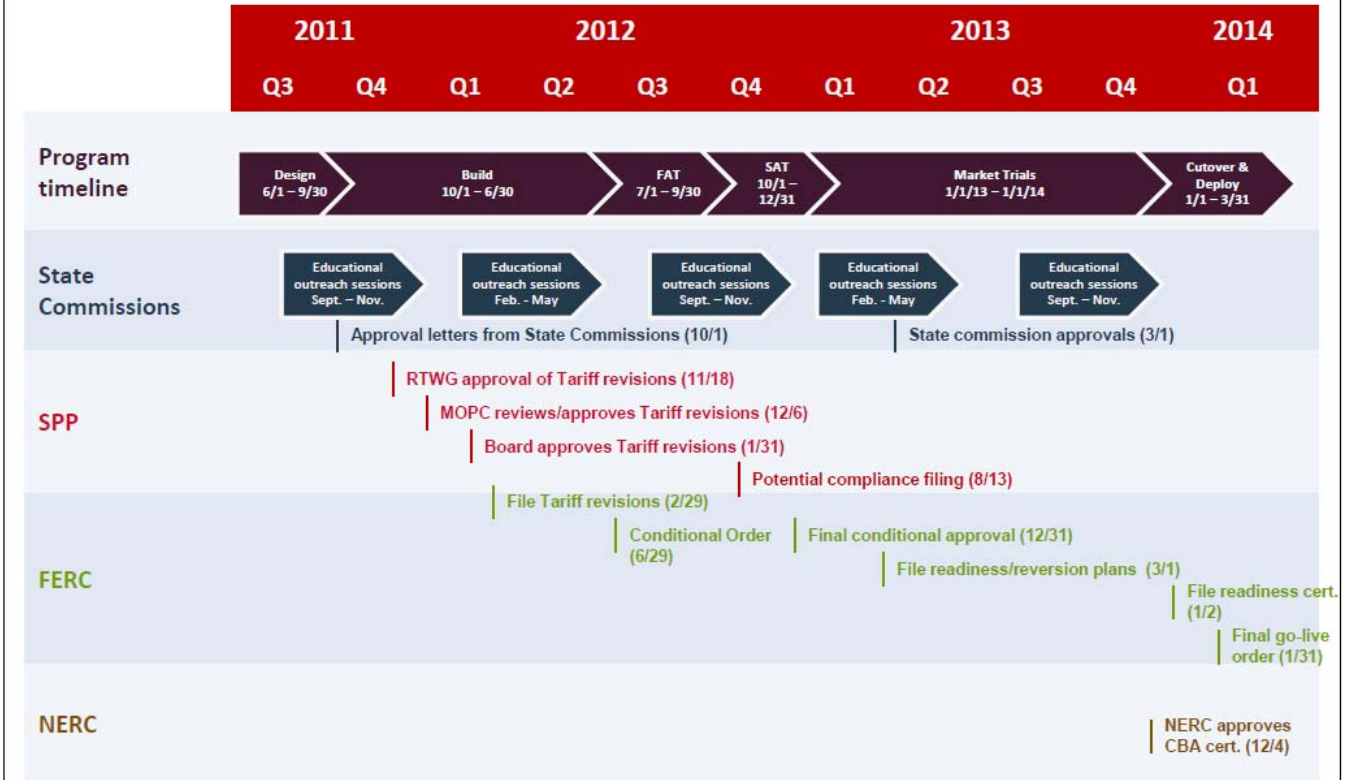
Not Applicable

Summary of Timeline

Date	Responsible Party	Action
7/29/2011	JMTTF	JMTTF completes reviews of revised draft language, excluding CBA, Credit, and Membership Agreement
8/4/2011	SPP Regulatory	Post revised draft OATT language, excluding CBA, Credit, Reserve Sharing, and the Membership Agreement to the stakeholder groups' (MWG and RTWG) website for review
8/23/2011	RTWG/MWG	JMTTF begins presenting revised draft language to the working groups. This joint meeting will walk through all of Attachment AE, and other Tariff revisions for Integrated Marketplace
8/25/2011	RTWG	1 st Tariff Review Meeting – Attachment AE: Obligations, Pre-Day-Ahead, Day-Ahead, and Real Time
9/8/2011	RTWG	Conference Call for Review, Clean-up and tentative approval – Attachment AE: Obligations, Pre-Day-Ahead, Day-Ahead, Real-Time
9/15/2011	RTWG	Conference Call for Review, Clean-up and approval – Attachment AE: Obligations, Pre-Day-Ahead, Day-Ahead, Real-Time
9/19/2011	SPP Regulatory	Release of Tariff Revisions for MOPC Review – Attachment AE: Obligations, Pre-Day-Ahead, Day-Ahead, Real-Time
9/29 - 9/30/2011	RTWG	2 nd Tariff Review Meeting – Attachment AE: ARR/TCR, Settlements, Body of Tariff & Affected Attachments
10/11/2011	SPP Regulatory /MOPC	MOPC Update – Regular Quarterly Meeting- Pre-MOPC workshop will review tariff modification process and roadmap
10/14/2011	RTWG	Tentative follow-up Conference Call for Review, Clean-up and tentative approval - Attachment AE: ARR/TCR, Settlements, Body of Tariff & Affected Attachments
10/20/2011	RTWG	Tentative follow-up Conference Call for Review, Clean-up and approval - Attachment AE: ARR/TCR, Settlements, Body of Tariff & Affected Attachments

10/24/11	SPP REgulatory	Release of Tariff Revisions for MOPC Review- Attachment AE: ARR/TCR, Settlements, Body of Tariff & Affected Attachments
11/3-11/4/2011	RTWG	3 rd Tariff Review Meeting – Credit Policy, Balancing Authority Agreement, Reserve Sharing Agreement, Definitions (Att AE and Tariff)
11/17 – 11/18/2011	RTWG	Conference Call for Review, Clean-up and approval – Credit Policy, Balancing Authority Agreement, Reserve Sharing Agreement, Definitions (Att AE and Tariff)
11/21/11	SPP Regulatory	Release of Tariff Revisions for MOPC Review – All Integrated Marketplace Tariff changes
12/6/2011	SPP Regulatory /MOPC	MOPC Meeting – Special meeting for review and approval
12/8/2011	RTWG	Post MOPC Review Meeting
1/17/2012	SPP Regulatory /MOPC	MOPC – Regular Quarterly meeting – Further review after 12/8 RTWG meeting if necessary
1/31/2012	BOD	Regular Quaterly Meeting – Review and Approval for Tariff Filing
2/29/2012	SPP Regulatory	Initial Tariff Filing submitted to FERC
6/30/2012	SPP Regulatory	Conditional FERC Order Received for Initial Tariff Filing (likely subject to compliance filings)
12/31/2012	SPP Regulatory	FERC Approval Order Received for Tariff Filing (subject to future conditions, filings, etc. up to implementation)

Integrated Marketplace: Regulatory Timeline



Assumptions

TBD

Risks

TBD

Additional Documentation

TBD

Communication Plan

All project communication and information will be posted to the SPP Change Working Group Project Documentation folder. This MIPO will be updated upon change or with any new information, a redline version posted to the project documentation folder, and the CWG notified.

Next Steps

Action	Assignee	Status & Due Date
Send project communication and identify liaison at member/participant companies as necessary	CWG Staff Secretary	
Response due?	CWG Representative	
MIPO Review Meeting?	Project Team/Liaison	

FAQs

Frequently asked questions will be added to the document as identified or necessary.