



Southwest Power Pool, Inc.
OVERSIGHT COMMITTEE MEETING
December 12, 2011
SPP Offices, Little Rock, AR

• A G E N D A •

8:30 a.m. – 2:00 p.m. CST

- 1. Call to Order/Administrative ItemsJosh Martin
- 2. Action Items Report..... Stacy Duckett
- 3. Update on Current Activities
 - a. Internal AuditLauren Krigbaum
 - b. Compliance Philip Propes
 - c. Market Monitoring UnitAlan McQueen
- 4. Integrated Marketplace Update
 - a. Boston Pacific..... Craig Roach
 - b. Internal AuditLauren Krigbaum
- 5. 2011 State of the Market Report Plan.....Alan McQueen
- 6. Looking Forward Report Status Craig Roach
- 7. 2012 Boston Pacific Contract..... Craig Roach/Stacy Duckett
- 8. 2012 Audit Plan Lauren Krigbaum
- 9. NERC Registration Process..... Philip Propes
- 10. New Action Items Stacy Duckett
- 11. Future Meetings Josh Martin

2012

| | |
|--------------|------------------------------------|
| March 29 | Washington D.C. |
| June 4 | Little Rock |
| September 27 | TBD |
| December 10 | Dallas (day prior to BOD Workshop) |

Executive Session

Southwest Power Pool
OVERSIGHT COMMITTEE MEETING

September 29, 2011

Hilton Chicago O'Hare, Chicago, Illinois

• M I N U T E S •

Agenda Item 1 – Administrative Items

SPP Chair Josh Martin called the meeting to order at 8:30 a.m. The following members were in attendance: Josh Martin (Director), Julian Brix (Director) and Phyllis Bernard (Director). Staff in attendance included Stacy Duckett, Alan McQueen, Lauren Krigbaum, and Philip Propes. Others in attendance included Craig Roach and Vincent Musco (Boston Pacific).

Mr. Martin referred to draft minutes of the June 13, 2011 meeting and asked for corrections or a motion for approval (6/13/11 Minutes – Attachment 1). Phyllis Bernard moved for approval; Julian Brix seconded the motion. The motion passed unanimously.

Agenda Item 2 – Review of Past Action Items

Stacy Duckett reviewed the Action Items Report noting several items to be addressed at this meeting (Action Items - Attachment 2). Ms. Duckett noted that the Standards of Conduct will be revised to clarify application of it post employment.

Agenda Item 3 – Update on Current Activities

Compliance

Philip Propes updated the Committee on various Compliance activities (Compliance Report – Attachment 3). In particular, member outreach has been substantial and is receiving good response. The group is also continuing its coordination with the Standards Development Group to alert entities to activities related to new and revised standards. They are also coordinating with the Regional Entity (RE) as appropriate. In addition to other activities, the group has been working with the Consolidated Balancing Authority Steering Committee (CBASC) to address NERC registration when the Consolidated Balancing Authority goes live. Of special note, the Compliance Department organized Generating Availability Data System (GADS) training in Little Rock for members that allowed them to attend free of charge. Phyllis Bernard asks that Compliance be a more visible choice on the corporate website.

Mr. Propes then reviewed the current status of NERC's Compliance Application Notice (CAN) process. Further updates will be provided as needed.

Market Monitoring Unit

Alan McQueen reviewed the activity report for the Market Monitoring Unit (MMU), including a staffing and organizational update to meet expected needs with the Integrated Marketplace (MMU Report & Org Chart – Attachment 4). Mr. McQueen then updated the Committee on recent discussions with the FERC Office of Enforcement and its expectations regarding reporting and how the MMU is responding. The Committee asked for continuous reporting on these discussions. Mr. McQueen provided some highlights of the Integrated Marketplace (IM) project support.

Internal Audit

Lauren Krigbaum updated the Committee on activities in Internal Audit (IA) during the last quarter (IA Report – Attachment 5). Ms. Krigbaum reviewed the current audit schedule, noting changes occurring as a result of other higher priorities and/or requests to re-schedule. Ms. Bernard noted appreciation for the monthly construction reports. The Corporate Campus Migration Project will be added to the report starting in the third quarter. The external auditors will be on site in October for Phase II of the SSAE16 audit. Ms. Krigbaum highlighted particular audits and addressed questions from the Committee. She presented the Off We Go, LLC expenses to the Committee for review and approval, as required.

Agenda Item 5 – 2012 Audit Plan

Lauren Krigbaum presented the draft 2012 Audit Plan; a final schedule will be presented at the December meeting. Primary focus for 2012 is the Integrated Marketplace and the Corporate Campus Migration Project, even in other general audits. The audits have been scheduled to focus on higher risk areas and consider project schedules and dependencies. She then asked the Committee for any additional recommendations for audits. The audit directors from the RTOs meet twice per year, serving as a good resource for additional guidance.

The Committee noted its concern with third party participation in the Integrated Marketplace and its satisfaction currently that the IA and the MMU will be providing oversight of market behavior.

Agenda Item 6 – Member Outreach Report

Philip Propes presented the Member Outreach Support Report, and will provide similar reports at future meetings (Member Outreach Report – Attachment 6). The Committee noted their individual participation in the Compliance calls and conveyed appreciation and a sense that they are going very well. Mr. Propes reviewed in more detail the Evidence Review Schedule for 2011 and how those reviews are conducted. The Member Compliance Group had its first meeting in September. This group will serve as a liaison group with the RE to provide feedback on processes and standards interpretation. Interest is high among the members. Plans are for the group to convene four times per year. All of this support contributes to a company's "culture of compliance." Compliance will raise this point with members to confirm SPP's ability and willingness to provide any documentation that would be helpful. The Committee asked to include this report with the Oversight Committee report at the October Board meeting.

Agenda Item 7 – 2011 State of the Market Report Plan

Alan McQueen presented the development plan for the 2011 State of the Market Report (2011 SOM Report – Attachment 7). This includes potential new metrics to be included in the report. The group plans improvements to the report based on its review and further assessments of other SOM Reports, Boston Pacific's review and other sources.

Agenda Item 8 – Behavioral Analysis Process

Alan McQueen provided a report on the MMU's Behavioral Analysis Process, describing the ways in which the group observes and analyses anomalies in market activities and operations (Behavioral Analysis Process – Attachment 8). This process also includes considerable interaction with the Market Working Group (MWG), reviewing changes as proposed, and also recommending changes based on the MMU's own observations.

Agenda Item 4 – Integrated Marketplace Update

Boston Pacific

Craig Roach introduced reports on Boston Pacific's (BP) activities related to the Integrated Marketplace (IM), as well as on equity issues related to cost allocation. Vince Musco provided detailed reports. Regarding the IM, BP raised no concerns with plans or processes in place associated with tariff implementation, software implementation or training of market participants.

Regarding equity in cost allocation, BP has been observing the Regional Allocation Review Task Force (RARTF) meetings to prepare for assessing its work/proposal. Once the proposal is finalized, BP will provide its assessment to the Oversight Committee.

Internal Audit

Lauren Krigbaum reviewed the role of the IA as part of the IM project. Nick Brown has requested IA provide oversight/reporting as it is on the construction project. This will provide independent reporting to the CEO regarding the project, including planning, deliverables and budget. Josh Martin asked whether this

Oversight Committee Meeting
September 29, 2011

potentially impacts the quality of the IA's other work; Lauren does not think so as this review can be coordinated with other audits already planned.

MMU Review Approach

Alan McQueen noted the MMU's role in design to date, and then its on-going role in system development. The group's focus is on further review of the design for either recommended changes or monitoring metrics to implement.

Agenda Item 9 – New Action Items

Stacy Duckett reviewed new Action Items:

1. Philip Propes: Examine adding a button on the SPP website homepage for Compliance.

Agenda Item 9 – Future Meetings

Josh Martin noted future meetings. The next scheduled meeting is December 12 in Little Rock.

The meeting adjourned to Executive Session at 1:45 p.m.

Executive Session

1. Alan McQueen discussed requirements for independence for the MMU. A position paper is to be developed for consideration and approval outlining MMU's independence.
2. The Committee provided direction to Stacy Duckett on a 2012 contract with Boston Pacific.

The Executive Session adjourned at 2:30 p.m.

Respectfully Submitted,

Stacy Duckett
Secretary



Southwest Power Pool, Inc.
OVERSIGHT COMMITTEE
Pending Action Items Status Report
December 12, 2011

| Action Item | Date Originated | Status | Comments |
|---|--------------------|-----------|--|
| Affirm Standards of Conduct are binding post-employment | June 13, 2011 | Pending | Update at December 12 meeting; draft in process |
| Process/approach to "challenge" market design | June 13, 2011 | Completed | Reported at September 29 meeting |
| Research CANs process v. rule-making requirements | June 13, 2011 | Completed | See "CAN Update" report from September 29 meeting |
| Outline of 2011 State of the Market Report review | June 13, 2011 | Completed | Presented at September 29 meeting; further update at December 12 meeting |
| Means to reflect market maturity and benefits to region | June 13, 2011 | Pending | Status update at December 12 meeting |
| Plan to expand annual market participant reviews | June 13, 2011 | Pending | Status update at December 12 meeting |
| Examine adding "Compliance" tab to SPP website | September 29, 2011 | Completed | Tab is now available on spp.org |
| Coordinate with Boston Pacific for contract for 2012 | September 29, 2011 | Pending | To be presented at December 12 meeting. |



Southwest Power Pool, Inc.
INTERNAL AUDIT
Report to the Oversight Committee
December 12, 2011

Staffing

- The Internal Audit department is fully staffed.
- An incremental headcount position is included in the 2012 budget. The anticipated onboarding date for the position is March 2012. This position will support the increasing internal audit work created by the continued growth of SPP and an increasing number of special requests (e.g. Assisting the external auditors during the controls audit, assisting the Compliance group in the performance of "on-site"/documentation reviews of members and customers as part of SPP's member outreach program for compliance support and special review requests received from the Oversight Committee, Finance Committee and/or SPP Senior Management).

Activity Update

- Updated 2011 and 2012 Audit Schedule (see attached)
- Audits Completed Since Last Meeting:
 - **ICT Quarterly Assessment (Q2 2011)**

The ICT (with the assistance of SPP Internal Audit) conducted a quarterly assessment of the Entergy Available Flowgate Capability (AFC) data retention processes. The purpose of the quarterly assessment was to examine the regular AFC and Weekly Procurement Process (WPP) data retention processes and investigate the FERC Lost, Inaccurate or Mishandled submissions submitted since the last assessment. The ICT also reviewed the pending recommendations and issues from the May 2011 assessment.

See attached for summary.

- **New Facilities Program (Construction and Migration)**

Internal Audit completed a review of the New Facilities Program (Construction and Migration) process for the period August 30, 2011 through October 31, 2011. The purpose of this review was to determine the established processes are followed, including adequate controls to mitigate risks and to identify any gaps. The review also included an assessment of the status of project budgets and construction schedule.

See attached for summary.

- **HR Compliance - Hiring Practices/HIPAA/Terminations; Background, Credit Checks**

Internal Audit completed a review of SPP's Human Resources (HR) processes related to employee hiring and termination activities. IA did not review promotion/transfer activities, contractor services, or compliance training (ethics, security awareness, or harassment). The purpose of this review was to assess the HR compliance processes and policies around hiring and termination practices to determine that they are being administered with due diligence and are compliant with federal, state, and internal regulations/policies. IA reviewed HR to determine the processes as performed: are supported by up-to-date and complete process documentation with sufficient information to support and serve the process purpose and deliverables; includes adequate controls to mitigate risks; and does not have any identifiable process and/or control gaps.

See attached for summary.

- **Ethics and Code-of-Conduct Affirmation/Reaffirmation Training**

The Internal Audit department completed a review of Southwest Power Pool, Inc.'s (SPP) employee affirmations for the ethics and standards of conduct training. SPP requires all employees to complete a training course designed to increase awareness and knowledge of ethical behavior and legal compliance in the workplace. The purpose of this review was to determine that procedures performed for the implementation, monitoring and administration of the 2011 ethics and standards of conduct training are executed during the new hire and annual employee reaffirmation processes.

See attached for summary.

- **Off We Go LLC (Costs and Consumables)**

The Internal Audit department completed a review of costs and consumables in support of the December 2011 rate adjustment charged for use of the Off We Go, LLC private aircraft. The review was completed, in part; to fulfill Southwest Power Pool, Inc.'s (SPP) commitment to FERC Office of Enforcement's recommendation that SPP should *"perform an analysis to validate the fairness and reasonableness of any cost factors submitted by the CEO and CFO for purposes of reimbursement."*

See attached for summary.

- **Off We Go LLC (Invoices)**

The Internal Audit department completed a review of invoices, payments and supporting documentation for SPP corporate travel on the Off We Go, LLC private aircraft for the period June 1, 2011 through October 31, 2011.

See attached for summary.

- Reviews Currently in Process:

- **CIP Review**

The purpose of this review is to assess SPP's compliance with the requirements set forth by NERC standard CIP-003-3 which is focused on Cyber Security — Security Management Controls. The objective is to determine where process deficiencies and gaps exist in SPP's current security management controls, security management policy and procedures, and security management operations (the day-to-day business practices) as they relate to the requirements mandated by CIP-003-3. The review is in the testing phase.

- **Procurement (Bidding/Purchasing)**

The objective of this review is to evaluate the adequacy and efficiency of current procurement policies and procedures, including unbudgeted expenditures, as related to: adequate documentation in support of the procurement process, objectivity and transparency of the vendor selection process, adequate documentation in support of the receipt of goods and services process, and recordation in the general ledger. The review is in the reporting phase.

- **ICT Quarterly Assessment (Q3 2011)**

The purpose of the quarterly assessment is to examine the regular AFC and Weekly Procurement Process (WPP) data retention processes and investigate the FERC Lost, Inaccurate or Mishandled submissions submitted since the last assessment. The review is in the testing phase.

- **Accounts Payable**

The purpose of this review is to evaluate the process and controls around SPP Accounts Payable, to provide reasonable assurance the process is efficient and that controls are sufficient to adequately safeguard SPP's resources. The review is in the planning phase.

- **Benefits Administration**

The purpose of this review is to evaluate the HR Benefit Administration process to determine compliance with state and federal regulations, SPP's policies, and industry standards; as well as identify any areas of process improvements. IA is excluding health insurance (medical, dental, vision, prescription), voluntary life insurance employee paid, retirement plans, and employee time off (holidays, vacation, personal time off). The review is in the reporting phase.

- **Expense Reports**

The purpose of this review is to evaluate the efficiency and effectiveness of processes and controls for the SPP's expense reporting process. This review will focus on manual and credit card expense reports including the processes for submission, approval, review and posting. The review is in the reporting phase.

- **SPP 457 Plans (457(b) and 457(f))**

The purpose of this review is to evaluate SPP's 457 Plans (457(b) and 457(f)) to determine that they are being administered with due diligence and are compliant with plan documentation and with federal and state regulations. The review is in the testing phase.

- **NNL-to-MOS Interface**

The purpose of this review is to evaluate the efficiency and effectiveness of processes and controls for the SPP's NNL-to-MOS process. The review is in the testing phase.

- **Loan Covenants**

The purpose of this review is to evaluate the efficiency and effectiveness of processes and controls around SPP's measurement, reporting and forecasting of the loan covenant (lending agreement compliance) levels. The review is in the planning phase.

- **Upcoming Reviews/Audits:**

- Active Directory
- ComplianceLine Administration (follow-up)
- Database Audit – Oracle and SQL
- IT Patching Process
- Real-Time Application Support
- System Planning (Long term and short term)
- Contracts
- Digital Certificate Management
- EMC Documentum
- Reserve Sharing Agreements
- New Facilities Program (Construction and Migration)



- Invoicing/Cash Collections/Payout
- Selected Systems (Abra)
- Server Audit
- Other Activities
 - Staff continues to engage in Integrated Marketplace discussions; bringing perspective of internal controls, SSAE 16 and business. In the process of completing internally provided training courses.
 - Continued advising business owners concerning the re-engineering of the non-employee management process.
 - SSAE 16-related work:
 - Completion of continuous, periodic audits
 - Phase 2 of 2011 audit
 - Two personnel assigned to perform "contract" work for external audit firm during on-site audit testing.
 - Facilitated/coordinated management's response to potential exceptions.
 - Reporting for 2011 audit
 - Update narrative portion of report for changes
 - Facilitate/coordinate management response section of report

Respectfully submitted,
Lauren Krigbaum
Director, Internal Audit



Southwest Power Pool, Inc.
COMPLIANCE DEPARTMENT
Report to the Oversight Committee
December 12, 2011

Member Outreach

Compliance Department staff continues to respond to emails and phone calls from members and registered entities on numerous compliance issues. Some of the topics this past quarter include: CIP (listing of open ports on servers), DCS Reporting, Schedule Approval Guidelines, IRO-010, Under Frequency Load Shedding, Draft Directive on Generations Lead Lines, Generator Owner/Operator requirements, and LIP Re-pricing.

The Compliance Department continues to support SPP's Standards Development Team in facilitating calls to gather comments from members and registered entities on standards under development. This quarter, the department has facilitated 5 calls.

The Member Compliance Group has hosted 3 calls to date. The first call of this quarter took place on November 18 with 13 members; another call is scheduled for December 8. The primary focus of the calls has been Consolidated Balancing Authority (CBA) NERC Registration options.

The Compliance Support Site (CSS) is being used to support our members and registered entities and any compliance issues they would like to discuss. The CSS has 92 registered users and 17 templates/samples available for download.

On-Site and In-House Review Coordination

Compliance Department staff completed on-site reviews for two different Members the weeks of September 28th and November 7th. Two additional on-site reviews are scheduled for the week of December 12th. Four additional entities have requested on-site reviews for the 1st quarter of 2012.

In-house reviews have been conducted for 4 Registered Entities during the 4th quarter.

MOD Standards Support

Compliance Department staff provided substantial MOD Standards assistance to Southwestern Power Administration for their ongoing audit. SWPA received a clean audit and credited SPP Staff for their thorough and timely support.

Events Analysis Working Group (EAWG)

The EAWG was formed in early 2011, and has become an important part of our regional Events Analysis Process. The Compliance Department facilitates the EAWG, and works closely with the group to evaluate regional events as driven by the NERC Events Analysis Process and the SPP RE.

Recent activities include the execution of EAWG-specific confidentiality agreements, and a review of the FERC-guided Winter Weather Assessments resulting from February, 2011 cold weather outages.



Critical Infrastructure Protection Working Group (CIPWG)

The CIPWG is also facilitated by Compliance Department staff, and it continues to be the primary CIP-focused organization in the region. The CIP Version 5 Standards represent significant changes to already challenging CIP Standards, and expectations are high that Registered Entities will struggle with the proposed changes. CIPWG participants are working hard to provide increased education and awareness of these pending changes.

Corporate Outreach

In addition to the substantial external support provided for SPP Members and Registered Entities, the Compliance Department continues to focus on internal compliance and audit readiness. These processes are captured in our internal SPP Corporate Compliance Program (CCP).

Internal Assessments

The CCP includes annual Self Assessments to ensure that SPP is adhering to and in compliance with NERC Reliability Standards. Self Assessments include the review of each registered function of the RTO, ITO, & ICT. On October 1st, the Compliance Department completed an internal assessment of the following standards; BAL-004, COM-002, EOP-002, EOP-004, EOP-006, EOP-008, IRO-002, IRO-003, IRO-004, IRO-005, IRO-006, MOD-001, MOD-004, MOD-028, MOD-029, MOD-030, NUC-001, PER-004, TOP-002, TOP-005, and TOP-006.

Beginning on December 1st, the Compliance Department is conducting a complete review of the CIP-002 – 009 Standards. The results of this review will drive the quarterly SERC Self-Certification requirements for January, 2012.

SPP Criteria

The MOPC requested a wholesale review of the SPP Criteria, and the Compliance Department has been tasked with this exhaustive undertaking. Compliance Department staff met with all appropriate Staff Secretaries to allocate responsibility for each section, and to convey the new review categories. Updates from various working groups are being collected, and Compliance Department staff is developing timelines for each project milestone. Categorization responses are expected from each Working Group during the 1st Quarter, 2012.

Upcoming Meetings

CIPWG – December 20th – Little Rock

Compliance Forum – March 1st – Baton Rouge (Following the SPP RE Workshop)

Respectfully submitted,

Philip Propes

Director, Compliance

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| Date | Regulatory Authority | Regulatory Filing ID | Region | Registered Entity | NCR ID (NERC Compliance Registry Identifier) | Total Penalty (\$) (The total penalty amount represents an aggregate amount for the filing; it does not represent an amount per violation.) | SA, NOCV, ACP or OMNI | NERC Violation ID | Reliability Standard | Req. | Violation Risk Factor | Notice of No Further Review Issued |
|------------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002100 | CIP-005-1 | R2. | Medium | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002105 | CIP-007-1 | R5. | Medium | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002104 | CIP-007-1 | R4. | Medium | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002103 | CIP-007-1 | R2. | Medium | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002073 | CIP-006-1 | R2. | Medium | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002101 | CIP-005-1 | R3. | Medium | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002099 | CIP-005-1 | R1. | Medium | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002098 | CIP-004-1 | R4. | Medium | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002097 | CIP-004-1 | R3. | Medium | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002096 | CIP-004-1 | R2. | Lower | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002094 | CIP-003-1 | R4. | Medium | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002093 | CIP-003-1 | R1. | Lower | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002092 | CIP-002-1 | R3. | High | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002091 | CIP-002-1 | R2. | High | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002090 | CIP-002-1 | R1. | Lower | |
| 11/30/2011 | FERC | NP12-4-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$160,000 | NOCV | WECC201002102 | CIP-005-1 | R4. | Medium | |
| 11/30/2011 | FERC | NP12-3-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$125,000 | SA | WECC201002087 | CIP-003-1 | R5. | Lower | |
| 11/30/2011 | FERC | NP12-3-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$125,000 | SA | WECC201002287 | PRC-005-1 | R2. | High | |
| 11/30/2011 | FERC | NP12-3-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$125,000 | SA | WECC201002240 | CIP-006-1 | R1. | Medium | |
| 11/30/2011 | FERC | NP12-3-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$125,000 | SA | WECC201002086 | CIP-003-1 | R4. | Medium | |
| 11/30/2011 | FERC | NP12-3-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$125,000 | SA | WECC201002247 | PRC-STD-001-1 | WR1. | N/A | |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000565 | CIP-007-1 | R6. | Lower | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000572 | CIP-003-1 | R4. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000575 | CIP-004-1 | R4. | Lower | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000576 | CIP-005-1 | R1. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000577 | CIP-005-1 | R2. | Medium | X |

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| Date | Regulatory Authority | Regulatory Filing ID | Region | Registered Entity | NCR ID (NERC Compliance Registry Identifier) | Total Penalty (\$) (The total penalty amount represents an aggregate amount for the filing; it does not represent an amount per violation.) | SA, NOCV, ACP or OMNI | NERC Violation ID | Reliability Standard | Req. | Violation Risk Factor | Notice of No Further Review Issued |
|------------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000578 | CIP-005-1 | R3. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000580 | CIP-005-1 | R5. | Lower | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000581 | CIP-007-1 | R1. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000583 | CIP-007-1 | R3. | Lower | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000585 | CIP-007-1 | R5. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000586 | CIP-007-1 | R6. | Lower | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000530 | CIP-003-1 | R4. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000533 | CIP-004-1 | R4. | Lower | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000534 | CIP-005-1 | R1. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000535 | CIP-005-1 | R2. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000536 | CIP-005-1 | R3. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000538 | CIP-005-1 | R5. | Lower | X |

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|------------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000539 | CIP-007-1 | R1. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000541 | CIP-007-1 | R3. | Lower | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000543 | CIP-007-1 | R5. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000544 | CIP-007-1 | R6. | Lower | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000551 | CIP-003-1 | R4. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000554 | CIP-004-1 | R4. | Lower | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000555 | CIP-005-1 | R1. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000556 | CIP-005-1 | R2. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000557 | CIP-005-1 | R3. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000559 | CIP-005-1 | R5. | Lower | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000560 | CIP-007-1 | R1. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000562 | CIP-007-1 | R3. | Lower | X |

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| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000563 | CIP-007-1 | R4. | Medium | X |
| 10/31/2011 | FERC | NP12-1-000 | RFC | Unidentified Registered Entity 1, Unidentified Registered Entity 2 Unidentified Registered Entity 3 | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$275,000 | SA | RFC201000564 | CIP-007-1 | R5. | Medium | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201001966 | CIP-004-1 | 2/2.3 | Lower | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201002029 | TPL-004-0 | 1 | Medium | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201001965 | CIP-003-1 | 1 | Lower | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201001967 | CIP-004-1 | 4 | Lower | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201001964 | CIP-007-1 | 1 | Medium | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201002011 | CIP-007-1 | 2 | Medium | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201001902 | TOP-004-2 | 1 | High | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201002026 | TPL-001-0.1 | 1 | High | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201002027 | TPL-002-0 | 1 | High | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201002028 | TPL-003-0 | 1 | High | X |
| 9/30/2011 | FERC | NP11-269-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$225,000 | SA | WECC201001947 | BAL-004-0 | 3/3.1 | Lower | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200900330 | PER-004-1 | 3 | High | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200900322 | COM-002-2 | 1 | High | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200800051 | BAL-005-0 | 17 | Medium | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200800055 | EOP-002-2 | 1 | High | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200800057 | IRO-001-1 | 3 | High | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200800056 | EOP-002-2 | 4 | High | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200800050 | COM-002-2 | 2 | Medium | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200900323 | EOP-002-2 | 2 | High | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200900326 | IRO-002-1 | 4 | High | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200900327 | IRO-005-1 | 7 | High | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200900331 | TOP-002-2 | 1 | Medium | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200900325 | EOP-002-2 | 8 | High | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200900329 | PER-004-1 | 1 | High | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200800052 | CIP-001-1 | 1 | Medium | X |
| 9/30/2011 | FERC | NP11-268-000 | TRE | Electric Reliability Council of Texas, Inc. | NCR04056 | \$384,000 | SA | TRE200900328 | PER-002-0 | 1 | High | X |
| 9/30/2011 | FERC | NP11-267-000 | RFC | Metropolitan Edison Company | NCR00821 | \$650,000 | SA | RFC201000455 | FAC-003-1 | 2 | High | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | Lee County Electric Cooperative, Inc (LCEC) | NCR00045 | \$30,000 | ACP | FRCC200900228 | EOP-001-0 | 5 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | Lee County Electric Cooperative, Inc (LCEC) | NCR00045 | \$30,000 | ACP | FRCC200900229 | EOP-003-1 | 3 | High | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | Lee County Electric Cooperative, Inc (LCEC) | NCR00045 | \$30,000 | ACP | FRCC200900230 | EOP-005-1 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | Lee County Electric Cooperative, Inc (LCEC) | NCR00045 | \$30,000 | ACP | FRCC200900231 | EOP-005-1 | 6 | High | X |

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|-----------|----------------------|----------------------|-------------|---|--|---|-----------------------|------------------------------|----------------------|----------|-----------------------|------------------------------------|
| 8/31/2011 | FERC | NP11-266-000 | FRCC | Lee County Electric Cooperative, Inc (LCEC) | NCR00045 | \$30,000 | ACP | FRCC200900233 | FAC-001-0 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | Lee County Electric Cooperative, Inc (LCEC) | NCR00045 | \$30,000 | ACP | FRCC200900244 | VAR-001-1 | 1 | High | X |
| 8/31/2011 | FERC | NP11-266-000 | MRO | LSP-Cottage Grove, LP (LSP-CGLP) | NCR10022 | \$0 | ACP | MRO201100255 | PRC-005-1 | 1 | High | X |
| 8/31/2011 | FERC | NP11-266-000 | MRO | NorthWestern Energy (NWE) | NCR01021 | \$0 | ACP | MRO201000198 | PRC-008-0 | 2 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | NPCC | Mirant Canal | NCR07146 | \$0 | ACP | NPCC201000130 | TOP-002-2a | 14.1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | NPCC | Mt. Tom Generating Co. LLC | NCR10050 | \$5,000 | ACP | NPCC201000167 | PRC-005-1 | 2.1, 2.2 | High | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | Dynergy Inc. (Dynergy) | NCR00200 | \$5,000 | ACP | SERC200900323 | VAR-002-1a | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | Dynergy Inc. (Dynergy) | NCR00200 | \$5,000 | ACP | SERC200900324 | VAR-002-1a | 3 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | Progress Energy Carolinas (PEC) | NCR01298 | \$0 | ACP | SERC200900327 | INT-001-3 | 1 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | Progress Energy Carolinas (PEC) | NCR01298 | \$0 | ACP | SERC201000441 | INT-004-2 | 2 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | Town of Stantonburg (Stantonburg) | NCR01349 | \$0 | ACP | SERC201100774 | PRC-008-0 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | City of Camden (Camden) | NCR01195 | \$0 | ACP | SERC201000557 | CIP-001-1 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | City of Camden (Camden) | NCR01195 | \$0 | ACP | SERC201000558 | CIP-001-1 | 2 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | City of Camden (Camden) | NCR01195 | \$0 | ACP | SERC201000559 | PRC-008-0 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | City of Camden (Camden) | NCR01195 | \$0 | ACP | SERC201000560 | PRC-008-0 | 2 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | Cogentrix Virginia Leasing Corp (Cogentrix) | NCR01206 | \$0 | ACP | SERC201000579 | PRC-005-1 | 1 | High | X |
| 8/31/2011 | FERC | NP11-266-000 | SPP RE /RFC | American Electric Power Services Corp. As Agent For Public Svc. Co. Of Oklahoma & SW Ele Pwr Co. / American Electric Power Service Corporation as agent for Appalachian Power Company, Columbus Southern Power Company, Indiana Michigan Power Company, Kentucky Power Company, Kingsport Power Company, Ohio Power Company, and Wheeling Power Company (AEP) | NCR01056 NCR00682 | \$8,000 | ACP | SPP200900151 RFC200900322 | FAC-008-1 | 1.2.1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | Texas RE | Bosque Power Company, LLC | NCR10247 | \$13,000 | ACP | TRE200900134 | PRC-005-1 | 1 | High | X |
| 8/31/2011 | FERC | NP11-266-000 | Texas RE | Bosque Power Company, LLC | NCR10247 | \$13,000 | ACP | TRE200900135 | PRC-005-1 | 2 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | Texas RE | Wise County Power Company, LLC | NCR04165 | \$12,000 | ACP | TRE201000270 | PRC-005-1 | 1 | High | X |
| 8/31/2011 | FERC | NP11-266-000 | Texas RE | Wise County Power Company, LLC | NCR04165 | \$12,000 | ACP | TRE201000271 | PRC-005-1 | 2 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | Texas RE | Frontera Generation Limited Partnership | NCR04072 | \$5,000 | ACP | TRE201000170 | VAR-002-1.1a | 4 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | WECC | Northwestern Corporation (NWC) | NCR05282 | \$0 | ACP | WECC201102380 | IRO-STD-006-0 | WR1 | N/A | X |
| 8/31/2011 | FERC | NP11-266-000 | WECC | Northern Lights/PNGC (NLI) | NCR05279 | \$0 | ACP | WECC201002326 | PRC-008-0 | 1 | Medium | X |

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| 8/31/2011 | FERC | NP11-266-000 | WECC | Northern Lights/PNGC (NLI) | NCR05279 | \$0 | ACP | WECC201002327 | PRC-008-0 | 2 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC200900245 | CIP-004-1 | 4 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC200900265 | CIP-005-1 | 2.1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC200900298 | CIP-004-1 | 2.1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC200900299 | CIP-004-1 | 3 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC200900300 | CIP-006-1 | 1.8 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC200900301 | CIP-007-1 | 1.1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC200900302 | CIP-007-1 | 2.1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC201000350 | CIP-002-1 | 3 | High | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC201000351 | CIP-003-1 | 1.2 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC201000391 | BAL-005-0.1b | 11 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$38,000 | ACP | FRCC201000393 | CIP-003-1 | 1.2 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | NPCC | NPCC_URE1 | NCRXXXXXX | \$3,500 | ACP | NPCC201100245 | CIP-004-3 | 4.2 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | NPCC | NPCC_URE2 | NCRXXXXXX | \$6,000 | ACP | NPCC201000169 | CIP-006-1 | 1.6 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | NPCC | NPCC_URE2 | NCRXXXXXX | \$6,000 | ACP | NPCC201000170 | CIP-007-2a | 3.1 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | NPCC | NPCC_URE2 | NCRXXXXXX | \$6,000 | ACP | NPCC201000171 | CIP-007-2a | 5 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | NPCC | NPCC_URE2 | NCRXXXXXX | \$6,000 | ACP | NPCC201000172 | CIP-007-2a | 7.2 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | SERC_URE1 | NCRXXXXXX | \$0 | ACP | SERC201000726 | CIP-003-1 | 2 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | SERC | SERC_URE1 | NCRXXXXXX | \$0 | ACP | SERC201100765 | CIP-002-1 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | SPP RE | SPP RE_URE1 | NCRXXXXXX | \$6,000 | ACP | SPP200900136 | CIP-006-1 | 1.6 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | PP RE /RFC | SPP RE_URE1/RFC_URE1 | NCRXXXXXX | \$10,000 | ACP | SPP200900152 RFC200900323 | CIP-003-1 | 1.1 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | PP RE /RFC | SPP RE_URE1/RFC_URE1 | NCRXXXXXX | \$10,000 | ACP | SPP200900153 RFC200900324 | CIP-003-1 | 2 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | PP RE /RFC | SPP RE_URE1/RFC_URE1 | NCRXXXXXX | \$10,000 | ACP | SPP200900154 RFC200900325 | CIP-004-1 | 2.2 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | PP RE /RFC | SPP RE_URE1/RFC_URE1 | NCRXXXXXX | \$10,000 | ACP | SPP200900155 RFC200900326 | CIP-004-1 | 4.1 | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | PP RE /RFC | SPP RE_URE1/RFC_URE1 | NCRXXXXXX | \$10,000 | ACP | SPP200900157 RFC200900328 | CIP-008-1 | 1 (1.1, 1.4) | Lower | X |
| 8/31/2011 | FERC | NP11-266-000 | SPP RE / RFC | SPP RE_URE1/ RFC_URE1 | NCRXXXXXX | \$10,000 | ACP | SPP200900158 RFC200900329 | CIP-009-1 | 1.1 | Medium | X |
| 8/31/2011 | FERC | NP11-266-000 | SPP RE / RFC | SPP RE_URE1/ RFC_URE1 | NCRXXXXXX | \$10,000 | ACP | SPP200900159 RFC200900330 | CIP-009-1 | 2 | Lower | X |
| 8/31/2011 | FERC | NP11-265-000 | SPP | Cleco Corporation | NCR01083 | \$27,000 | SA | SPP201000262 | COM-001-1.1 | 5 | Lower | X |

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| 8/31/2011 | FERC | NP11-265-000 | SPP | Cleco Corporation | NCR01083 | \$27,000 | SA | SPP201000263 | FAC-001-0 | 2 (2.1.4-2.1.11, 2.1.13-2.1.16) | Medium | X |
| 8/31/2011 | FERC | NP11-265-000 | SPP | Cleco Corporation | NCR01083 | \$27,000 | SA | SPP201000264 | FAC-001-0 | 3 | Medium | X |
| 8/31/2011 | FERC | NP11-265-000 | SPP | Cleco Corporation | NCR01083 | \$27,000 | SA | SPP201000261 | CIP-001-1 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-265-000 | SPP | Cleco Corporation | NCR01083 | \$27,000 | SA | SPP201000265 | FAC-003-1 | 1.2.1 | High | X |
| 8/31/2011 | FERC | NP11-265-000 | SPP | Cleco Corporation | NCR01083 | \$27,000 | SA | SPP201000267 | VAR-001-1 | 1 | High | X |
| 8/31/2011 | FERC | NP11-264-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$8,000 | SA | SPP201000313 | CIP-004-1 | 4 | Lower | X |
| 8/31/2011 | FERC | NP11-264-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$8,000 | SA | SPP201000315 | CIP-008-1 | 1 | Lower | X |
| 8/31/2011 | FERC | NP11-264-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$8,000 | SA | SPP200900146 | CIP-002-1 | 1 | Lower | X |
| 8/31/2011 | FERC | NP11-264-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$8,000 | SA | SPP201000314 | CIP-007-1 | 5 | Medium | X |
| 8/31/2011 | FERC | NP11-264-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$8,000 | SA | SPP201000309 | CIP-002-1 | 3 | High | X |
| 8/31/2011 | FERC | NP11-264-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$8,000 | SA | SPP201000312 | CIP-004-1 | 3 | Medium | X |
| 8/31/2011 | FERC | NP11-264-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$8,000 | SA | SPP201000311 | CIP-004-1 | 2 | Medium | X |
| 8/31/2011 | FERC | NP11-264-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$8,000 | SA | SPP201000310 | CIP-003-1 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-264-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$8,000 | SA | SPP201000316 | CIP-009-1 | 2 | Lower | X |
| 8/31/2011 | FERC | NP11-263-000 | TRE | Unidentified Registered Entity | NCRXXXXXX | \$11,000 | SA | TREXXXXXX079 | CIP-004-1 | 3 | Medium | X |
| 8/31/2011 | FERC | NP11-263-000 | TRE | Unidentified Registered Entity | NCRXXXXXX | \$11,000 | SA | TREXXXXXX080 | CIP-004-1 | 4 | Lower | X |
| 8/31/2011 | FERC | NP11-263-000 | TRE | Unidentified Registered Entity | NCRXXXXXX | \$11,000 | SA | TREXXXXXX067 | CIP-007-1 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-263-000 | TRE | Unidentified Registered Entity | NCRXXXXXX | \$11,000 | SA | TREXXXXXX320 | CIP-002-1 | 3 | High | X |
| 8/31/2011 | FERC | NP11-263-000 | TRE | Unidentified Registered Entity | NCRXXXXXX | \$11,000 | SA | TREXXXXXX321 | CIP-004-1 | 2.2.4 | Lower | X |
| 8/31/2011 | FERC | NP11-262-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$12,000 | SA | SPP201000273 | CIP-003-1 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-262-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$12,000 | SA | SPP201000276 | CIP-007-1 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-262-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$12,000 | SA | SPP201000269 | CIP-002-1 | 1 | Lower | X |
| 8/31/2011 | FERC | NP11-262-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$12,000 | SA | SPP201000275 | CIP-004-2 | 2 | Lower | X |
| 8/31/2011 | FERC | NP11-262-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$12,000 | SA | SPP201000270 | CIP-002-1 | 3 | Lower | X |
| 8/31/2011 | FERC | NP11-261-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC200900279 | CIP-002-1 | 3.1 | Lower | X |
| 8/31/2011 | FERC | NP11-261-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000379 | CIP-006-1 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-261-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000650 | CIP-004-1 | 3 | Medium | X |
| 8/31/2011 | FERC | NP11-261-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000649 | CIP-004-1 | 2.1 | Medium | X |
| 8/31/2011 | FERC | NP11-261-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000371 | CIP-006-1 | 2 | Medium | X |
| 8/31/2011 | FERC | NP11-261-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000280 | CIP-004-1 | 4.2 | Medium | X |
| 8/31/2011 | FERC | NP11-261-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000615 | CIP-007-1 | 6.3 | Medium | X |
| 8/31/2011 | FERC | NP11-261-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000648 | CIP-003-1 | 5 | Lower | X |
| 8/31/2011 | FERC | NP11-260-000 | SPP | Louisiana Energy and Power Authority | NCR01116 | \$25,000 | SA | SPP200900074 | EOP-003-1 | 8 | High | X |
| 8/31/2011 | FERC | NP11-260-000 | SPP | Louisiana Energy and Power Authority | NCR01116 | \$25,000 | SA | SPP200900072 | CIP-001-1 | 2 | Medium | X |

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| Date | Regulatory Authority | Regulatory Filing ID | Region | Registered Entity | NCR ID (NERC Compliance Registry Identifier) | Total Penalty (\$) (The total penalty amount represents an aggregate amount for the filing; it does not represent an amount per violation.) | SA, NOCV, ACP or OMNI | NERC Violation ID | Reliability Standard | Req. | Violation Risk Factor | Notice of No Further Review Issued |
|-----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|-----------------------|-----------------------|------------------------------------|
| 8/31/2011 | FERC | NP11-260-000 | SPP | Louisiana Energy and Power Authority | NCR01116 | \$25,000 | SA | SPP200900071 | CIP-001-1 | 1 | Medium | X |
| 8/31/2011 | FERC | NP11-260-000 | SPP | Louisiana Energy and Power Authority | NCR01116 | \$25,000 | SA | SPP200900111 | EOP-002-2.1 | 1 | High | X |
| 8/11/2011 | FERC | NP11-259-000 | WECC | Western Electricity Coordinating Council | NCR10289 | \$100,000 | SA | NCEA201000099 | TOP-007-0 | 4 | High | X |
| 8/11/2011 | FERC | NP11-259-000 | WECC | Western Electricity Coordinating Council | NCR10289 | \$100,000 | SA | NCEA201000100 | IRO-005-1 | 9 | High | X |
| 8/11/2011 | FERC | NP11-259-000 | WECC | Western Electricity Coordinating Council | NCR10289 | \$100,000 | SA | NCEA201000101 | IRO-001-1 | 3 | High | X |
| 8/11/2011 | FERC | NP11-259-000 | WECC | Western Electricity Coordinating Council | NCR10289 | \$100,000 | SA | NCEA201000098 | COM-002-2 | 2 | Medium | X |
| 8/11/2011 | FERC | NP11-258-000 | TRE | Iberdrola Renewables | NCR10259 | \$7,000 | SA | TRE201000129 | PRC-005-1 | 1 | High | X |
| 8/11/2011 | FERC | NP11-258-000 | TRE | Iberdrola Renewables | NCR10259 | \$7,000 | SA | TRE201000128 | COM-002-2 | 1 | High | X |
| 8/11/2011 | FERC | NP11-258-000 | TRE | Iberdrola Renewables | NCR10259 | \$7,000 | SA | TRE201000127 | TOP-001-1 | 3 | High | X |
| 8/11/2011 | FERC | NP11-258-000 | TRE | Iberdrola Renewables | NCR10259 | \$7,000 | SA | TRE201000126 | IRO-001-1 | 8 | High | X |
| 8/11/2011 | FERC | NP11-257-000 | TRE | Optim Energy Marketing, LLC | NCR10150 | \$8,000 | SA | TRE201000106 | VAR-002-1.1a | 3 | Medium | X |
| 8/11/2011 | FERC | NP11-256-000 | FRCC | Progress Energy Florida | NCR00063 | \$40,000 | SA | FRCC200900286 | TOP-005-1 | 1.1 | Medium | X |
| 8/11/2011 | FERC | NP11-256-000 | FRCC | Progress Energy Florida | NCR00063 | \$40,000 | SA | FRCC200900256 | PRC-005-1 | 2.1 | High | X |
| 8/11/2011 | FERC | NP11-256-000 | FRCC | Progress Energy Florida | NCR00063 | \$40,000 | SA | FRCC200900285 | PRC-005-1 | 2.1 | High | X |
| 8/11/2011 | FERC | NP11-256-000 | FRCC | Progress Energy Florida | NCR00063 | \$40,000 | SA | FRCC200900288 | VAR-001-1 | 6.1 | Medium | X |
| 8/11/2011 | FERC | NP11-255-000 | TRE | AES Deepwater Inc | NCR04001 | \$7,000 | SA | TRE200800068 | PRC-005-1 | 1 | High | X |
| 8/11/2011 | FERC | NP11-254-000 | MRO | Rochester Public Utilities | NCR01027 | \$0 | SA | MRO201000140 | EOP-001-0 | 5 | Medium | X |
| 8/11/2011 | FERC | NP11-254-000 | MRO | Rochester Public Utilities | NCR01027 | \$0 | SA | MRO201000141 | EOP-003-1 | 8 | High | X |
| 8/11/2011 | FERC | NP11-254-000 | MRO | Rochester Public Utilities | NCR01027 | \$0 | SA | MRO201000144 | EOP-008-0 | 1 | High | X |
| 8/11/2011 | FERC | NP11-254-000 | MRO | Rochester Public Utilities | NCR01027 | \$0 | SA | MRO201000147 | PRC-005-1 | 1 | High | X |
| 8/11/2011 | FERC | NP11-254-000 | MRO | Rochester Public Utilities | NCR01027 | \$0 | SA | MRO201000148 | PRC-005-1 | 2 | High | X |
| 8/11/2011 | FERC | NP11-254-000 | MRO | Rochester Public Utilities | NCR01027 | \$0 | SA | MRO201000150 | PRC-008-0 | 2 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | Florida Public Utilities Company (FPUC) | NCR00025 | \$4,500 | ACP | FRCC200800143 | FAC-008-1 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | Florida Public Utilities Company (FPUC) | NCR00025 | \$4,500 | ACP | FRCC200800153 | PRC-005-1 | 1 (1.1, 1.2) | High | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | Florida Public Utilities Company (FPUC) | NCR00025 | \$4,500 | ACP | FRCC201000372 | FAC-001-0 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | Florida Keys Electric Cooperative Assn (FKEC) | NCR00021 | \$1,500 | ACP | FRCC200900290 | FAC-001-0 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | Florida Keys Electric Cooperative Assn (FKEC) | NCR00021 | \$1,500 | ACP | FRCC200900291 | FAC-008-1 | 1 (1.2.1, 1.2.2, 1.3) | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | Florida Keys Electric Cooperative Assn (FKEC) | NCR00021 | \$1,500 | ACP | FRCC200900292 | PER-002-0 | 3.4 | Lower | X |
| 7/29/2011 | FERC | NP11-253-000 | NPCC | Boralex Stratton Energy, LP (Boralex) | NCR10352 | \$5,000 | ACP | NPCC201000209 | CIP-001-1 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | NPCC | Boralex Stratton Energy, LP (Boralex) | NCR10352 | \$5,000 | ACP | NPCC201000210 | CIP-001-1 | 2 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | NPCC | Boralex Stratton Energy, LP (Boralex) | NCR10352 | \$5,000 | ACP | NPCC201000211 | CIP-001-1 | 3 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | NPCC | Boralex Stratton Energy, LP (Boralex) | NCR10352 | \$5,000 | ACP | NPCC201000212 | CIP-001-1 | 4 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | NPCC | Millennium Power Partners, LP (MPP) | NCR07144 | \$0 | ACP | NPCC201000216 | VAR-002-1.1b | 3.1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | NPCC | United Illuminating Company | NCR07222 | \$2,500 | ACP | NPCC201100233 | PRC-005-1 | 2 | High | X |

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|-----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|--------------|-----------------------|------------------------------------|
| 7/29/2011 | FERC | NP11-253-000 | MRO | Rochester Public Utilities (RPU) | NCR01027 | \$0 | ACP | MRO201100308 | FAC-009-1 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | Haywood Electric Membership Corporation (Haywood) | NCR10293 | \$0 | ACP | SERC201100761 | CIP-001-1 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | Haywood Electric Membership Corporation (Haywood) | NCR10293 | \$0 | ACP | SERC201100764 | CIP-001-1 | 2 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | Haywood Electric Membership Corporation (Haywood) | NCR10293 | \$0 | ACP | SERC201100763 | CIP-001-1 | 3 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | Haywood Electric Membership Corporation (Haywood) | NCR10293 | \$0 | ACP | SERC201100762 | CIP-001-1 | 4 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | Associated Electric Cooperative, Inc. (AECI) | NCR01177 | \$0 | ACP | SERC2010000537 | FAC-008-1 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | Choctaw Generation Limited Partnership (Choctaw) | NCR10206 | \$5,000 | ACP | SERC201000514 | PRC-005-1 | 2 | High | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | Big Rivers Electric Corporation (BREC) | NCR01180 | \$2,000 | ACP | SERC201000541 | EOP-005-1 | 2 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | WECC | Northern California Power Agency (NCPA) | NCR05278 | \$500 | ACP | WECC201002434 | VAR-002-1.1b | 3 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | FRCC_URE1 | NCRXXXXX | \$14,000 | ACP | FRCC201000381 | CIP-006-1 | 1.1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | FRCC_URE1 | NCRXXXXX | \$14,000 | ACP | FRCC201000396 | CIP-007-2a | 3.1 | Lower | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | FRCC_URE1 | NCRXXXXX | \$14,000 | ACP | FRCC200900296 | CIP-007-1 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | FRCC_URE1 | NCRXXXXX | \$14,000 | ACP | FRCC200900134 | CIP-004-1 | 2 (2.1, 2.3) | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | FRCC | FRCC_URE1 | NCRXXXXX | \$14,000 | ACP | FRCC200900135 | CIP-004-1 | 3 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$7,500 | ACP | NPCC201000214 | CIP-005-2 | 3.2 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | NPCC | NPCC_URE2 | NCRXXXXX | \$5,000 | ACP | NPCC201000215 | CIP-003-1 | 1.2 | Lower | X |
| 7/29/2011 | FERC | NP11-253-000 | NPCC | NPCC_URE3 | NCRXXXXX | \$0 | ACP | NPCC201100250 | CIP-007-3 | 3 | Lower | X |
| 7/29/2011 | FERC | NP11-253-000 | NPCC | NPCC_URE3 | NCRXXXXX | \$0 | ACP | NPCC201100251 | CIP-007-3 | 4 | Lower | X |
| 7/29/2011 | FERC | NP11-253-000 | MRO | MRO_URE1 | NCRXXXXX | \$0 | ACP | MRO201100248 | CIP-004-1 | 4.2 | Lower | X |
| 7/29/2011 | FERC | NP11-253-000 | MRO | MRO_URE2 | NCRXXXXX | \$0 | ACP | MRO201100277 | CIP-008-2 | 1 | Lower | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | SERC_URE1 | NCRXXXXX | \$0 | ACP | SERC201000716 | CIP-002-1 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | SERC_URE1 | NCRXXXXX | \$0 | ACP | SERC201000717 | CIP-003-1 | 2 | Lower | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | SERC_URE2 | NCRXXXXX | \$0 | ACP | SERC201000697 | CIP-002-1 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | SERC_URE2 | NCRXXXXX | \$0 | ACP | SERC201000699 | CIP-003-1 | 2 | Lower | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | SERC_URE3 | NCRXXXXX | \$0 | ACP | SERC201000719 | CIP-002-1 | 1 | Medium | X |
| 7/29/2011 | FERC | NP11-253-000 | SERC | SERC_URE3 | NCRXXXXX | \$0 | ACP | SERC201000721 | CIP-003-1 | 2 | Lower | X |
| 7/28/2011 | FERC | NP11-252-000 | WECC | Arizona Electric Power Cooperative, Inc. | NCR05015 | \$15,000 | SA | WECC201002333 | PRC-005-1 | 2 | High | X |
| 7/28/2011 | FERC | NP11-251-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$7,000 | SA | WECC201002031 | CIP-006-1 | 1.1 | Medium | X |
| 7/28/2011 | FERC | NP11-250-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$12,600 | NOCV | WECC201002283 | CIP-006-1 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-250-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$12,600 | NOCV | WECC201002372 | CIP-004-2 | 2 | Medium | X |
| 7/28/2011 | FERC | NP11-249-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$18,000 | SA | WECC201002233 | CIP-007-1 | 4 | Lower | X |
| 7/28/2011 | FERC | NP11-249-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$18,000 | SA | WECC201002232 | CIP-007-1 | 2 | Medium | X |
| 7/28/2011 | FERC | NP11-249-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$18,000 | SA | WECC201002230 | CIP-006-1 | 1.1 | Medium | X |
| 7/28/2011 | FERC | NP11-249-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$18,000 | SA | WECC201002234 | CIP-007-1 | 5 | Medium | X |

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|-----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 7/28/2011 | FERC | NP11-248-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$5,000 | NOCV | WECC200902055 | CIP-007-1 | 3.1 | Lower | X |
| 7/28/2011 | FERC | NP11-247-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$15,000 | SA | RFC201000332 | CIP-006-1 | 5 | Lower | X |
| 7/28/2011 | FERC | NP11-247-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$15,000 | SA | RFC201000678 | CIP-004-1 | 4 | Lower | X |
| 7/28/2011 | FERC | NP11-247-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$15,000 | SA | RFC201000378 | CIP-005-1 | 2.6 | Lower | X |
| 7/28/2011 | FERC | NP11-247-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$15,000 | SA | RFC201000679 | CIP-006-1 | 5 | Lower | X |
| 7/28/2011 | FERC | NP11-247-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$15,000 | SA | RFC201000680 | CIP-006-1 | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-246-000 | RFC | Scrubgrass Generating Company, LP | NCR00912 | \$10,000 | SA | RFC201000637 | FAC-008-1 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-246-000 | RFC | Scrubgrass Generating Company, LP | NCR00912 | \$10,000 | SA | RFC201000636 | PRC-005-1 | 1 | High | X |
| 7/28/2011 | FERC | NP11-245-000 | RFC | Exelon Generation Company, LLC | NCR00779 | \$10,000 | SA | RFC201000235 | VAR-002-1.1b | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-244-000 | RFC | NextEra Energy Resources, LLC | NCR00224 | \$60,000 | SA | RFC201000613 | PRC-005-1 | 2.1 | High | X |
| 7/28/2011 | FERC | NP11-244-000 | RFC | NextEra Energy Resources, LLC | NCR00224 | \$60,000 | SA | RFC201000383 | PRC-001-1 | 3.1 | High | X |
| 7/28/2011 | FERC | NP11-244-000 | RFC | NextEra Energy Resources, LLC | NCR00224 | \$60,000 | SA | RFC201000738 | PRC-005-1 | 1.1 | High | X |
| 7/28/2011 | FERC | NP11-243-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$20,000 | SA | RFC201000382 | CIP-004-2 | 4 | Lower | X |
| 7/28/2011 | FERC | NP11-243-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$20,000 | SA | RFC201000381 | CIP-004-2 | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-243-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$20,000 | SA | RFC201000380 | CIP-004-2 | 2.1 | Medium | X |
| 7/28/2011 | FERC | NP11-242-000 | WECC | Panoche Energy Center, LLC | NCR10347 | \$15,000 | SA | WECC201002292 | PRC-005-1 | 1 | High | X |
| 7/28/2011 | FERC | NP11-242-000 | WECC | Panoche Energy Center, LLC | NCR10347 | \$15,000 | SA | WECC201002293 | PRC-005-1 | 2 | High | X |
| 7/28/2011 | FERC | NP11-241-000 | NPCC | Dynergy Inc. | NCR00200 | \$50,000 | SA | NPCC201000127 | VAR-002-1.1a | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-241-000 | NPCC | Dynergy Inc. | NCR00200 | \$50,000 | SA | NPCC200900093 | CIP-001-1 | 2 | Medium | X |
| 7/28/2011 | FERC | NP11-241-000 | NPCC | Dynergy Inc. | NCR00200 | \$50,000 | SA | NPCC201000128 | VAR-002-1.1a | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-241-000 | NPCC | Dynergy Inc. | NCR00200 | \$50,000 | SA | NPCC200900117 | IRO-004-1 | 4 | High | X |
| 7/28/2011 | FERC | NP11-240-000 | WECC | Public Service Company of New Mexico | NCR05333 | \$13,900 | NOCV | WECC201102366 | PRC-005-1 | 2 | High | X |
| 7/28/2011 | FERC | NP11-239-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$20,000 | SA | WECC201002078 | PRC-008-0 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-239-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$20,000 | SA | WECC201002107 | PRC-008-0 | 2 | Medium | X |
| 7/28/2011 | FERC | NP11-238-000 | SPP | Southwestern Power Administration | NCR01144 | \$19,500 | NOCV | SPP200900097 | CIP-004-1 | 2 | Medium | |
| 7/28/2011 | FERC | NP11-238-000 | SPP | Southwestern Power Administration | NCR01144 | \$19,500 | NOCV | SPP200900098 | CIP-004-1 | 3 | Lower | |
| 7/28/2011 | FERC | NP11-238-000 | SPP | Southwestern Power Administration | NCR01144 | \$19,500 | NOCV | SPP200900099 | CIP-004-1 | 4 | Lower | |
| 7/28/2011 | FERC | NP11-238-000 | SPP | Southwestern Power Administration | NCR01144 | \$19,500 | NOCV | SPP200900161 | CIP-007-1 | 1 | Medium | |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201100726 | CIP-004-2 | 2 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000594 | CIP-004-2 | 2.1 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000661 | CIP-007-2 | 5.2.3 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000596 | CIP-005-1 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000425 | CIP-007-1 | 6 | Lower | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000228 | CIP-006-1 | 1.1 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201100729 | CIP-004-2 | 2 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201100732 | CIP-004-3 | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000604 | CIP-007-2a | 1 | Medium | X |

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|-----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000599 | CIP-007-1 | 3.1 | Lower | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000595 | CIP-004-1 | 4.2 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000603 | CIP-006-2 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000597 | CIP-006-2 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000424 | CIP-007-1 | 6 | Lower | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000602 | CIP-005-1 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201100728 | CIP-004-2 | 4 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000601 | CIP-004-1 | 4.2 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000598 | CIP-007-2a | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000229 | CIP-005-1 | 2 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201100727 | CIP-004-2 | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201100730 | CIP-004-2 | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000231 | CIP-006-1 | 1.1 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201100731 | CIP-004-2 | 4 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000230 | CIP-005-1 | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000226 | CIP-005-1 | 2 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000605 | CIP-007-1 | 3.1 | Lower | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000227 | CIP-005-1 | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-237-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$180,000 | SA | RFC201000600 | CIP-004-2 | 2.1 | Medium | X |
| 7/28/2011 | FERC | NP11-236-000 | TRE | Scurry County Wind LP | NCR10027 | \$45,000 | SA | TRE200900072 | IRO-001-1 | 8 | High | X |
| 7/28/2011 | FERC | NP11-236-000 | TRE | Scurry County Wind LP | NCR10027 | \$45,000 | SA | TRE200900073 | TOP-001-1 | 3 | High | X |
| 7/28/2011 | FERC | NP11-235-000 | RFC | New Covert Generating Company, LLC [GO] | NCR10295 | \$12,500 | SA | RFC201000739 | PRC-005-1 | 1 | High | X |
| 7/28/2011 | FERC | NP11-234-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$35,000 | SA | WECC201002083 | CIP-005-1 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-234-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$35,000 | SA | WECC201002077 | CIP-007-1 | 3 | Lower | X |
| 7/28/2011 | FERC | NP11-234-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$35,000 | SA | WECC201002085 | CIP-005-1 | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-233-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | WECC201002282 | CIP-007-1 | 8 | Medium | X |
| 7/28/2011 | FERC | NP11-233-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | WECC201002048 | BAL-004-WECC-01 | 4 | Lower | X |
| 7/28/2011 | FERC | NP11-233-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | WECC201002106 | CIP-006-1 | 3 | Medium | X |
| 7/28/2011 | FERC | NP11-233-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | WECC201002155 | CIP-007-1 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-232-000 | RFC | The Detroit Edison Company | NCR00753 | \$5,000 | SA | RFC201000663 | PRC-005-1 | 2 | High | X |
| 7/28/2011 | FERC | NP11-231-000 | WECC | Ripon Cogeneration LLC | NCR05363 | \$12,500 | SA | WECC201001833 | PRC-005-1 | 2.1 | High | X |
| 7/28/2011 | FERC | NP11-230-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$18,000 | SA | RFC201000274 | CIP-006-1 | 1.1 | Medium | X |
| 7/28/2011 | FERC | NP11-230-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$18,000 | SA | RFC201000277 | CIP-007-1 | 5.2.1 | Medium | X |
| 7/28/2011 | FERC | NP11-230-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$18,000 | SA | RFC201000273 | CIP-005-1 | 2.4 | Medium | X |
| 7/28/2011 | FERC | NP11-230-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$18,000 | SA | RFC201000278 | CIP-007-1 | 5.2.3 | Medium | X |
| 7/28/2011 | FERC | NP11-229-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$75,000 | SA | WECC201001844 | CIP-002-1 | 3 | High | X |

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| 7/28/2011 | FERC | NP11-229-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$75,000 | SA | WECC200901818 | CIP-007-1 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-229-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$75,000 | SA | WECC201001847 | CIP-003-1 | 3 | Lower | X |
| 7/28/2011 | FERC | NP11-229-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$75,000 | SA | WECC201001874 | CIP-009-1 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-229-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$75,000 | SA | WECC201001846 | CIP-003-1 | 2 | Medium | X |
| 7/28/2011 | FERC | NP11-229-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$75,000 | SA | WECC201001845 | CIP-003-1 | 1 | Medium | X |
| 7/28/2011 | FERC | NP11-229-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$75,000 | SA | WECC201001843 | CIP-002-1 | 1 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | Sunflower Electric Power Corporation (Sunflower) | NCR01148 | \$0 | ACP | SPP201000439 | BAL-003-0.1b | 1 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | Sunflower Electric Power Corporation (Sunflower) | NCR01148 | \$0 | ACP | SPP201100456 | EOP-005-1 | 1 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | City Utilities of Springfield, MO (SPRM) | NCR01081 | \$0 | ACP | SPP201000415 | FAC-008-1 | 1.2.1 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | Rayburn Country Electric Cooperative, Inc. (Rayburn) | NCR06010 | \$14,000 | ACP | SPP201000358 | FAC-001-0 | 1 (1.1, 1.2, 1.3) | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | Rayburn Country Electric Cooperative, Inc. (Rayburn) | NCR06010 | \$14,000 | ACP | SPP201000359 | FAC-001-0 | 2 (2.1, 2.1.1 - 2.1.16) | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | Rayburn Country Electric Cooperative, Inc. (Rayburn) | NCR06010 | \$14,000 | ACP | SPP201000360 | FAC-001-0 | 3 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | Rayburn Country Electric Cooperative, Inc. (Rayburn) | NCR06010 | \$14,000 | ACP | SPP201000361 | FAC-009-1 | 1 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | Rayburn Country Electric Cooperative, Inc. (Rayburn) | NCR06010 | \$14,000 | ACP | SPP201000362 | PRC-005-1 | 1 (1.1, 1.2) | High | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | Rayburn Country Electric Cooperative, Inc. (Rayburn) | NCR06010 | \$14,000 | ACP | SPP201000364 | PRC-008-0 | 1 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | MRO | Invenergy Cannon Falls (ICF) | NCR10244 | \$0 | ACP | MRO201000196 | PRC-005-1 | 1.1, 1.2 | High | X |
| 6/30/2011 | FERC | NP11-228-000 | MRO | Invenergy Cannon Falls (ICF) | NCR10244 | \$0 | ACP | MRO201000230 | PRC-005-1 | 2.1, 2.2 | High | X |
| 6/30/2011 | FERC | NP11-228-000 | SERC | Catalyst Old River Hydroelectric Limited Partnership (CORHLP) | NCR09001 | \$7,500 | ACP | SERC200900274 | PRC-005-1 | 1.1, 1.2 | High | X |
| 6/30/2011 | FERC | NP11-228-000 | SERC | Catalyst Old River Hydroelectric Limited Partnership (CORHLP) | NCR09001 | \$7,500 | ACP | SERC200900302 | PRC-005-1 | 2.1, 2.2 | High | X |
| 6/30/2011 | FERC | NP11-228-000 | SERC | Catalyst Old River Hydroelectric Limited Partnership (CORHLP) | NCR09001 | \$7,500 | ACP | SERC201000641 | PRC-005-1 | 2.1, 2.2 | High | X |
| 6/30/2011 | FERC | NP11-228-000 | Texas RE | Magic Valley Electric Coop, Inc. (Magic Valley) | NCR04094 | \$2,000 | ACP | TRE201000105 | PRC-005-1 | 2 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | Texas RE | Brazos Electric Power Co Op, Inc. (Brazos Electric) | NCR04016 | \$7,000 | ACP | TRE201000091 | VAR-002-1.1a | 3 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | Texas RE | Brownsville Public Utility Board Calpine (BPUB Calpine) | NCR04020 | \$3,000 | ACP | TRE201000104 | PRC-005-1 | 1 | High | X |
| 6/30/2011 | FERC | NP11-228-000 | WECC | Pacific Gas and Electric Company (PGAE) | NCR05299 | \$2,000 | ACP | WECC201102398 | VAR-002-1-1a | 2 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | WECC | El Dorado Energy, LLC (ELDE) | NCR05139 | \$1,000 | ACP | WECC201002435 | VAR-002-1.1b | 3 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | FRCC | Calpine Energy Services (CES) | NCR00007 | \$3,500 | ACP | FRCC201000366 | VAR-002-1.1a | 3 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | FRCC | Covanta Southeastern Florida Renewable Energy, Ltd. (COVS) | NCR11009 | \$1,000 | ACP | FRCC201000349 | VAR-002-1.1a | 3 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | NPCC | Wheelabrator Millbury Inc. (Wheelabrator) | NCR10172 | \$4,000 | ACP | NPCC201000154 | VAR-002-1a | 3.1 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | SPP_URE1 | NCRXXXXX | \$0 | ACP | SPP201000290 | CIP-008-1 | 1 (1.6) | Lower | X |

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| 6/30/2011 | FERC | NP11-228-000 | SPP RE | SPP_URE1 | NCRXXXXXX | \$0 | ACP | SPP201000291 | CIP-009-1 | 2 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | SPP_URE2 | NCRXXXXXX | \$0 | ACP | SPP200900110 | CIP-006-1 | 3 (3.1, 3.2) | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | SPP_URE2 | NCRXXXXXX | \$4,000 | ACP | SPP200900186 | CIP-004-1 | 2 (2.2, 2.2.1 - 2.2.4) | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | SPP_URE2 | NCRXXXXXX | \$700 | ACP | SPP200900188 | CIP-004-1 | 4 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | SPP_URE2 | NCRXXXXXX | \$3,000 | ACP | SPP200900190 | CIP-007-1 | (1.1 - 1.3) | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | SPP_URE2 | NCRXXXXXX | \$3,000 | ACP | SPP200900191 | CIP-007-1 | 5.2.3 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | SPP RE | SPP_URE2 | NCRXXXXXX | \$3,000 | ACP | SPP200900193 | CIP-009-1 | 1, 1.1 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE1 | NCRXXXXXX | \$0 | ACP | RFC201000531 | CIP-003-1 | 5.2, 5.3 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE2 | NCRXXXXXX | \$0 | ACP | RFC201000573 | CIP-003-1 | 5.2, 5.3 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE3 | NCRXXXXXX | \$0 | ACP | RFC201000558 | CIP-005-1 | 4 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE1 | NCRXXXXXX | \$0 | ACP | RFC201000537 | CIP-005-1 | 4 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE2 | NCRXXXXXX | \$0 | ACP | RFC201000579 | CIP-005-1 | 4 | Medium | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE3 | NCRXXXXXX | \$0 | ACP | RFC201000566 | CIP-007-1 | 8 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE1 | NCRXXXXXX | \$0 | ACP | RFC201000545 | CIP-007-1 | 8 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE2 | NCRXXXXXX | \$0 | ACP | RFC201000587 | CIP-007-1 | 8 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE3 | NCRXXXXXX | \$0 | ACP | RFC201000567 | CIP-007-1 | 9 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE1 | NCRXXXXXX | \$0 | ACP | RFC201000546 | CIP-007-1 | 9 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE2 | NCRXXXXXX | \$0 | ACP | RFC201000588 | CIP-007-1 | 9 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE3 | NCRXXXXXX | \$0 | ACP | RFC201000568 | CIP-008-1 | 1.6 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE1 | NCRXXXXXX | \$0 | ACP | RFC201000547 | CIP-008-1 | 1.6 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE2 | NCRXXXXXX | \$0 | ACP | RFC201000589 | CIP-008-1 | 1.6 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE3 | NCRXXXXXX | \$0 | ACP | RFC201000569 | CIP-009-1 | 2 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE1 | NCRXXXXXX | \$0 | ACP | RFC201000548 | CIP-009-1 | 2 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE2 | NCRXXXXXX | \$0 | ACP | RFC201000590 | CIP-009-1 | 2 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE3 | NCRXXXXXX | \$0 | ACP | RFC201000571 | CIP-009-1 | 5 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE1 | NCRXXXXXX | \$0 | ACP | RFC201000550 | CIP-009-1 | 5 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE2 | NCRXXXXXX | \$0 | ACP | RFC201000592 | CIP-009-1 | 5 | Lower | X |
| 6/30/2011 | FERC | NP11-228-000 | RFC | RFC_URE3 | NCRXXXXXX | \$0 | ACP | RFC201000552 | CIP-003-1 | 5.2 and 5.3 | Lower | X |
| 6/29/2011 | FERC | NP11-227-000 | WECC | Springfield Utility Board | NCR05405 | \$9,000 | SA | WECC201001912 | PRC-005-1 | 2.1 | High | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$85,000 | SA | RFC201000659 | CIP-006-2 | 5 | Medium | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$85,000 | SA | RFC201000240 | CIP-004-1 | 4.2 | Medium | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$85,000 | SA | RFC201000683 | CIP-006-3c | 6 | Lower | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$85,000 | SA | RFC201000682 | CIP-006-3c | 5 | Medium | X |

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| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$85,000 | SA | RFC201000660 | CIP-006-2 | 6 | Lower | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$85,000 | SA | RFC201000658 | CIP-006-2 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$85,000 | SA | RFC201000435 | CIP-007-1 | 6 | Lower | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$85,000 | SA | RFC201000432 | CIP-006-1 | 1.8 | Lower | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$85,000 | SA | RFC201000295 | CIP-006-1 | 3 | Medium | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$85,000 | SA | RFC201000241 | CIP-006-1 | 1.2 | Medium | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$85,000 | SA | RFC201000234 | CIP-004-1 | 4.2 | Medium | X |
| 6/29/2011 | FERC | NP11-226-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$85,000 | SA | RFC201000681 | CIP-006-3c | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-225-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$10,000 | SA | RFC201000303 | CIP-007-1 | 6 | Lower | X |
| 6/29/2011 | FERC | NP11-225-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$10,000 | SA | RFC201000385 | CIP-002-1 | 2 | High | X |
| 6/29/2011 | FERC | NP11-225-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$10,000 | SA | RFC201000386 | CIP-003-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-224-000 | RFC | Alcoa Power Generating Inc. | NCR00667 | \$7,000 | SA | RFC201000342 | PRC-005-1 | 2 | High | X |
| 6/29/2011 | FERC | NP11-224-000 | RFC | Alcoa Power Generating Inc. | NCR00667 | \$7,000 | SA | RFC201000341 | PRC-005-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-224-000 | RFC | Alcoa Power Generating Inc. | NCR00667 | \$7,000 | SA | RFC201000340 | FAC-008-1 | 1 | Lower | X |
| 6/29/2011 | FERC | NP11-223-000 | SPP | Unidentified Registered Entity | NCRXXXXX | \$30,000 | SA | SPP200700001 | FAC-003-1 | 2 | High | X |
| 6/29/2011 | FERC | NP11-223-000 | SPP | Unidentified Registered Entity | NCRXXXXX | \$30,000 | SA | SPP200900149 | CIP-003-1 | 1 | Lower | X |
| 6/29/2011 | FERC | NP11-223-000 | SPP | Unidentified Registered Entity | NCRXXXXX | \$30,000 | SA | SPP200800062 | FAC-008-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-222-000 | TRE | Luminant Energy Company, LLC | NCR10133 | \$107,000 | SA | TRE201000121 | IRO-001-1.1 | 8 | High | X |
| 6/29/2011 | FERC | NP11-222-000 | TRE | Luminant Energy Company, LLC | NCR10133 | \$107,000 | SA | TRE201000122 | TOP-001-1 | 3 | High | X |
| 6/29/2011 | FERC | NP11-222-000 | TRE | Luminant Energy Company, LLC | NCR10133 | \$107,000 | SA | TRE201100204 | VAR-002-1.1a | 3 | Medium | X |
| 6/29/2011 | FERC | NP11-222-000 | TRE | Luminant Energy Company, LLC | NCR10133 | \$107,000 | SA | TRE201000114 | VAR-002-1.1a | 3 | Medium | X |
| 6/29/2011 | FERC | NP11-221-000 | WECC | Columbia Rural Electric Assoc. | NCR05110 | \$2,750 | SA | WECC201002014 | PRC-005-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-220-000 | RFC | Elwood Energy, LLC, Kincaid Generation, LLC, State Line | NCR00771, NCR00811, NCR00920, | \$15,000 | SA | RFC201000655 | PRC-005-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-220-000 | RFC | Elwood Energy, LLC, Kincaid Generation, LLC, State Line | NCR00771, NCR00811, NCR00920, | \$15,000 | SA | RFC201100749 | PRC-005-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-220-000 | RFC | Elwood Energy, LLC, Kincaid Generation, LLC, State Line | NCR00771, NCR00811, NCR00920, | \$15,000 | SA | RFC201100748 | PRC-005-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-220-000 | RFC | Elwood Energy, LLC, Kincaid Generation, LLC, State Line | NCR00771, NCR00811, NCR00920, | \$15,000 | SA | RFC201100750 | PRC-005-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-220-000 | RFC | Elwood Energy, LLC, Kincaid Generation, LLC, State Line | NCR00771, NCR00811, NCR00920, | \$15,000 | SA | RFC201000622 | PRC-005-1 | 2 | High | X |

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|-----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 6/29/2011 | FERC | NP11-220-000 | RFC | Elwood Energy, LLC, Kincaid Generation, LLC, State Line | NCR00771, NCR00811, NCR00920, | \$15,000 | SA | RFC201000623 | PRC-005-1 | 2 | High | X |
| 6/29/2011 | FERC | NP11-219-000 | RFC | City of Batavia Municipal Electric Utility | NCR00711 | \$10,000 | SA | RFC200900223 | CIP-001-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-219-000 | RFC | City of Batavia Municipal Electric Utility | NCR00711 | \$10,000 | SA | RFC200900225 | PRC-005-1 | 2.1 | High | X |
| 6/29/2011 | FERC | NP11-219-000 | RFC | City of Batavia Municipal Electric Utility | NCR00711 | \$10,000 | SA | RFC200900224 | PRC-005-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901868 | CIP-006-1 | 4 | Lower | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901499 | CIP-001-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901521 | EOP-005-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901500 | CIP-001-1 | 3 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901497 | COM-001-1 | 3 | Lower | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901490 | CIP-007-1 | 1 | Lower | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901867 | CIP-006-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901519 | EOP-001-0 | 1 | High | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC201001811 | PRC-STD-005-1 | WR1 | N/A | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901676 | EOP-001-0 | 6 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC201001820 | VAR-STD-002b-1 | WR1 | N/A | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901597 | CIP-003-1 | 4 | Lower | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901496 | COM-001-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901821 | INT-001-3 | 1 | Lower | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901555 | CIP-004-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901452 | PRC-005-1 | 2.1 | High | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901489 | CIP-004-1 | 3 | Lower | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901722 | CIP-004-1 | 3 | Lower | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901596 | CIP-003-1 | 1 | Lower | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901595 | CIP-002-1 | 3 | High | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200801274 | PRC-STD-005-1 | WR1 | N/A | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200801211 | FAC-013-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901498 | CIP-001-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901594 | CIP-002-1 | 2 | High | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901675 | VAR-001-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901520 | EOP-005-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-218-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$130,000 | SA | WECC200901674 | VAR-001-1 | 3 | Lower | X |

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|-----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 6/29/2011 | FERC | NP11-217-000 | RFC | High Trail Wind Farm LLC -and- Old Trail Wind Farm, | NCR08054/NC R10135 | \$7,500 | SA | RFC201100756 | PRC-005-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-217-000 | RFC | High Trail Wind Farm LLC -and- Old Trail Wind Farm, | NCR08054/NC R10135 | \$7,500 | SA | RFC201000406 | PRC-005-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-216-000 | WECC | Merced Irrigation District | NCR05234 | \$6,000 | SA | WECC201001869 | EOP-004-1 | 3 | Lower | X |
| 6/29/2011 | FERC | NP11-215-000 | WECC | Boise-Kuna Irrigation District | NCR00086 | \$7,500 | SA | WECC201002045 | PRC-005-1 | 2.1 | High | X |
| 6/29/2011 | FERC | NP11-214-000 | RFC | T.E.S. Filer City Station Limited Partnership | NCR00925 | \$25,000 | SA | RFC201000430 | PRC-005-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-214-000 | RFC | T.E.S. Filer City Station Limited Partnership | NCR00925 | \$25,000 | SA | RFC201000431 | PRC-005-1 | 2 | High | X |
| 6/29/2011 | FERC | NP11-214-000 | RFC | T.E.S. Filer City Station Limited Partnership | NCR00925 | \$25,000 | SA | RFC201000429 | FAC-009-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-214-000 | RFC | T.E.S. Filer City Station Limited Partnership | NCR00925 | \$25,000 | SA | RFC201000368 | VAR-002-1 | 3 | Medium | X |
| 6/29/2011 | FERC | NP11-214-000 | RFC | T.E.S. Filer City Station Limited Partnership | NCR00925 | \$25,000 | SA | RFC201000428 | FAC-008-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-213-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$143,500 | SA | WECC201001856 | CIP-003-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-213-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$143,500 | SA | WECC200901775 | CIP-007-1 | 6 | Lower | X |
| 6/29/2011 | FERC | NP11-213-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$143,500 | SA | WECC200901773 | CIP-007-1 | 5 | Lower | X |
| 6/29/2011 | FERC | NP11-213-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$143,500 | SA | WECC201001857 | CIP-004-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-213-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$143,500 | SA | WECC201001858 | CIP-004-1 | 3 | Medium | X |
| 6/29/2011 | FERC | NP11-213-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$143,500 | SA | WECC201001859 | CIP-004-1 | 4 | Lower | X |
| 6/29/2011 | FERC | NP11-213-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$143,500 | SA | WECC201001860 | CIP-007-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-213-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$143,500 | SA | WECC201001862 | CIP-009-1 | 2 | Lower | X |
| 6/29/2011 | FERC | NP11-213-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$143,500 | SA | WECC200901774 | CIP-005-1 | 3 | Medium | X |
| 6/29/2011 | FERC | NP11-213-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$143,500 | SA | WECC201001861 | CIP-009-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-212-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$381,600 | NOCV | WECC200902081 | CIP-007-1 | 8 | Medium | X |
| 6/29/2011 | FERC | NP11-212-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$381,600 | NOCV | WECC200902079 | CIP-004-1 | 3 | Medium | X |
| 6/29/2011 | FERC | NP11-212-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$381,600 | NOCV | WECC201002082 | CIP-005-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-212-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$381,600 | NOCV | WECC201002088 | CIP-005-1 | 3 | Medium | X |
| 6/29/2011 | FERC | NP11-212-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$381,600 | NOCV | WECC201002080 | CIP-007-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-212-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$381,600 | NOCV | WECC201002288 | CIP-004-1 | 2 | Lower | X |
| 6/29/2011 | FERC | NP11-211-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$14,000 | SA | WECC200901641 | CIP-001-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-211-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$14,000 | SA | WECC200901673 | CIP-004-1 | 4 | Lower | X |
| 6/29/2011 | FERC | NP11-211-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$14,000 | SA | WECC200901671 | CIP-002-1 | 1 | Lower | X |
| 6/29/2011 | FERC | NP11-211-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$14,000 | SA | WECC200901672 | CIP-003-1 | 1 | Lower | X |
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000319 | TPL-003-0 | 1 | High | X |
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000318 | TOP-002-2 | 11 | Medium | X |
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000317 | TOP-002-2 | 6 | Medium | X |
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000313 | PER-002-0 | 2 | High | X |
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000316 | PRC-001-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000315 | PER-002-0 | 4 | High | X |

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|-----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000314 | PER-002-0 | 3 | High | X |
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000312 | PER-002-0 | 1 | High | X |
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000311 | FAC-008-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000310 | FAC-003-1 | 1 | High | X |
| 6/29/2011 | FERC | NP11-210-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$70,000 | SA | RFC201000309 | FAC-001-0 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-209-000 | WECC | Blachly-Lane Electric Coop/PNGC | NCR05028 | \$0 | SA | WECC201001910 | PRC-008-0 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-209-000 | WECC | Blachly-Lane Electric Coop/PNGC | NCR05028 | \$0 | SA | WECC201001911 | PRC-008-0 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-208-000 | WECC | Black Hills/Colorado Electric Utility Company, | NCR00089 | \$8,000 | SA | WECC200901691 | PRC-005-1 | 2.1 | High | X |
| 6/29/2011 | FERC | NP11-207-000 | RFC | Troy Energy, LLC | NCR00337 | \$8,000 | SA | RFC200900282 | PRC-005-1 | 2 | High | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900115 | CIP-004-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC201000147 | CIP-004-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900105 | CIP-006-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC201000149 | CIP-004-1 | 4 | Lower | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC201000150 | CIP-004-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC201000152 | CIP-006-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900096 | CIP-006-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900088 | CIP-004-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900089 | CIP-004-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900103 | CIP-004-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900104 | CIP-006-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC201000181 | CIP-004-2 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC201000146 | CIP-004-1 | 4 | Lower | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900114 | CIP-006-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC201000156 | CIP-006-2 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC201000151 | CIP-004-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900091 | CIP-004-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC201000182 | CIP-004-2 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900090 | CIP-004-1 | 4 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC201000148 | CIP-004-1 | 3 | Medium | X |
| 6/29/2011 | FERC | NP11-206-000 | NPCC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | NPCC200900092 | CIP-006-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-205-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$22,000 | SA | WECC201001916 | CIP-003-1 | 1 | Lower | X |
| 6/29/2011 | FERC | NP11-205-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$22,000 | SA | WECC201001913 | CIP-002-1 | 1 | Lower | X |
| 6/29/2011 | FERC | NP11-205-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$22,000 | SA | WECC201001914 | CIP-004-1 | 4 | Lower | X |
| 6/29/2011 | FERC | NP11-205-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$22,000 | SA | WECC201001882 | MOD-010-0 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-204-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$37,500 | SA | WECC200901690 | CIP-005-1 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-204-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$37,500 | SA | WECC200901666 | CIP-003-1 | 3 | Lower | X |
| 6/29/2011 | FERC | NP11-204-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$37,500 | SA | WECC200901753 | CIP-006-1 | 1 | Medium | X |

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|-----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 6/29/2011 | FERC | NP11-204-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$37,500 | SA | WECC200901700 | CIP-007-1 | 5 | Lower | X |
| 6/29/2011 | FERC | NP11-203-000 | WECC | City of Loveland, Colorado | NCR05087 | \$3,000 | SA | WECC200901755 | PRC-008-0 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-202-000 | WECC | High Desert Power Project, LLC | NCR05184 | \$9,000 | SA | WECC201001875 | VAR-002-1 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-201-000 | WECC | Lane Electric Cooperative, Inc./PNGC | NCR05212 | \$0 | SA | WECC201001909 | PRC-008-0 | 2 | Medium | X |
| 6/29/2011 | FERC | NP11-201-000 | WECC | Lane Electric Cooperative, Inc./PNGC | NCR05212 | \$0 | SA | WECC201001908 | PRC-008-0 | 1 | Medium | X |
| 6/29/2011 | FERC | NP11-200-000 | WECC | Idaho Power Company | NCR05191 | \$10,000 | NOCV | WECC201001834 | FAC-013-1 | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | FRCC | Central Power and Lime Company (CPL) | NCR00010 | \$3,000 | ACP | FRCC201000373 | FAC-008-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | MRO | Nebraska Public Power District (NPPD) | NCR01018 | \$0 | ACP | MRO201000222 | PRC-008-0 | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | NPCC | New York State Electric & Gas (NYSEG) | NCR07181 | 5,000 | ACP | NPCC201000138 | FAC-001-0 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | NPCC | New York State Electric & Gas (NYSEG) | NCR07181 | 5,000 | ACP | NPCC201000139 | FAC-001-0 | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | NPCC | New York State Electric & Gas (NYSEG) | NCR07181 | 5,000 | ACP | NPCC201000140 | FAC-008-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | NPCC | New York State Electric & Gas (NYSEG) | NCR07181 | 5,000 | ACP | NPCC201000141 | PRC-005-1 | 2 | High | X |
| 5/26/2011 | FERC | NP11-199-000 | NPCC | Littleton Electric Light Department (LELD) | NCR00164 | 5,000 | ACP | NPCC200900111 | CIP-001-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | NPCC | Littleton Electric Light Department (LELD) | NCR00164 | 5,000 | ACP | NPCC201000213 | CIP-001-1 | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | NPCC | Littleton Electric Light Department (LELD) | NCR00164 | 5,000 | ACP | NPCC200900112 | CIP-001-1 | 3 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | NPCC | Littleton Electric Light Department (LELD) | NCR00164 | 5,000 | ACP | NPCC200900113 | CIP-001-1 | 4 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | SERC | Central Electric Power Cooperative, Inc. (CEPCI) | NCR01191 | 5,000 | ACP | SERC201000553 | CIP-001-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | SERC | Central Electric Power Cooperative, Inc. (CEPCI) | NCR01191 | 5,000 | ACP | SERC201000554 | CIP-001-1 | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | SERC | Central Electric Power Cooperative, Inc. (CEPCI) | NCR01191 | 5,000 | ACP | SERC201000555 | CIP-001-1 | 3 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | SERC | Central Electric Power Cooperative, Inc. (CEPCI) | NCR01191 | 5,000 | ACP | SERC201000556 | CIP-001-1 | 4 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | SERC | KGen Hot Spring LLC (KGen) | NCR09012 | \$2,000 | ACP | SERC200900432 | PRC-005-1 | 2 | High | X |
| 5/26/2011 | FERC | NP11-199-000 | WECC | Calpine Energy Services (CAES) | NCR10115 | \$1,000 | ACP | WECC201102401 | VAR-002-1.1b | 3 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | MRO | MRO_URE1 | NCRXXXXX | \$0 | ACP | MRO201100252 | CIP-004-1 | 2.3 | Lower | X |
| 5/26/2011 | FERC | NP11-199-000 | NPCC | NPCC_URE1 | NCRXXXXX | 3,500 | ACP | NPCC201000207 | CIP-006-2 | 1.5 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | NPCC | NPCC_URE1 | NCRXXXXX | 3,500 | ACP | NPCC201000208 | CIP-006-2 | 1.5 | Medium | X |
| 5/26/2011 | FERC | NP11-199-000 | SERC | SERC_URE1 | NCRXXXXX | \$0 | ACP | SERC201000727 | CIP-003-2 | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-198-000 | SPP | Unidentified Registered Entity | NCRXXXXX | \$17,860 | SA | SPP200900181 | CIP-007-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-198-000 | SPP | Unidentified Registered Entity | NCRXXXXX | \$17,860 | SA | SPP200900168 | PRC-005-1 | 2.1 | Medium | X |
| 5/26/2011 | FERC | NP11-198-000 | SPP | Unidentified Registered Entity | NCRXXXXX | \$17,860 | SA | SPP200900169 | FAC-008-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-198-000 | SPP | Unidentified Registered Entity | NCRXXXXX | \$17,860 | SA | SPP200900170 | FAC-009-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-198-000 | SPP | Unidentified Registered Entity | NCRXXXXX | \$17,860 | SA | SPP201000253 | BAL-003-0.1b | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-198-000 | SPP | Unidentified Registered Entity | NCRXXXXX | \$17,860 | SA | SPP200900178 | CIP-003-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-198-000 | SPP | Unidentified Registered Entity | NCRXXXXX | \$17,860 | SA | SPP200900180 | CIP-004-1 | 4.2 | High | X |
| 5/26/2011 | FERC | NP11-197-000 | WECC | Gila River Power, LP - GO/GOP/PSE | NCR05170 | \$20,000 | SA | WECC201001907 | PRC-005-1 | 2.1 | High | X |
| 5/26/2011 | FERC | NP11-196-000 | RFC | Cordova Energy Company, LLC | NCR00742 | \$20,000 | SA | RFC201000627 | PRC-005-1 | 1 | High | X |
| 5/26/2011 | FERC | NP11-195-000 | WECC | Provo City Corporation | NCR05332 | \$18,000 | SA | WECC201001865 | PRC-005-1 | 1 | High | X |
| 5/26/2011 | FERC | NP11-195-000 | WECC | Provo City Corporation | NCR05332 | \$18,000 | SA | WECC201001866 | PRC-005-1 | 2.1 | High | X |

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| Date | Regulatory Authority | Regulatory Filing ID | Region | Registered Entity | NCR ID (NERC Compliance Registry Identifier) | Total Penalty (\$) (The total penalty amount represents an aggregate amount for the filing; it does not represent an amount per violation.) | SA, NOCV, ACP or OMNI | NERC Violation ID | Reliability Standard | Req. | Violation Risk Factor | Notice of No Further Review Issued |
|-----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 5/26/2011 | FERC | NP11-194-000 | WECC | Edison Mission Marketing & Trading, Inc. | NCR00769 | \$10,000 | SA | WECC201002051 | PRC-005-1 | 2.1 | High | X |
| 5/26/2011 | FERC | NP11-193-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$60,000 | SA | WECC201002049 | PRC-005-1 | 2.1 | High | X |
| 5/26/2011 | FERC | NP11-193-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$60,000 | SA | WECC201001968 | CIP-007-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-193-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$60,000 | SA | WECC201001974 | COM-002-2 | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-193-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$60,000 | SA | WECC201002010 | CIP-003-1 | 1 | Lower | X |
| 5/26/2011 | FERC | NP11-193-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$60,000 | SA | WECC201001948 | CIP-004-1 | 3 | Medium | X |
| 5/26/2011 | FERC | NP11-192-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$12,200 | NOCV | WECC201002152 | CIP-006-2 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-192-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$12,200 | NOCV | WECC201002236 | CIP-005-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-192-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$12,200 | NOCV | WECC201002154 | CIP-004-1 | 4 | Medium | X |
| 5/26/2011 | FERC | NP11-191-000 | RFC | UGI Utilities, Inc. | NCR00935 | \$20,000 | SA | RFC201000628 | PRC-005-1 | 2 | High | X |
| 5/26/2011 | FERC | NP11-191-000 | RFC | UGI Utilities, Inc. | NCR00935 | \$20,000 | SA | RFC201000344 | PRC-005-1 | 1 | High | X |
| 5/26/2011 | FERC | NP11-190-000 | RFC | Hoosier Energy REC, Inc. | NCR00794 | \$80,000 | SA | RFC200900205 | FAC-008-1 | 1 | Lower | X |
| 5/26/2011 | FERC | NP11-190-000 | RFC | Hoosier Energy REC, Inc. | NCR00794 | \$80,000 | SA | RFC200900201 | EOP-001-0 | 5 | Medium | X |
| 5/26/2011 | FERC | NP11-190-000 | RFC | Hoosier Energy REC, Inc. | NCR00794 | \$80,000 | SA | RFC200900177 | PRC-005-1 | 2.1 | High | X |
| 5/26/2011 | FERC | NP11-190-000 | RFC | Hoosier Energy REC, Inc. | NCR00794 | \$80,000 | SA | RFC200900179 | CIP-001-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-190-000 | RFC | Hoosier Energy REC, Inc. | NCR00794 | \$80,000 | SA | RFC200900199 | EOP-001-0 | 3 | Medium | X |
| 5/26/2011 | FERC | NP11-190-000 | RFC | Hoosier Energy REC, Inc. | NCR00794 | \$80,000 | SA | RFC200900200 | EOP-001-0 | 4 | Medium | X |
| 5/26/2011 | FERC | NP11-190-000 | RFC | Hoosier Energy REC, Inc. | NCR00794 | \$80,000 | SA | RFC200900202 | EOP-001-0 | 6 | Medium | X |
| 5/26/2011 | FERC | NP11-190-000 | RFC | Hoosier Energy REC, Inc. | NCR00794 | \$80,000 | SA | RFC200900204 | FAC-003-1 | 2 | High | X |
| 5/26/2011 | FERC | NP11-190-000 | RFC | Hoosier Energy REC, Inc. | NCR00794 | \$80,000 | SA | RFC200900207 | VAR-001-1 | 1 | High | X |
| 5/26/2011 | FERC | NP11-190-000 | RFC | Hoosier Energy REC, Inc. | NCR00794 | \$80,000 | SA | RFC200900203 | FAC-003-1 | 1 | High | X |
| 5/26/2011 | FERC | NP11-189-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$17,000 | SA | FRCC200900277 | CIP-005-1 | 1.4 | Lower | X |
| 5/26/2011 | FERC | NP11-189-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$17,000 | SA | FRCC201000384 | CIP-005-1 | 1 | Lower | X |
| 5/26/2011 | FERC | NP11-189-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$17,000 | SA | FRCC200900278 | CIP-004-1 | 2.1 | Medium | X |
| 5/26/2011 | FERC | NP11-189-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$17,000 | SA | FRCC200900217 | CIP-004-1 | 3 | Medium | X |
| 5/26/2011 | FERC | NP11-189-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$17,000 | SA | FRCC201000383 | CIP-004-2 | 3 | Medium | X |
| 5/26/2011 | FERC | NP11-188-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$16,860 | SA | SPP200900164 | FAC-009-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-188-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$16,860 | SA | SPP200900086 | CIP-004-1 | 4 | Medium | X |
| 5/26/2011 | FERC | NP11-188-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$16,860 | SA | SPP200900162 | PRC-005-1 | 2.1 | High | X |
| 5/26/2011 | FERC | NP11-188-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$16,860 | SA | SPP201000260 | BAL-003-0.1b | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-188-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$16,860 | SA | SPP200900166 | CIP-004-1 | 3.2 | Lower | X |
| 5/26/2011 | FERC | NP11-188-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$16,860 | SA | SPP200900165 | CIP-003-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-188-000 | SPP | Unidentified Registered Entity | NCRXXXXXX | \$16,860 | SA | SPP200900163 | FAC-008-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-187-000 | RFC | Edison Mission Marketing & Trading, Inc. | NCR00769 | \$10,000 | SA | RFC201000443 | PRC-005-1 | 2.1 | High | X |
| 5/26/2011 | FERC | NP11-186-000 | RFC | Allegheny Energy Supply Company, L.L.C. [GO, | NCR02600 | \$20,000 | SA | RFC200900181 | PRC-005-1 | 2 | High | X |
| 5/26/2011 | FERC | NP11-186-000 | RFC | Allegheny Energy Supply Company, L.L.C. [GO, | NCR02600 | \$20,000 | SA | RFC201000434 | PRC-005-1 | 2.1 | High | X |
| 5/26/2011 | FERC | NP11-185-000 | TRE | Brazos Wind, LP | NCR10210 | \$22,000 | NOCV | TRE201000092 | PRC-005-1 | 1 | High | X |

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|-----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 5/26/2011 | FERC | NP11-185-000 | TRE | Brazos Wind, LP | NCR10210 | \$22,000 | NOCV | TRE201000093 | PRC-005-1 | 2 | High | X |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000364 | CIP-009-1 | 4 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000363 | CIP-009-1 | 3 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000348 | CIP-005-1 | 1 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC200900209 | CIP-003-1 | 1 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000347 | CIP-003-1 | 6 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000346 | CIP-003-1 | 5 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000345 | CIP-003-1 | 4 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC200900215 | CIP-009-1 | 1 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC200900214 | CIP-008-1 | 1 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC200900213 | CIP-007-1 | 1 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC200900212 | CIP-004-1 | 4 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC200900210 | CIP-004-1 | 2 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000352 | CIP-005-1 | 5 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000357 | CIP-007-1 | 5 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC200900208 | CIP-002-1 | 1 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000350 | CIP-005-1 | 3 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000360 | CIP-007-1 | 9 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000370 | CIP-007-1 | 6 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000353 | CIP-006-1 | 1 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000354 | CIP-006-1 | 3 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000356 | CIP-007-1 | 3 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000369 | CIP-007-1 | 4 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000349 | CIP-005-1 | 2 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC200900211 | CIP-004-1 | 3 | Medium | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000358 | CIP-007-1 | 7 | Lower | |
| 5/26/2011 | FERC | NP11-184-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$70,000 | SA | RFC201000355 | CIP-007-1 | 2 | Medium | |
| 5/26/2011 | FERC | NP11-183-000 | NPCC | CPI (CP) LLC --- CURTIS PALMER HYDROELECTRIC | NCR07076 | \$15,000 | SA | NPCC200900075 | CIP-001-1 | 3 | Medium | X |
| 5/26/2011 | FERC | NP11-183-000 | NPCC | CPI (CP) LLC --- CURTIS PALMER HYDROELECTRIC | NCR07076 | \$15,000 | SA | NPCC200900077 | FAC-008-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-183-000 | NPCC | CPI (CP) LLC --- CURTIS PALMER HYDROELECTRIC | NCR07076 | \$15,000 | SA | NPCC200900073 | CIP-001-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-183-000 | NPCC | CPI (CP) LLC --- CURTIS PALMER HYDROELECTRIC | NCR07076 | \$15,000 | SA | NPCC200900074 | CIP-001-1 | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-183-000 | NPCC | CPI (CP) LLC --- CURTIS PALMER HYDROELECTRIC | NCR07076 | \$15,000 | SA | NPCC200900076 | CIP-001-1 | 4 | Medium | X |
| 5/26/2011 | FERC | NP11-183-000 | NPCC | CPI (CP) LLC --- CURTIS PALMER HYDROELECTRIC | NCR07076 | \$15,000 | SA | NPCC200900078 | FAC-009-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-183-000 | NPCC | CPI (CP) LLC --- CURTIS PALMER HYDROELECTRIC | NCR07076 | \$15,000 | SA | NPCC200900079 | PRC-005-1 | 1 | High | X |
| 5/26/2011 | FERC | NP11-182-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$59,000 | SA | WECC201001831 | CIP-009-1 | 1 | Medium | X |
| 5/26/2011 | FERC | NP11-182-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$59,000 | SA | WECC200901829 | CIP-004-1 | 3 | Medium | X |
| 5/26/2011 | FERC | NP11-182-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$59,000 | SA | WECC201001830 | CIP-008-1 | 1 | Lower | X |

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|-----------|----------------------|----------------------|----------|---|--|---|-----------------------|--------------------------------|----------------------|------------|-----------------------|------------------------------------|
| 5/26/2011 | FERC | NP11-182-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$59,000 | SA | WECC200901836 | CIP-004-1 | 4 | Lower | X |
| 5/26/2011 | FERC | NP11-182-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$59,000 | SA | WECC200901828 | CIP-004-1 | 2 | Medium | X |
| 5/26/2011 | FERC | NP11-182-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$59,000 | SA | WECC201001832 | CIP-009-1 | 2 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | Beaches Energy Services of Jacksonville Beach (BES) | NCR00004 | \$25,000 | ACP | FRCC200810001, (FRCC200600832) | EOP-005-1 | 6 | High | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | Beaches Energy Services of Jacksonville Beach (BES) | NCR00004 | \$25,000 | ACP | FRCC200900211 | EOP-005-1 | 2 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | Beaches Energy Services of Jacksonville Beach (BES) | NCR00004 | \$25,000 | ACP | FRCC200900203 | EOP-008-0 | 1.3 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | Beaches Energy Services of Jacksonville Beach (BES) | NCR00004 | \$25,000 | ACP | FRCC200900204 | EOP-008-0 | 1.7 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | Beaches Energy Services of Jacksonville Beach (BES) | NCR00004 | \$25,000 | ACP | FRCC200900403 | FAC-008-1 | 1 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | Beaches Energy Services of Jacksonville Beach (BES) | NCR00004 | \$25,000 | ACP | FRCC200910005, (FRCC200600830) | PRC-005-1 | 1 | High | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | City of Tallahassee (TAL) | NCR00073 | \$11,000 | ACP | FRCC200900281 | EOP-001-0 | 6 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | City of Tallahassee (TAL) | NCR00073 | \$11,000 | ACP | FRCC200900282 | FAC-009-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | Hardee Power Partners, Ltd. (HPP) | NCR00035 | \$6,000 | ACP | FRCC201000374 | FAC-008-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | Kissimmee Utility Authority | NCR00042 | \$1,000 | ACP | FRCC201000398 | FAC-001-0 | 2 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | Texas RE | ConocoPhillips Company | NCR10182 | \$5,000 | ACP | TRE200900097 | VAR-002-1.1b | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | Texas RE | ConocoPhillips Company | NCR10182 | \$5,000 | ACP | TRE200900098 | VAR-002-1.1b | 3 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | Texas RE | Weatherford Municipal Utility System | NCR04160 | \$3,000 | ACP | TRE200900081 | PRC-005-1 | 1 | High | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000324 | CIP-004-1 | 2.1 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000325 | CIP-004-1 | 4.1 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000337 | CIP-004-1 | 2.3 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000338 | CIP-004-1 | 3 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000326 | CIP-005-1 | 4 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000322 | CIP-006-1 | 3 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000323 | CIP-006-1 | 4 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000328 | CIP-007-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000329 | CIP-007-1 | 2 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000330 | CIP-007-1 | 3 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | FRCC | FRCC_URE1 | NCRXXXXXX | \$23,000 | ACP | FRCC201000331 | CIP-007-1 | 8 | Lower | X |
| 4/29/2011 | FERC | NP11-181-000 | NPCC | NPCC_URE2 | NCRXXXXXX | \$4,000 | ACP | NPCC201000163 | CIP-006-2 | 1.6 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | NPCC | NPCC_URE3 | NCRXXXXXX | \$7,500 | ACP | NPCC201000183 | CIP-004-1 | 3 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | NPCC | NPCC_URE3 | NCRXXXXXX | \$7,500 | ACP | NPCC201000185 | CIP-004-1 | 4.1 4.2 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | NPCC | NPCC_URE3 | NCRXXXXXX | \$7,500 | ACP | NPCC201000186 | CIP-004-1 | 2.1 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | NPCC | NPCC_URE3 | NCRXXXXXX | \$7,500 | ACP | NPCC201000184 | CIP-006-1 | 1.4 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | NPCC | NPCC_URE4 | NCRXXXXXX | \$2,500 | ACP | NPCC201000187 | CIP-004-1 | 4.1 4.2 | Medium | X |

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|-----------|----------------------|----------------------|--------|------------------------------------|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 4/29/2011 | FERC | NP11-181-000 | NPCC | NPCC_URE4 | NCRXXXXX | \$2,500 | ACP | NPCC201000188 | CIP-004-1 | 2.1 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | NPCC | NPCC_URE4 | NCRXXXXX | \$2,500 | ACP | NPCC201000189 | CIP-004-1 | 3 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | NPCC | NPCC_URE5 | NCRXXXXX | \$2,500.00 | ACP | NPCC201000158 | CIP-007-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-181-000 | NPCC | NPCC_URE6 | NCRXXXXX | \$2,500.00 | ACP | NPCC201000157 | CIP-007-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-180-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$71,500 | SA | WECC200901707 | CIP-004-1 | 2 | Lower | X |
| 4/29/2011 | FERC | NP11-180-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$71,500 | SA | WECC200801177 | CIP-004-1 | 3 | Lower | X |
| 4/29/2011 | FERC | NP11-180-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$71,500 | SA | WECC200901810 | IRO-005-1 | 13 | N/A | X |
| 4/29/2011 | FERC | NP11-180-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$71,500 | SA | WECC200901787 | IRO-STD-006-0 | WR1 | N/A | X |
| 4/29/2011 | FERC | NP11-180-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$71,500 | SA | WECC200901809 | PER-002-0 | 4 | High | X |
| 4/29/2011 | FERC | NP11-180-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$71,500 | SA | WECC200801162 | TPL-004-0 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-180-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$71,500 | SA | WECC200901786 | BAL-004-0 | 3 | Medium | X |
| 4/29/2011 | FERC | NP11-180-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$71,500 | SA | WECC200801492 | BAL-002-0 | 4 | Medium | X |
| 4/29/2011 | FERC | NP11-180-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$71,500 | SA | WECC200901420 | TOP-008-1 | 2 | High | X |
| 4/29/2011 | FERC | NP11-179-000 | MRO | Unidentified Registered Entity | NCRXXXXX | \$10,000 | SA | MRO200900136 | CIP-007-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-178-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$35,000 | SA | WECC200901399 | COM-002-2 | 2 | Medium | X |
| 4/29/2011 | FERC | NP11-178-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$35,000 | SA | WECC200901741 | CIP-002-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-177-000 | RFC | Baltimore Gas and Electric Company | NCR00689 | \$0 | SA | RFC201000392 | FAC-009-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-177-000 | RFC | Baltimore Gas and Electric Company | NCR00689 | \$0 | SA | | | | | X |
| 4/29/2011 | FERC | NP11-176-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | WECC200901769 | IRO-005-2 | 13 | N/A | X |
| 4/29/2011 | FERC | NP11-176-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | WECC200901752 | TOP-004-2 | 1 | High | X |
| 4/29/2011 | FERC | NP11-176-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | WECC200901784 | BAL-004-WECC-01 | 1 | Lower | X |
| 4/29/2011 | FERC | NP11-176-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | WECC200901556 | CIP-004-1 | 2 | Medium | X |
| 4/29/2011 | FERC | NP11-176-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | WECC200901764 | CIP-004-1 | 3 | Medium | X |
| 4/29/2011 | FERC | NP11-176-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | WECC200901651 | CIP-004-1 | 4 | Medium | X |
| 4/29/2011 | FERC | NP11-176-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$80,000 | SA | WECC200901638 | PRC-005-1 | 2.1 | High | X |
| 4/29/2011 | FERC | NP11-175-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$32,000 | SA | WECC200801266 | TPL-003-0 | 2 | Medium | X |
| 4/29/2011 | FERC | NP11-175-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$32,000 | SA | WECC200901507 | VAR-STD-002b-1 | WR1 | N/A | X |
| 4/29/2011 | FERC | NP11-175-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$32,000 | SA | WECC200801265 | TPL-003-0 | 1 | High | X |
| 4/29/2011 | FERC | NP11-175-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$32,000 | SA | WECC200901739 | CIP-006-1 | 1 | Lower | X |
| 4/29/2011 | FERC | NP11-175-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$32,000 | SA | WECC200901401 | CIP-004-1 | 3 | Lower | X |
| 4/29/2011 | FERC | NP11-175-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$32,000 | SA | WECC200901400 | CIP-004-1 | 2 | Medium | X |
| 4/29/2011 | FERC | NP11-174-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$15,000 | SA | RFC201000308 | CIP-004-1 | 4 | Lower | X |
| 4/29/2011 | FERC | NP11-174-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$15,000 | SA | RFC200900232 | CIP-006-1 | 1.8 | Lower | X |
| 4/29/2011 | FERC | NP11-174-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$15,000 | SA | RFC201000307 | CIP-004-1 | 2 | Lower | X |

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|-----------|----------------------|----------------------|----------|-------------------------------------|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 4/29/2011 | FERC | NP11-173-000 | RFC | Safe Harbor Water Power Corporation | NCR00911 | \$30,000 | SA | RFC201000626 | PRC-005-1 | 1 | High | X |
| 4/29/2011 | FERC | NP11-173-000 | RFC | Safe Harbor Water Power Corporation | NCR00911 | \$30,000 | SA | RFC201000625 | FAC-008-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-173-000 | RFC | Safe Harbor Water Power Corporation | NCR00911 | \$30,000 | SA | RFC201000302 | PRC-005-1 | 2 | High | X |
| 4/29/2011 | FERC | NP11-172-000 | NPCC | Braintree Electric Light Department | NCR07022 | \$15,000 | SA | NPCC201000193 | VAR-002-1.1a | 3 | Medium | X |
| 4/29/2011 | FERC | NP11-172-000 | NPCC | Braintree Electric Light Department | NCR07022 | \$15,000 | SA | NPCC201000192 | VAR-002-1.1a | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-171-000 | RFC | Duke Energy Corporation | NCR00761 | \$60,000 | SA | RFC200900118 | VAR-002-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-171-000 | RFC | Duke Energy Corporation | NCR00761 | \$60,000 | SA | RFC201000410 | VAR-002-1 | 3 | Medium | X |
| 4/29/2011 | FERC | NP11-171-000 | RFC | Duke Energy Corporation | NCR00761 | \$60,000 | SA | RFC200900218 | VAR-002-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-170-000 | WECC | Grays Harbor Energy LLC | NCR02551 | \$16,500 | SA | WECC201002062 | PRC-005-1 | 1 | High | X |
| 4/29/2011 | FERC | NP11-170-000 | WECC | Grays Harbor Energy LLC | NCR02551 | \$16,500 | SA | WECC201002074 | TOP-003-0 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-169-000 | SERC | Alabama Power Company | NCR01166 | \$12,500 | SA | SERC200900301 | PRC-005-1 | 2 | High | X |
| 4/29/2011 | FERC | NP11-169-000 | SERC | Alabama Power Company | NCR01166 | \$12,500 | SA | SERC200900282 | PRC-005-1 | 2.1 | High | X |
| 4/29/2011 | FERC | NP11-169-000 | SERC | Alabama Power Company | NCR01166 | \$12,500 | SA | SERC200900258 | PRC-005-1 | 2 | High | X |
| 4/29/2011 | FERC | NP11-168-000 | RFC | American Municipal Power Inc. | NCR00683 | \$25,000 | SA | RFC201000238 | PRC-005-1 | 2 | High | X |
| 4/29/2011 | FERC | NP11-168-000 | RFC | American Municipal Power Inc. | NCR00683 | \$25,000 | SA | RFC201000651 | FAC-008-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-168-000 | RFC | American Municipal Power Inc. | NCR00683 | \$25,000 | SA | RFC201000652 | FAC-009-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901855 | CIP-008-1 | 1 | Lower | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901734 | CIP-007-1 | 4 | Medium | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901736 | CIP-007-1 | 6 | Medium | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901737 | CIP-007-1 | 7 | Lower | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901733 | CIP-007-1 | 3 | Lower | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901730 | CIP-005-1 | 4 | Medium | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901864 | CIP-009-1 | 5 | Lower | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901731 | CIP-007-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901732 | CIP-007-1 | 2 | Medium | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901851 | CIP-009-1 | 2 | Lower | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901735 | CIP-007-1 | 5 | Lower | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901729 | CIP-004-1 | 4 | Lower | X |
| 4/29/2011 | FERC | NP11-167-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$89,000 | SA | WECC200901738 | CIP-007-1 | 8 | Medium | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXXX | \$50,000 | SA | SPP200900142 | FAC-009-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXXX | \$50,000 | SA | SPP200900089 | PRC-005-1 | 2 | High | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXXX | \$50,000 | SA | SPP200900140 | FAC-003-1 | 1 | High | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXXX | \$50,000 | SA | SPP200900109 | CIP-006-1 | 1.8 | Medium | X |

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| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXX | \$50,000 | SA | SPP200900144 | CIP-008-1 | 1.6 | Lower | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXX | \$50,000 | SA | SPP200900088 | PRC-005-1 | 1.2 | High | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXX | \$50,000 | SA | SPP200900138 | CIP-003-1 | 1.1 | Lower | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXX | \$50,000 | SA | SPP200900141 | FAC-008-1 | 1 | Lower | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXX | \$50,000 | SA | SPP200900143 | CIP-007-1 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXX | \$50,000 | SA | SPP200900108 | CIP-005-1 | 2 | Medium | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXX | \$50,000 | SA | TRE200900087 | FAC-003-1 | 1 | High | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXX | \$50,000 | SA | SPP200900087 | PRC-005-1 | 1.1 | High | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXX | \$50,000 | SA | SPP201000340 | CIP-005-2 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | NCRXXXXX | \$50,000 | SA | SPP200900139 | CIP-004-1 | 2.2.2 | Lower | X |
| 4/29/2011 | FERC | NP11-165-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$30,000 | SA | RFC201000283 | PRC-005-1 | 1 | High | X |
| 4/29/2011 | FERC | NP11-165-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$30,000 | SA | RFC201000284 | PRC-005-1 | 2.1 | High | X |
| 4/29/2011 | FERC | NP11-165-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$30,000 | SA | RFC200900217 | VAR-002-1 | 3.1 | Medium | X |
| 4/29/2011 | FERC | NP11-165-000 | RFC | Indianapolis Power & Light Company | NCR00798 | \$30,000 | SA | RFC201000664 | VAR-002-1.1a | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-164-000 | RFC | American Electric Power Service Corporation as agent for... Indiana Michigan | NCR00682 | \$35,000 | SA | RFC200900261 | PRC-016-0 | 3 | Lower | X |
| 4/29/2011 | FERC | NP11-164-000 | RFC | American Electric Power Service Corporation as agent for... Indiana Michigan | NCR00682 | \$35,000 | SA | RFC200900259 | PRC-015-0 | 2 | Medium | X |
| 4/29/2011 | FERC | NP11-164-000 | RFC | American Electric Power Service Corporation as agent for... Indiana Michigan | NCR00682 | \$35,000 | SA | RFC200900258 | PRC-015-0 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-164-000 | RFC | American Electric Power Service Corporation as agent for... Indiana Michigan | NCR00682 | \$35,000 | SA | RFC200900262 | PRC-017-0 | 1 | High | X |
| 4/29/2011 | FERC | NP11-164-000 | RFC | American Electric Power Service Corporation as agent for... Indiana Michigan | NCR00682 | \$35,000 | SA | RFC200900182 | PRC-005-1 | 2.1 | High | X |
| 4/29/2011 | FERC | NP11-164-000 | RFC | American Electric Power Service Corporation as agent for... Indiana Michigan | NCR00682 | \$35,000 | SA | RFC200900260 | PRC-016-0 | 1 | Medium | X |
| 4/29/2011 | FERC | NP11-163-000 | WECC | PacifiCorp | NCR05304 | \$35,000 | SA | WECC200901879 | TOP-004-2 | 4 | High | X |

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| 4/29/2011 | FERC | NP11-163-000 | WECC | PacifiCorp | NCR05304 | \$35,000 | SA | WECC200901878 | TOP-004-2 | 1 | High | X |
| 3/31/2011 | FERC | NP11-162-000 | Reliability First Corp. | The Detroit Edison Company (Detroit Edison) | NCR00753 | \$5,000 | ACP | RFC201000638 | VAR-002-1 | 3.1 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Sharpsburg (Sharpsburg) | NCR01348 | \$0 | ACP | SERC201000722 | CIP-001-1 | 1 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Sharpsburg (Sharpsburg) | NCR01348 | \$0 | ACP | SERC201000723 | CIP-001-1 | 2 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Sharpsburg (Sharpsburg) | NCR01348 | \$0 | ACP | SERC201000724 | CIP-001-1 | 3 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Sharpsburg (Sharpsburg) | NCR01348 | \$0 | ACP | SERC201000725 | CIP-001-1 | 4 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Stantonburg (Stantonburg) | NCR01349 | \$0 | ACP | SERC201000689 | CIP-001-1 | 1 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Stantonburg (Stantonburg) | NCR01349 | \$0 | ACP | SERC201000690 | CIP-001-1 | 2 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Stantonburg (Stantonburg) | NCR01349 | \$0 | ACP | SERC201000691 | CIP-001-1 | 3 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Stantonburg (Stantonburg) | NCR01349 | \$0 | ACP | SERC201000692 | CIP-001-1 | 4 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Winterville (Winterville) | NCR01352 | \$0 | ACP | SERC201000693 | CIP-001-1 | 1 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Winterville (Winterville) | NCR01352 | \$0 | ACP | SERC201000694 | CIP-001-1 | 2 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Winterville (Winterville) | NCR01352 | \$0 | ACP | SERC201000695 | CIP-001-1 | 3 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | SERC | Town of Winterville (Winterville) | NCR01352 | \$0 | ACP | SERC201000696 | CIP-001-1 | 4 | Medium | X |
| 3/31/2011 | FERC | NP11-162-000 | Texas RE | NRG Texas Power, LLC (NRG) | NCR10090 | \$6,000 | ACP | TRE200900083 | PRC-005-1 | 1 | High | X |
| 3/31/2011 | FERC | NP11-162-000 | Texas RE | Brownsville Public Utility Board Silas Ray (BPUB Silas Ray) | NCR04021 | \$5,000 | ACP | TRE201000103 | PRC-005-1 | 1 | High | X |
| 3/31/2011 | FERC | NP11-162-000 | Texas RE | Brownsville Public Utility Board Silas Ray (BPUB Silas Ray) | NCR04021 | \$5,000 | ACP | TRE201000125 | PRC-005-1 | 2 | High | X |
| 3/31/2011 | FERC | NP11-162-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$2,500 | ACP | NPCC201000178 | CIP-004-2 | 4.2 | NA | X |
| 3/31/2011 | FERC | NP11-162-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$2,500 | ACP | NPCC201000179 | CIP-004-2 | 4.2 | NA | X |
| 3/31/2011 | FERC | NP11-162-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$2,500 | ACP | NPCC201000180 | CIP-004-2 | 4.2 | NA | X |
| 3/31/2011 | FERC | NP11-162-000 | Texas RE | TRE_URE1 | NCRXXXXX | \$8,000 | ACP | TRE201000099 | CIP-004-1 | 4 | Medium | X |
| 3/30/2011 | FERC | NP11-161-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$35,000 | SA | WECC200801185 | CIP-008-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-161-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$35,000 | SA | WECC200801706 | CIP-007-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-161-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$35,000 | SA | WECC200901423 | CIP-004-1 | 3 | Medium | X |
| 3/30/2011 | FERC | NP11-161-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$35,000 | SA | WECC200901259 | CIP-001-1 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-161-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$35,000 | SA | WECC200901491 | CIP-007-1 | 5 | Lower | X |
| 3/30/2011 | FERC | NP11-161-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$35,000 | SA | WECC200901644 | CIP-006-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-161-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$35,000 | SA | WECC200901260 | CIP-001-1 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-161-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$35,000 | SA | WECC200901625 | CIP-004-1 | 2 | Lower | X |
| 3/30/2011 | FERC | NP11-160-000 | NPCC | Dartmouth Power Associates, LP | NCR02922 | \$35,000 | SA | NPCC200900109 | TOP-003-0 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-160-000 | NPCC | Dartmouth Power Associates, LP | NCR02922 | \$35,000 | SA | NPCC200900108 | TOP-002-2 | 14 | Medium | X |
| 3/30/2011 | FERC | NP11-159-000 | WECC | Nextera Energy Resources, LLC | NCR05163 | \$60,000 | SA | WECC200901639 | PRC-005-1 | 2.1 | High | X |
| 3/30/2011 | FERC | NP11-159-000 | WECC | Nextera Energy Resources, LLC | NCR05163 | \$60,000 | SA | WECC200901723 | FAC-001-0 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-159-000 | WECC | Nextera Energy Resources, LLC | NCR05163 | \$60,000 | SA | WECC200901725 | FAC-001-0 | 3 | Medium | X |
| 3/30/2011 | FERC | NP11-159-000 | WECC | Nextera Energy Resources, LLC | NCR05163 | \$60,000 | SA | WECC200901724 | FAC-001-0 | 2 | Medium | X |

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|-----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 3/30/2011 | FERC | NP11-158-000 | RFC | PSEG Fossil LLC | NCR00893 | \$15,000 | SA | RFC201000390 | VAR-002-1 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-157-000 | SERC | Unidentified Registered Entity | NCRXXXXXX | \$7,000 | SA | SERC200900337 | CIP-004-1 | 2.3 | Lower | X |
| 3/30/2011 | FERC | NP11-157-000 | SERC | Unidentified Registered Entity | NCRXXXXXX | \$7,000 | SA | SERC200900338 | CIP-004-1 | 3 | Lower | X |
| 3/30/2011 | FERC | NP11-156-000 | SERC | Unidentified Registered Entity | NCRXXXXXX | \$12,500 | SA | SERC200900350 | CIP-006-1 | 4 | Lower | X |
| 3/30/2011 | FERC | NP11-156-000 | SERC | Unidentified Registered Entity | NCRXXXXXX | \$12,500 | SA | SERC200900348 | CIP-006-1 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-156-000 | SERC | Unidentified Registered Entity | NCRXXXXXX | \$12,500 | SA | SERC200900349 | CIP-006-1 | 3 | Medium | X |
| 3/30/2011 | FERC | NP11-155-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$2,000 | SA | WECC200901705 | CIP-003-1 | 2 | Lower | X |
| 3/30/2011 | FERC | NP11-154-000 | WECC | California Department of Water Resources | NCR05047 | \$11,900 | NOCV | WECC201002044 | PRC-005-1 | 2.1 | High | X |
| 3/30/2011 | FERC | NP11-153-000 | RFC | Exelon Generation Company, LLC - Exelon | NCR00778 | \$25,000 | SA | RFC201000321 | VAR-002-1 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-152-000 | MRO | Calpine Energy Services | NCR00007 | \$4,000 | SA | MRO201000191 | VAR-002-1 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-151-000 | WECC | Public Utility District No. 2 of Grant County, | NCR05342 | \$25,100 | NOCV | WECC201002063 | PRC-023-1 | 1 | High | X |
| 3/30/2011 | FERC | NP11-150-000 | MRO | Unidentified Registered Entity | NCRXXXXXX | \$0 | NOCV | MRO201000157 | CIP-006-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-149-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$20,000 | SA | RFC200900184 | CIP-006-1 | 4 | Medium | X |
| 3/30/2011 | FERC | NP11-149-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$20,000 | SA | RFC200900183 | CIP-006-1 | 1.6 | Medium | X |
| 3/30/2011 | FERC | NP11-148-000 | WECC | Imperial Irrigation District | NCR05195 | \$23,223 | NOCV | WECC201002053 | PRC-005-1 | 2.1 | High | X |
| 3/30/2011 | FERC | NP11-148-000 | WECC | Imperial Irrigation District | NCR05195 | \$23,223 | NOCV | WECC201001815 | PRC-STD-005-1 | WR1 | N/A | X |
| 3/30/2011 | FERC | NP11-147-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$31,000 | NOCV | WECC201001876 | PRC-005-1 | 2.1 | High | X |
| 3/30/2011 | FERC | NP11-147-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$31,000 | NOCV | WECC201002021 | PRC-005-1 | 2.1 | High | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXXX, NCRXXXXXX, NCRXXXXXX | \$52,500 | SA | RFC200900193 | CIP-004-1 | 3 | Medium | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXXX, NCRXXXXXX, NCRXXXXXX | \$52,500 | SA | RFC200900266 | CIP-008-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXXX, NCRXXXXXX, NCRXXXXXX | \$52,500 | SA | RFC200900265 | CIP-004-1 | 4 | Lower | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXXX, NCRXXXXXX, NCRXXXXXX | \$52,500 | SA | RFC200900191 | CIP-004-1 | 3 | Medium | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXXX, NCRXXXXXX, NCRXXXXXX | \$52,500 | SA | RFC200900272 | CIP-008-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXXX, NCRXXXXXX, NCRXXXXXX | \$52,500 | SA | RFC200900271 | CIP-004-1 | 4 | Lower | X |

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| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$52,500 | SA | RFC200900270 | CIP-002-1 | 3.2 | Lower | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$52,500 | SA | RFC200900269 | CIP-008-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$52,500 | SA | RFC200900268 | CIP-004-1 | 4 | Lower | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$52,500 | SA | RFC200900264 | CIP-002-1 | 3.2 | Lower | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$52,500 | SA | RFC200900267 | CIP-002-1 | 3.2 | Lower | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$52,500 | SA | RFC200900129 | CIP-004-1 | 2.1 | Medium | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$52,500 | SA | RFC200900192 | CIP-004-1 | 3 | Medium | X |
| 3/30/2011 | FERC | NP11-146-000 | RFC | Unidentified Registered Entities | NCRXXXXX, NCRXXXXX, NCRXXXXX | \$52,500 | SA | RFC200900130 | CIP-004-1 | 2.1 | Medium | X |
| 3/30/2011 | FERC | NP11-145-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$13,000 | SA | WECC200901677 | CIP-003-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-145-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$13,000 | SA | WECC200901678 | CIP-006-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-145-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$13,000 | SA | WECC200901679 | CIP-007-1 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-144-000 | WECC | City of McMinnville | NCR05088 | \$6,000 | SA | WECC201001837 | PRC-008-0 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-144-000 | WECC | City of McMinnville | NCR05088 | \$6,000 | SA | WECC201001838 | PRC-008-0 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-143-000 | SERC | Unidentified Registered Entity | NCRXXXXX | \$5,000 | SA | SERC200900299 | CIP-004-1 | 2.3 | Lower | X |
| 3/30/2011 | FERC | NP11-143-000 | SERC | Unidentified Registered Entity | NCRXXXXX | \$5,000 | SA | SERC200900303 | CIP-004-1 | 2.1 | Medium | X |
| 3/30/2011 | FERC | NP11-142-000 | WECC | Tillamook People's Utility District | NCR05427 | \$7,500 | SA | WECC201001872 | PRC-005-1 | 1 | High | X |
| 3/30/2011 | FERC | NP11-142-000 | WECC | Tillamook People's Utility District | NCR05427 | \$7,500 | SA | WECC201001873 | PRC-005-1 | 2.1 | High | X |
| 3/30/2011 | FERC | NP11-141-000 | WECC | City of Anaheim | NCR05067 | \$0 | NOCV | WECC201002023 | IRO-STD-006-0 | WR1 | N/A | X |
| 3/30/2011 | FERC | NP11-140-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$27,000 | SA | WECC200901646 | CIP-004-1 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-140-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$27,000 | SA | WECC200901647 | CIP-004-1 | 3 | Lower | X |

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|-----------|----------------------|----------------------|--------|--------------------------------|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 3/30/2011 | FERC | NP11-140-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$27,000 | SA | WECC200901634 | CIP-004-1 | 4 | Lower | X |
| 3/30/2011 | FERC | NP11-140-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$27,000 | SA | WECC200901649 | CIP-003-1 | 2 | Lower | X |
| 3/30/2011 | FERC | NP11-140-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$27,000 | SA | WECC200901636 | CIP-007-1 | 4 | Medium | X |
| 3/30/2011 | FERC | NP11-140-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$27,000 | SA | WECC200901648 | CIP-003-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-140-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$27,000 | SA | WECC200901635 | CIP-007-1 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-139-000 | WECC | Dynegy Arlington Valley, LLC | NCR05135 | \$0 | NOCV | WECC200700439 | PER-002-0 | 3 | Medium | X |
| 3/30/2011 | FERC | NP11-138-000 | WECC | El Paso Electric Company | NCR05140 | \$0 | NOCV | WECC201001963 | TOP-STD-007-0 | WR1 | N/A | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800627 | FAC-003-1 | 1 | High | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800794 | CIP-001-1 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200801226 | INT-006-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200901322 | INT-006-2 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200810387 | PRC-004-1 | 2 | High | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800909 | PRC-005-1 | 1 | High | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200810389 | PRC-005-1 | 2 | Lower | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200710135 | TOP-002-2 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800906 | CIP-009-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800796 | CIP-001-1 | 4 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800904 | CIP-007-1 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800905 | CIP-008-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200901327 | FAC-014-1 | 4 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800793 | CIP-001-1 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800795 | CIP-001-1 | 3 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800901 | CIP-004-1 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800902 | CIP-004-1 | 3 | Lower | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800903 | CIP-004-1 | 4 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200901326 | FAC-014-1 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200810379 | EOP-005-1 | 7 | High | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800797 | INT-006-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200810382 | FAC-001-0 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800908 | COM-001-1 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200810381 | FAC-001-0 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200810383 | FAC-001-0 | 3 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200810384 | FAC-008-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200810385 | FAC-008-1 | 2 | Lower | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200810386 | FAC-009-1 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$106,000 | SA | WECC200800907 | CIP-009-1 | 2 | Lower | X |

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|-----------|----------------------|----------------------|----------------------------|---|--|---|-----------------------|-------------------|----------------------|--------------|-----------------------|------------------------------------|
| 3/30/2011 | FERC | NP11-137-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$106,000 | SA | WECC200901328 | FAC-014-1 | 5 | High | X |
| 3/30/2011 | FERC | NP11-136-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$14,500 | NOCV | WECC200901642 | CIP-002-1 | 2 | High | X |
| 3/30/2011 | FERC | NP11-136-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$14,500 | NOCV | WECC200901643 | CIP-008-1 | 1 | Lower | X |
| 3/30/2011 | FERC | NP11-136-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$14,500 | NOCV | WECC200901698 | CIP-007-1 | 6 | Lower | X |
| 3/30/2011 | FERC | NP11-135-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$26,000 | SA | WECC200901469 | TPL-004-0 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-135-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$26,000 | SA | WECC200901461 | TPL-001-0 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-135-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$26,000 | SA | WECC200901460 | TPL-001-0 | 1 | High | X |
| 3/30/2011 | FERC | NP11-135-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$26,000 | SA | WECC200901463 | TPL-002-0 | 1 | High | X |
| 3/30/2011 | FERC | NP11-135-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$26,000 | SA | WECC200901464 | TPL-002-0 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-135-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$26,000 | SA | WECC200901466 | TPL-003-0 | 1 | High | X |
| 3/30/2011 | FERC | NP11-135-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$26,000 | SA | WECC200901778 | PRC-007-0 | 1 | Medium | X |
| 3/30/2011 | FERC | NP11-135-000 | WECC | Public Utility District No. 1 of Snohomish | NCR05335 | \$26,000 | SA | WECC200901467 | TPL-003-0 | 2 | Medium | X |
| 3/30/2011 | FERC | NP11-134-000 | FRCC | Ft. Pierce Utilities Authority | NCR00027 | \$2,800 | SA | FRCC200900175 | PRC-005-1 | 2.1 | High | X |
| 3/30/2011 | FERC | NP11-134-000 | FRCC | Ft. Pierce Utilities Authority | NCR00027 | \$2,800 | SA | FRCC200900181 | FAC-008-1 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | FRCC | City of Clewiston (CLE) | NCR00014 | \$4,500 | ACP | FRCC201000408 | FAC-008-1 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | FRCC | City of Clewiston (CLE) | NCR00014 | \$4,500 | ACP | FRCC200910007 | PRC-005-1 | 1 | High | X |
| 2/28/2011 | FERC | NP11-133-000 | FRCC | City of Clewiston (CLE) | NCR00014 | \$4,500 | ACP | FRCC201000395 | PRC-005-1 | 2 | High | X |
| 2/28/2011 | FERC | NP11-133-000 | FRCC | Pasco County Resource Recovery (COV4) | NCR00058 | \$1,800 | ACP | FRCC200700065 | PRC-005-1 | 1 | High | X |
| 2/28/2011 | FERC | NP11-133-000 | MRO | Central Iowa Power Cooperative (CIPCO) | NCR00970 | \$0 | ACP | MRO201000165 | PRC-008-0 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | MRO | LSP-Cottage Grove (LSP-CGLP) | NCR10022 | \$0 | ACP | MRO201000207 | PRC-005-1 | 2 | High | X |
| 2/28/2011 | FERC | NP11-133-000 | MRO | Muscatine Power and Water (MPW) | NCR00967 | \$0 | ACP | MRO201000194 | PRC-005-1 | 2 | High | X |
| 2/28/2011 | FERC | NP11-133-000 | MRO | NorthWestern Energy (NWE) | NCR01021 | \$0 | ACP | MRO201000212 | PRC-008-0 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | MRO | Otter Tail Power Company (OTP) | NCR01023 | \$0 | ACP | MRO201000159 | PRC-008-0 | 2 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | MRO | Rochester Public Utilities (RPU) | NCR01027 | \$0 | ACP | MRO201000145 | FAC-001-0 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | MRO | Rochester Public Utilities (RPU) | NCR01027 | \$0 | ACP | MRO201000149 | PRC-008-0 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | NPCC | Montville Power LLC (Montville) | NCR07150 | \$0 | ACP | NPCC201000155 | PRC-005-1 | 2.1 | High | X |
| 2/28/2011 | FERC | NP11-133-000 | NPCC | Public Service Company of New Hampshire (PSNH) | NCR07203 | \$7,500 | ACP | NPCC200900116 | TOP-005-1 | 3 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | Reliability First Corp. | NAES Corporation - Covert (NAES- Covert) | NCR10296 | \$10,000 | ACP | RFC201000656 | VAR-002-1 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | SPP | City of Alexandria (Alexandria) | NCR01068 | \$0 | ACP | SPP201000336 | FAC-003-1 | 1 | High | X |
| 2/28/2011 | FERC | NP11-133-000 | SPP | City of Alexandria (Alexandria) | NCR01068 | \$0 | ACP | SPP201000337 | FAC-003-1 | 2 | High | X |
| 2/28/2011 | FERC | NP11-133-000 | SPP | NewCorp Electric Resources Cooperative (New Corp) | NCR06052 | \$4,500 | ACP | SPP201000341 | FAC-001-0 | 1 (1,2, 1.3) | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | SPP | NewCorp Electric Resources Cooperative (New Corp) | NCR06052 | \$4,500 | ACP | SPP201000342 | FAC-009-1 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | Texas RE | GEUS | NCR04075 | \$12,000 | ACP | TRE201000095 | FAC-008-1 | 1 | Lower | X |
| 2/28/2011 | FERC | NP11-133-000 | Texas RE | GEUS | NCR04075 | \$12,000 | ACP | TRE201000094 | PRC-005-1 | 1 | High | X |

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|-----------|----------------------|----------------------|----------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 2/28/2011 | FERC | NP11-133-000 | Texas RE | Optim Energy Twin Oaks, LP (Twin Oaks) | NCR04005 | \$4,000 | ACP | TRE201000107 | PRC-005-1 | 1 | High | X |
| 2/28/2011 | FERC | NP11-133-000 | MRO | MRO_URE1 | NCRXXXXX | \$0 | ACP | MRO201000213 | CIP-006-1 | 1.8 | Lower | X |
| 2/28/2011 | FERC | NP11-133-000 | MRO | MRO_URE2 | NCRXXXXX | \$0 | ACP | MRO201000225 | CIP-007-1 | 6 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$2,500 | ACP | NPCC201000159 | CIP-004-1 | 4.2 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | SPP | SPP_URE1 | NCRXXXXX | \$0 | ACP | SPP200900183 | CIP-006-1 | 1.1 | Medium | X |
| 2/28/2011 | FERC | NP11-133-000 | Texas RE | Texas RE_URE1 | NCRXXXXX | \$9,000 | ACP | TRE201000096 | CIP-004-1 | 4 | Medium | X |
| 2/28/2011 | FERC | NP11-132-000 | FRCC | Tampa Electric Company | NCR00074 | \$46,000 | SA | FRCC200900259 | PRC-008-0 | 2 | Medium | X |
| 2/28/2011 | FERC | NP11-132-000 | FRCC | Tampa Electric Company | NCR00074 | \$46,000 | SA | FRCC200900227 | BAL-005-0.1b | 11 | Medium | X |
| 2/28/2011 | FERC | NP11-132-000 | FRCC | Tampa Electric Company | NCR00074 | \$46,000 | SA | FRCC200900187 | TOP-004-2 | 1 | High | X |
| 2/28/2011 | FERC | NP11-131-000 | WECC | Pacific Gas and Electric Company | NCR05299 | \$7,000 | NOCV | WECC201001969 | VAR-002-1 | 3 | Medium | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200800757 | PRC-008-0 | 2 | Medium | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200800755 | PRC-007-0 | 3 | Lower | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200800756 | PRC-008-0 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200801307 | VAR-002-1 | 2 | Medium | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200800745 | CIP-001-1 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200800754 | PRC-007-0 | 2 | Lower | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200800752 | PRC-005-1 | 2 | High | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200800751 | PRC-005-1 | 1 | High | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200800748 | CIP-001-1 | 4 | Medium | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200800747 | CIP-001-1 | 3 | Medium | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200800746 | CIP-001-1 | 2 | Medium | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200801306 | VAR-002-1 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-130-000 | WECC | Silicon Valley Power | NCR05392 | \$94,000 | SA | WECC200801148 | FAC-008-1 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-129-000 | FRCC | Vandolah Power Company, LLC | NCR00078 | \$23,000 | SA | FRCC200900150 | FAC-008-1 | 1 | Medium | X |
| 2/28/2011 | FERC | NP11-129-000 | FRCC | Vandolah Power Company, LLC | NCR00078 | \$23,000 | SA | FRCC200900152 | PRC-005-1 | 1 | High | X |
| 2/28/2011 | FERC | NP11-129-000 | FRCC | Vandolah Power Company, LLC | NCR00078 | \$23,000 | SA | FRCC200900151 | PRC-004-1 | 2 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800695 | FAC-003-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800690 | EOP-001-0 | 7 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200700545 | VAR-001-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800667 | TOP-004-1 | 6 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800688 | BAL-006-1 | 4 | Lower | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800912 | CIP-003-1 | 3 | Lower | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800694 | EOP-008-0 | 1 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800714 | EOP-005-1 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800917 | CIP-008-1 | 1 | Lower | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800696 | INT-003-2 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800698 | PER-002-0 | 3 | High | X |

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|-----------|----------------------|----------------------|----------|--------------------------------|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800700 | TOP-002-2 | 4 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800701 | TOP-002-2 | 11 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800703 | TOP-002-2 | 17 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800705 | VAR-001-1 | 2 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800693 | EOP-003-1 | 7 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800715 | EOP-005-1 | 2 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800919 | CIP-009-1 | 2 | Lower | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800716 | EOP-005-1 | 3 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800911 | CIP-003-1 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800913 | CIP-004-1 | 2 | Lower | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800914 | CIP-004-1 | 3 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800689 | EOP-001-0 | 5 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800916 | CIP-007-1 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800697 | PER-002-0 | 2 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800918 | CIP-009-1 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800717 | EOP-005-1 | 4 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200810350 | TPL-001-0 | 1 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200810351 | TPL-002-0 | 1 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200810352 | TPL-002-0 | 2 | Medium | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200810426 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800915 | CIP-004-1 | 4 | Lower | X |
| 2/23/2011 | FERC | NP11-128-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$450,000 | SA | WECC200800706 | VAR-001-1 | 3 | Lower | X |
| 2/23/2011 | FERC | NP11-127-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | FRCC200900172 | FAC-003-1 | 2 | High | X |
| 2/23/2011 | FERC | NP11-127-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | FRCC200900171 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-127-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | FRCC200900141 | PRC-005-1 | 2.2 | High | X |
| 2/23/2011 | FERC | NP11-127-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | FRCC200800085 | CIP-004-1 | 4.1 | Lower | X |
| 2/23/2011 | FERC | NP11-127-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | FRCC200800086 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-127-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | FRCC200800116 | FAC-008-1 | 1.2.1 | Medium | X |
| 2/23/2011 | FERC | NP11-127-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | FRCC200800117 | FAC-001-0 | 2 | Medium | X |
| 2/23/2011 | FERC | NP11-127-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | FRCC200900138 | CIP-004-1 | 4.1 | Lower | X |
| 2/23/2011 | FERC | NP11-127-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | FRCC200900137 | PRC-005-1 | 2.2 | High | X |
| 2/23/2011 | FERC | NP11-127-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | FRCC200900136 | PRC-005-1 | 1.2 | High | X |
| 2/23/2011 | FERC | NP11-126-000 | SPP | Dogwood Energy, LLC | NCR01089 | \$30,000 | SA | SPP200800059 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-125-000 | SPP, RFC | Unidentified Registered Entity | NCRXXXXX, NCRXXXXX | \$77,000 | SA | RFC200800072 | FAC-009-1 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-125-000 | SPP, RFC | Unidentified Registered Entity | NCRXXXXX, NCRXXXXX | \$77,000 | SA | RFC200800073 | VAR-002-1 | 1 | Medium | X |

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| 2/23/2011 | FERC | NP11-125-000 | SPP, RFC | Unidentified Registered Entity | NCRXXXXX, NCRXXXXX | \$77,000 | SA | RFC200800111 | CIP-004-1 | 3 | Medium | X |
| 2/23/2011 | FERC | NP11-125-000 | SPP, RFC | Unidentified Registered Entity | NCRXXXXX, NCRXXXXX | \$77,000 | SA | SPP200800066 | CIP-004-1 | 3 | Medium | X |
| 2/23/2011 | FERC | NP11-125-000 | SPP, RFC | Unidentified Registered Entity | NCRXXXXX, NCRXXXXX | \$77,000 | SA | SPP200800065 | CIP-004-1 | 2 | Medium | X |
| 2/23/2011 | FERC | NP11-125-000 | SPP, RFC | Unidentified Registered Entity | NCRXXXXX, NCRXXXXX | \$77,000 | SA | RFC200800074 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-125-000 | SPP, RFC | Unidentified Registered Entity | NCRXXXXX, NCRXXXXX | \$77,000 | SA | RFC200800110 | CIP-004-1 | 2.1 | Medium | X |
| 2/23/2011 | FERC | NP11-125-000 | SPP, RFC | Unidentified Registered Entity | NCRXXXXX, NCRXXXXX | \$77,000 | SA | SPP200800061 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900198 | CIP-004-1 | 4.1 | Lower | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC201000236 | CIP-003-1 | 4 | Medium | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900137 | CIP-007-1 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900125 | CIP-004-1 | 4.2 | Medium | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900135 | CIP-004-1 | 2 | Medium | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900197 | CIP-004-1 | 3 | Medium | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900154 | TPL-003-0 | 1 | High | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900138 | CIP-008-1 | 1 | Lower | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900153 | TPL-002-0 | 1 | High | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900152 | FAC-014-1 | 4 | Medium | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900149 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900148 | EOP-001-0 | 6 | Medium | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900136 | CIP-004-1 | 3 | Medium | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900151 | FAC-014-1 | 2 | Medium | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200900150 | FAC-009-1 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-124-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | RFC200800079 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-123-000 | SERC | Covanta, Fairfax, Inc. | NCR01211 | \$3,000 | SA | SERC200900308 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-123-000 | SERC | Covanta, Fairfax, Inc. | NCR01211 | \$3,000 | SA | SERC200900307 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-122-000 | SERC | PPG Industries, Inc. | NCR09034 | \$10,000 | SA | SERC200900294 | PRC-001-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-122-000 | SERC | PPG Industries, Inc. | NCR09034 | \$10,000 | SA | SERC200900260 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-122-000 | SERC | PPG Industries, Inc. | NCR09034 | \$10,000 | SA | SERC200900295 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-121-000 | WECC | Coso Power Developers | NCR05122 | \$3,000 | SA | WECC200901806 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-121-000 | WECC | Coso Power Developers | NCR05122 | \$3,000 | SA | WECC200901805 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-120-000 | WECC | Coso Energy Developers | NCR05119 | \$3,000 | SA | WECC200901802 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-120-000 | WECC | Coso Energy Developers | NCR05119 | \$3,000 | SA | WECC200901801 | PRC-005-1 | 1 | High | X |

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| 2/23/2011 | FERC | NP11-119-000 | WECC | Coso Finance Partners | NCR05120 | \$3,000 | SA | WECC200901803 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-119-000 | WECC | Coso Finance Partners | NCR05120 | \$3,000 | SA | WECC200901804 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-118-000 | WECC | Terra-Gen Dixie Valley, LLC | NCR05043 | \$3,000 | SA | WECC200901799 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-118-000 | WECC | Terra-Gen Dixie Valley, LLC | NCR05043 | \$3,000 | SA | WECC200901800 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-117-000 | WECC | Nevada Sun-Peak, LP | NCR05262 | \$3,000 | SA | WECC200901808 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-117-000 | WECC | Nevada Sun-Peak, LP | NCR05262 | \$3,000 | SA | WECC200901807 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-116-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$75,000 | SA | FRCC200800104 | FAC-008-1 | 1 | Lower | X |
| 2/23/2011 | FERC | NP11-116-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$75,000 | SA | FRCC200800105 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-116-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$75,000 | SA | FRCC200800087 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-116-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$75,000 | SA | FRCC200800092 | PER-002-0 | 4 | High | X |
| 2/23/2011 | FERC | NP11-116-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$75,000 | SA | FRCC200800093 | CIP-004-1 | 3 | Medium | X |
| 2/23/2011 | FERC | NP11-116-000 | FRCC | Unidentified Registered Entity | NCRXXXXXX | \$75,000 | SA | FRCC200800103 | EOP-005-1 | 4 | Medium | X |
| 2/23/2011 | FERC | NP11-115-000 | TRE | BASF Corp | NCR04011 | \$11,000 | NOCV | TRE201000089 | CIP-001-1 | 2 | Medium | X |
| 2/23/2011 | FERC | NP11-114-000 | TRE | Texas Municipal Power Agency | NCR04141 | \$16,000 | SA | TRE201000090 | PRC-005-1 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-113-000 | MRO | Montana-Dakota Utilities Company | NCR01015 | \$45,000 | NOCV | MRO201000181 | FAC-003-1 | 2 | High | X |
| 2/23/2011 | FERC | NP11-112-000 | RFC | Consumers Energy Company | NCR00740 | \$25,000 | SA | RFC200900187 | EOP-004-1 | 3 | Lower | X |
| 2/23/2011 | FERC | NP11-112-000 | RFC | Consumers Energy Company | NCR00740 | \$25,000 | SA | RFC200900186 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-111-000 | MRO | Unidentified Registered Entity | NCRXXXXXX | \$120,000 | SA | MRO200900119 | PRC-017-0 | 1 | Lower | X |
| 2/23/2011 | FERC | NP11-111-000 | MRO | Unidentified Registered Entity | NCRXXXXXX | \$120,000 | SA | MRO200900126 | EOP-008-0 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-111-000 | MRO | Unidentified Registered Entity | NCRXXXXXX | \$120,000 | SA | MRO200900125 | FAC-001-0 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-111-000 | MRO | Unidentified Registered Entity | NCRXXXXXX | \$120,000 | SA | MRO200900124 | FAC-003-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-111-000 | MRO | Unidentified Registered Entity | NCRXXXXXX | \$120,000 | SA | MRO200900122 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-111-000 | MRO | Unidentified Registered Entity | NCRXXXXXX | \$120,000 | SA | MRO200900121 | PRC-005-1 | 2 | High | X |
| 2/23/2011 | FERC | NP11-111-000 | MRO | Unidentified Registered Entity | NCRXXXXXX | \$120,000 | SA | MRO200900118 | CIP-007-1 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-111-000 | MRO | Unidentified Registered Entity | NCRXXXXXX | \$120,000 | SA | MRO200900120 | PRC-008-0 | 2 | Medium | X |
| 2/23/2011 | FERC | NP11-110-000 | RFC | Allegheny Power [DP, LSE, TO] | NCR02602 | \$15,000 | SA | RFC200900156 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-110-000 | RFC | Allegheny Power [DP, LSE, TO] | NCR02602 | \$15,000 | SA | RFC201000237 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-109-000 | MRO | Cedar Falls Utilities | NCR00969 | \$3,000 | SA | MRO200900129 | PRC-008-0 | 2 | Medium | X |
| 2/23/2011 | FERC | NP11-109-000 | MRO | Cedar Falls Utilities | NCR00969 | \$3,000 | SA | MRO200900133 | FAC-001-0 | 2 | Medium | X |
| 2/23/2011 | FERC | NP11-109-000 | MRO | Cedar Falls Utilities | NCR00969 | \$3,000 | SA | MRO200900130 | PRC-008-0 | 1 | Medium | X |
| 2/23/2011 | FERC | NP11-109-000 | MRO | Cedar Falls Utilities | NCR00969 | \$3,000 | SA | MRO200900131 | PRC-005-1 | 2 | High | X |
| 2/23/2011 | FERC | NP11-109-000 | MRO | Cedar Falls Utilities | NCR00969 | \$3,000 | SA | MRO200900132 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-108-000 | WECC | Avista Corporation | NCR05020 | \$18,000 | SA | WECC200901813 | PRC-005-1 | 2.1 | High | X |
| 2/23/2011 | FERC | NP11-108-000 | WECC | Avista Corporation | NCR05020 | \$18,000 | SA | WECC200901812 | PRC-STD-005-1 | WR1 | N/A | X |
| 2/23/2011 | FERC | NP11-107-000 | WECC | Burney Forest Products, A Joint Venture | NCR05039 | \$17,500 | SA | WECC200901756 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-107-000 | WECC | Burney Forest Products, A Joint Venture | NCR05039 | \$17,500 | SA | WECC200901757 | PRC-005-1 | 2.1 | High | X |

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| Date | Regulatory Authority | Regulatory Filing ID | Region | Registered Entity | NCR ID (NERC Compliance Registry Identifier) | Total Penalty (\$) (The total penalty amount represents an aggregate amount for the filing; it does not represent an amount per violation.) | SA, NOCV, ACP or OMNI | NERC Violation ID | Reliability Standard | Req. | Violation Risk Factor | Notice of No Further Review Issued |
|-----------|----------------------|----------------------|----------|---|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 2/23/2011 | FERC | NP11-106-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$15,000 | SA | RFC201000243 | CIP-007-1 | 1.1 | Medium | X |
| 2/23/2011 | FERC | NP11-106-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$15,000 | SA | RFC201000242 | CIP-004-1 | 4.1 | Lower | X |
| 2/23/2011 | FERC | NP11-106-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$15,000 | SA | RFC200900166 | CIP-007-1 | 4 | Medium | X |
| 2/23/2011 | FERC | NP11-105-000 | FRCC | Gainesville Regional Utilities | NCR00032 | \$45,000 | SA | FRCC201000392 | BAL-005-0 | 11 | Medium | X |
| 2/23/2011 | FERC | NP11-105-000 | FRCC | Gainesville Regional Utilities | NCR00032 | \$45,000 | SA | FRCC200900164 | PRC-004-1 | 2 | High | X |
| 2/23/2011 | FERC | NP11-105-000 | FRCC | Gainesville Regional Utilities | NCR00032 | \$45,000 | SA | FRCC200900165 | PRC-004-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-105-000 | FRCC | Gainesville Regional Utilities | NCR00032 | \$45,000 | SA | FRCC200900166 | PRC-005-1 | 2 | High | X |
| 2/23/2011 | FERC | NP11-105-000 | FRCC | Gainesville Regional Utilities | NCR00032 | \$45,000 | SA | FRCC200900174 | PRC-005-1 | 2 | High | X |
| 2/23/2011 | FERC | NP11-105-000 | FRCC | Gainesville Regional Utilities | NCR00032 | \$45,000 | SA | FRCC200900167 | PRC-005-1 | 1 | High | X |
| 2/23/2011 | FERC | NP11-105-000 | FRCC | Gainesville Regional Utilities | NCR00032 | \$45,000 | SA | FRCC200900309 | PRC-008-0 | 2 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | Minnkota Power Cooperative (MPC) | NCR01013 | \$0 | ACP | MRO201000226 | MOD-006-0.1 | 1 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | Minnkota Power Cooperative (MPC) | NCR01013 | \$0 | ACP | MRO201000227 | MOD-006-0.1 | 2 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | Wisconsin Public Service Corporation (WPSC) | NCR00952 | \$0 | ACP | MRO201000224 | VAR-002-1.1a | 3 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | Muscatine Power and Water (MPW) | NCR00967 | \$0 | ACP | MRO201000195 | PRC-008-0 | 2 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | Montana Dakota Utilities (MDU) | NCR01015 | \$0 | ACP | MRO201000203 | FAC-008-0 | 1 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | NPCC | Mt. Tom Generating Co., LLC (Mt. Tom) | NCR10050 | \$0 | ACP | NPCC201000166 | CIP-001-1 | 4 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | RFC | Buckeye Power, Inc. | NCR00700 | \$0 | ACP | RFC201000612 | CIP-001-1 | 4 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | SERC | East Mississippi Electric Power Association (EMEPA) | NCR01226 | \$4,000 | ACP | SERC201000520 | CIP-001-1 | 1 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | SERC | East Mississippi Electric Power Association (EMEPA) | NCR01226 | \$4,000 | ACP | SERC201000521 | CIP-001-1 | 2 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | SERC | East Mississippi Electric Power Association (EMEPA) | NCR01226 | \$4,000 | ACP | SERC201000522 | CIP-001-1 | 3 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | SERC | French Broad Electric Membership Corporation (French Broad) | NCR01244 | \$5,000 | ACP | SERC201000496 | CIP-001-1 | 1 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | SERC | French Broad Electric Membership Corporation (French Broad) | NCR01244 | \$5,000 | ACP | SERC201000580 | CIP-001-1 | 2 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | SERC | French Broad Electric Membership Corporation (French Broad) | NCR01244 | \$5,000 | ACP | SERC201000581 | CIP-001-1 | 3 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | SERC | French Broad Electric Membership Corporation (French Broad) | NCR01244 | \$5,000 | ACP | SERC201000582 | CIP-001-1 | 4 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | Texas RE | Champion Wind Farm, LLC | NCR10173 | \$4,500 | ACP | TRE200900074 | FAC-008-1 | 1 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | Texas RE | Forest Creek Wind Farm, LLC | NCR04003 | \$4,500 | ACP | TRE200900075 | FAC-008-1 | 1 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | Texas RE | EC&R Panther Creek Wind Farm I & II, LLC | NCR10249 | \$4,500 | ACP | TRE200900076 | FAC-008-1 | 1 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | Texas RE | Roscoe Wind Farm, LLC | NCR10174 | \$4,500 | ACP | TRE200900077 | FAC-008-1 | 1 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | Texas RE | Sand Bluff Wind Farm, LLC | NCR04004 | \$4,500 | ACP | TRE200900078 | FAC-008-1 | 1 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | Texas RE | LCRA Transmission Services Corporation | NCR04091 | \$0 | ACP | TRE201000108 | PRC-008-0 | 2 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | Texas RE | Tex-La Electric Cooperative of Texas, Inc. | NCR03142 | \$3,000 | ACP | TRE200900084 | PRC-008-0 | 1 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | MRO_URE1 | NCRXXXXXX | \$0 | ACP | MRO200900106 | CIP-004-1 | 2 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | MRO_URE1 | NCRXXXXXX | \$0 | ACP | MRO200900107 | CIP-004-1 | 3 | Lower | X |

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|-----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|--------------|-----------------------|------------------------------------|
| 2/1/2011 | FERC | NP11-104-000 | MRO | MRO_URE1 | NCRXXXXX | \$0 | ACP | MRO200900108 | CIP-004-1 | 4 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | MRO_URE2 | NCRXXXXX | \$0 | ACP | MRO201000219 | CIP-003-1 | 1 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | MRO_URE2 | NCRXXXXX | \$0 | ACP | MRO201000220 | CIP-004-1 | 2 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | MRO_URE2 | NCRXXXXX | \$0 | ACP | MRO201000221 | CIP-004-1 | 4 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$5,000 | ACP | NPCC201000131 | CIP-004-1 | 2.3 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$5,000 | ACP | NPCC201000132 | FAC-008-1 | 1 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$5,000 | ACP | NPCC201000135 | FAC-008-1 | 1 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$5,000 | ACP | NPCC201000133 | FAC-009-1 | 1 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$5,000 | ACP | NPCC201000136 | FAC-009-1 | 1 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | NPCC | NPCC_URE1 | NCRXXXXX | \$5,000 | ACP | NPCC201000153 | CIP-004-1 | 3 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | SPP | SPP_URE1 | NCRXXXXX | \$0 | ACP | SPP201000355 | CIP-009-1 | 5 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | SPP | SPP_URE1 | NCRXXXXX | \$600 | ACP | SPP200900160 | CIP-004-1 | 3 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | SPP | SPP_URE1 | NCRXXXXX | \$1,800 | ACP | SPP200900184 | CIP-003-1 | 1 (1.1, 1.2) | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | SPP | SPP_URE1 | NCRXXXXX | \$700 | ACP | SPP200900189 | CIP-006-1 | 4 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | SPP | SPP_URE1 | NCRXXXXX | \$500 | ACP | SPP200900192 | CIP-008-1 | 1 (1.2, 1.6) | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | SPP | SPP_URE1 | NCRXXXXX | \$700 | ACP | SPP200900194 | CIP-009-1 | 2 | Lower | X |
| 2/1/2011 | FERC | NP11-104-000 | SPP | SPP_URE2 | NCRXXXXX | \$0 | ACP | SPP200900182 | CIP-004-1 | 4.1, 4.2 | Medium | X |
| 2/1/2011 | FERC | NP11-104-000 | MRO | MRO_URE3 | NCRXXXXX | \$0 | ACP | MRO201000223 | CIP-007-2 | 6 | Lower | X |
| 1/31/2011 | FERC | NP11-103-000 | SERC | Sam Rayburn G&T Electric Cooperative Inc. | NCR01307 | \$10,000 | SA | SERC200900268 | PRC-005-1 | 2.1 | High | X |
| 1/31/2011 | FERC | NP11-103-000 | SERC | Sam Rayburn G&T Electric Cooperative Inc. | NCR01307 | \$10,000 | SA | SERC200900267 | PRC-005-1 | 1 | High | X |
| 1/31/2011 | FERC | NP11-102-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$6,500 | NOCV | WECC200901728 | CIP-007-1 | 4 | Medium | X |
| 1/31/2011 | FERC | NP11-101-000 | SERC | Southern Illinois Power Cooperative | NCR01321 | \$12,000 | SA | SERC200900251 | PRC-005-1 | 1 | High | X |
| 1/31/2011 | FERC | NP11-101-000 | SERC | Southern Illinois Power Cooperative | NCR01321 | \$12,000 | SA | SERC200900252 | PRC-005-1 | 2.1 | High | X |
| 1/31/2011 | FERC | NP11-101-000 | SERC | Southern Illinois Power Cooperative | NCR01321 | \$12,000 | SA | SERC200900250 | FAC-008-1 | 1 | Medium | X |
| 1/31/2011 | FERC | NP11-100-000 | SERC | South Carolina Public Service Authority | NCR01312 | \$30,000 | SA | SERC200900352 | PRC-005-1 | 1 | High | X |
| 1/31/2011 | FERC | NP11-100-000 | SERC | South Carolina Public Service Authority | NCR01312 | \$30,000 | SA | SERC200900351 | FAC-008-1 | 1 | Medium | X |
| 1/31/2011 | FERC | NP11-100-000 | SERC | South Carolina Public Service Authority | NCR01312 | \$30,000 | SA | SERC200900353 | PRC-005-1 | 2.1 | High | X |
| 1/31/2011 | FERC | NP11-99-000 | SPP | Borger Energy Associates, LP | NCR01062 | \$17,000 | SA | SPP200900103 | PRC-005-1 | 2 | High | X |
| 1/31/2011 | FERC | NP11-99-000 | SPP | Borger Energy Associates, LP | NCR01062 | \$17,000 | SA | SPP200900101 | FAC-009-1 | 1 | Medium | X |
| 1/31/2011 | FERC | NP11-99-000 | SPP | Borger Energy Associates, LP | NCR01062 | \$17,000 | SA | SPP200900102 | PRC-005-1 | 1 | High | X |
| 1/31/2011 | FERC | NP11-98-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$5,000 | NOCV | WECC200901619 | CIP-006-1 | 1 | Medium | X |
| 1/31/2011 | FERC | NP11-98-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$5,000 | NOCV | WECC200901620 | CIP-003-1 | 6 | Lower | X |
| 1/31/2011 | FERC | NP11-97-000 | SERC | E.ON U.S. Services Inc. for LG&E & KU | NCR01223 | \$0 | SA | SERC200900423 | VAR-002-1.1a | 2 | Medium | X |
| 1/31/2011 | FERC | NP11-96-000 | FRCC | Lake Worth, City of | NCR00043 | \$5,000 | SA | FRCC200900251 | FAC-008-1 | 1 | Medium | X |
| 1/31/2011 | FERC | NP11-96-000 | FRCC | Lake Worth, City of | NCR00043 | \$5,000 | SA | FRCC200900252 | FAC-009-1 | 1 | Medium | X |

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|------------|----------------------|----------------------|----------|---|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 1/31/2011 | FERC | NP11-95-000 | TRE | City of Austin dba Austin Energy | NCR04030 | \$50,000 | SA | TRE200800047 | IRO-001-1 | 8 | High | X |
| 1/31/2011 | FERC | NP11-94-000 | TRE | Stanton Wind Energy, LLC | NCR10224 | \$35,000 | SA | TRE200800048 | COM-002-2 | 1 | High | X |
| 1/31/2011 | FERC | NP11-93-000 | SPP | Lea County Electric Cooperative, Inc. | NCR06047 | \$15,000 | SA | SPP200900090 | FAC-001-0 | 1 | Medium | X |
| 1/31/2011 | FERC | NP11-93-000 | SPP | Lea County Electric Cooperative, Inc. | NCR06047 | \$15,000 | SA | SPP200900091 | FAC-001-0 | 2 | Medium | X |
| 1/31/2011 | FERC | NP11-93-000 | SPP | Lea County Electric Cooperative, Inc. | NCR06047 | \$15,000 | SA | SPP200900092 | FAC-008-1 | 1 | Medium | X |
| 1/31/2011 | FERC | NP11-93-000 | SPP | Lea County Electric Cooperative, Inc. | NCR06047 | \$15,000 | SA | SPP200900096 | PRC-005-1 | 2 | High | X |
| 1/31/2011 | FERC | NP11-93-000 | SPP | Lea County Electric Cooperative, Inc. | NCR06047 | \$15,000 | SA | SPP200900093 | FAC-009-1 | 1 | Medium | X |
| 1/31/2011 | FERC | NP11-93-000 | SPP | Lea County Electric Cooperative, Inc. | NCR06047 | \$15,000 | SA | SPP200900095 | PRC-005-1 | 1 | High | X |
| 1/31/2011 | FERC | NP11-93-000 | SPP | Lea County Electric Cooperative, Inc. | NCR06047 | \$15,000 | SA | SPP200900094 | FAC-009-1 | 2 | Medium | X |
| 1/31/2011 | FERC | NP11-92-000 | WECC | Mason County PUD No. 3 | NCR05233 | \$0 | NOCV | WECC201001871 | PRC-005-1 | 2.1 | High | X |
| 1/31/2011 | FERC | NP11-92-000 | WECC | Mason County PUD No. 3 | NCR05233 | \$0 | NOCV | WECC201001870 | PRC-005-1 | 1 | High | X |
| 1/31/2011 | FERC | NP11-91-000 | WECC | Emerald People's Utility District | NCR05146 | \$0 | NOCV | WECC201001946 | PRC-005-1 | 2.1 | High | X |
| 1/31/2011 | FERC | NP11-91-000 | WECC | Emerald People's Utility District | NCR05146 | \$0 | NOCV | WECC201001945 | PRC-005-1 | 1 | High | X |
| 1/31/2011 | FERC | NP11-90-000 | WECC | Gila River Power, LP - BA | NCR05169 | \$0 | SA | WECC200700431 | IRO-STD-006-0 | WR1 | N/A | X |
| 1/31/2011 | FERC | NP11-90-000 | WECC | Gila River Power, LP - BA | NCR05169 | \$0 | SA | WECC200700438 | PER-002-0 | 3 | Medium | X |
| 1/31/2011 | FERC | NP11-89-000 | WECC | Arizona Public Service Company | NCR05016 | \$15,000 | SA | WECC201001816 | COM-002-2 | 2 | Medium | X |
| 1/31/2011 | FERC | NP11-88-000 | WECC | Mirant Potrero, LLC | NCR05240 | \$12,500 | SA | WECC200901788 | PRC-005-1 | 2.1 | High | X |
| 1/31/2011 | FERC | NP11-87-000 | WECC | Sierra Pacific Power Company | NCR05390 | \$6,000 | NOCV | WECC201001883 | MOD-010-0 | 2 | Medium | X |
| 1/31/2011 | FERC | NP11-86-000 | WECC | Western Area Power Administration - Sierra Nevada | NCR05465 | \$0 | NOCV | WECC200810428 | PER-002-0 | 3 | High | X |
| 1/31/2011 | FERC | NP11-85-000 | TRE | Scurry County Wind LP | NCR10027 | \$3,000 | SA | TRE200900071 | CIP-001-1 | 4 | Medium | X |
| 1/31/2011 | FERC | NP11-84-000 | TRE | Sharyland Utilities, LP / Cap Rock Energy Corporation (original) | NCR04119/NC R10340(original de-registered) | \$2,500 | SA | TRE201000088 | PRC-008-0 | 1 | Medium | X |
| 1/31/2011 | FERC | NP11-83-000 | NPCC | Granite Ridge Energy, LLC | NCR07101 | \$30,000 | NOCV | NPCC200900110 | PRC-005-1 | 2 | High | X |
| 1/31/2011 | FERC | NP11-82-000 | NPCC | Castleton Power, LLC | NCR10382 | \$10,000 | NOCV | NPCC201000162 | PRC-005-1 | 2 | High | X |
| 1/31/2011 | FERC | NP11-82-000 | NPCC | Castleton Power, LLC | NCR10382 | \$10,000 | NOCV | NPCC200900087 | PRC-005-1 | 1 | High | X |
| 1/31/2011 | FERC | NP11-82-000 | NPCC | Castleton Power, LLC | NCR10382 | \$10,000 | NOCV | NPCC200900086 | PRC-001-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-81-000 | MRO, SPP | Unidentified Registered Entity 1 and Unidentified Registered Entity 2 | NCRXXXXX, NCRXXXXX | \$50,000 | SA | MRO200800050 | PRC-005-1 | 2 | High | X |
| 12/22/2010 | FERC | NP11-81-000 | MRO, SPP | Unidentified Registered Entity 1 and Unidentified Registered Entity 2 | NCRXXXXX, NCRXXXXX | \$50,000 | SA | MRO200800070 | CIP-004-1 | 3 | Lower | X |
| 12/22/2010 | FERC | NP11-81-000 | MRO, SPP | Unidentified Registered Entity 1 and Unidentified Registered Entity 2 | NCRXXXXX, NCRXXXXX | \$50,000 | SA | MRO200800071 | CIP-004-1 | 4 | Lower | X |
| 12/22/2010 | FERC | NP11-81-000 | MRO, SPP | Unidentified Registered Entity 1 and Unidentified Registered Entity 2 | NCRXXXXX, NCRXXXXX | \$50,000 | SA | SPP200800058 | CIP-004-1 | 4 | Lower | X |

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|------------|----------------------|----------------------|----------|---|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 12/22/2010 | FERC | NP11-81-000 | MRO, SPP | Unidentified Registered Entity 1 and Unidentified Registered Entity 2 | NCRXXXXX, NCRXXXXX | \$50,000 | SA | SPP200800051 | PRC-005-1 | 2 | High | X |
| 12/22/2010 | FERC | NP11-80-000 | RFC | Morris Cogeneration, LLC | NCR10283 | \$4,000 | SA | RFC200900216 | FAC-008-1 | 1.2.1 | Medium | X |
| 12/22/2010 | FERC | NP11-79-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | FRCC200900170 | CIP-004-1 | 4 | Lower | X |
| 12/22/2010 | FERC | NP11-79-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | FRCC200800094 | CIP-001-1 | 2 | Medium | X |
| 12/22/2010 | FERC | NP11-79-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | FRCC200800096 | FAC-008-1 | 1 | Lower | X |
| 12/22/2010 | FERC | NP11-79-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | FRCC200900173 | VAR-002-1 | 3 | Medium | X |
| 12/22/2010 | FERC | NP11-79-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | FRCC200900180 | FAC-009-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-79-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | FRCC200800224 | CIP-001-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-79-000 | FRCC | Unidentified Registered Entity | NCRXXXXX | \$100,000 | SA | FRCC200900140 | VAR-002-1 | 3.1 | Medium | X |
| 12/22/2010 | FERC | NP11-78-000 | RFC | The Dayton Power and Light Company | NCR00748 | \$45,000 | SA | RFC200900175 | FAC-009-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-78-000 | RFC | The Dayton Power and Light Company | NCR00748 | \$45,000 | SA | RFC200900174 | FAC-008-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-78-000 | RFC | The Dayton Power and Light Company | NCR00748 | \$45,000 | SA | RFC200900173 | PRC-005-1 | 2 | High | X |
| 12/22/2010 | FERC | NP11-78-000 | RFC | The Dayton Power and Light Company | NCR00748 | \$45,000 | SA | RFC200900172 | PRC-005-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-78-000 | RFC | The Dayton Power and Light Company | NCR00748 | \$45,000 | SA | RFC200900176 | FAC-003-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-77-000 | MRO | Great River Energy | NCR00992 | \$0 | NOCV | MRO201000182 | EOP-009-0 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-76-000 | SERC | Unidentified Registered Entity | NCRXXXXX | \$0 | SA | SERC200900392 | CIP-004-1 | 4 | Lower | X |
| 12/22/2010 | FERC | NP11-75-000 | MRO | Edison Mission Marketing & Trading, Inc. | NCR10017 | \$2,500 | SA | MRO200900137 | PRC-005-1 | 2 | High | X |
| 12/22/2010 | FERC | NP11-74-000 | RFC | PPL - Lower Mount Bethel Energy, LLC/PPL Brunner Island, L.L.C./PPL | NCR00882, NCR00883, NCR00886, NCR00887, NCR00888 | \$25,000 | SA | RFC200900161 | PRC-005-1 | 2.1 | High | X |
| 12/22/2010 | FERC | NP11-74-000 | RFC | PPL - Lower Mount Bethel Energy, LLC/PPL Brunner Island, L.L.C./PPL | NCR00882, NCR00883, NCR00886, NCR00887, NCR00888 | \$25,000 | SA | RFC200900160 | PRC-005-1 | 2.1 | High | X |
| 12/22/2010 | FERC | NP11-74-000 | RFC | PPL - Lower Mount Bethel Energy, LLC/PPL Brunner Island, L.L.C./PPL | NCR00882, NCR00883, NCR00886, NCR00887, NCR00888 | \$25,000 | SA | RFC200900162 | PRC-005-1 | 2.1 | High | X |

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| 12/22/2010 | FERC | NP11-74-000 | RFC | PPL - Lower Mount Bethel Energy, LLC/PPL Brunner Island, L.L.C./PPL | NCR00882, NCR00883, NCR00886, NCR00887, NCR00888 | \$25,000 | SA | RFC200900158 | PRC-005-1 | 2.1 | High | X |
| 12/22/2010 | FERC | NP11-74-000 | RFC | PPL - Lower Mount Bethel Energy, LLC/PPL Brunner Island, L.L.C./PPL | NCR00882, NCR00883, NCR00886, NCR00887, NCR00888 | \$25,000 | SA | RFC200900159 | PRC-005-1 | 2.1 | High | X |
| 12/22/2010 | FERC | NP11-73-000 | MRO | Montana-Dakota Utilities Company | NCR01015 | \$2,500 | NOCV | MRO200900138 | PRC-005-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-72-000 | SERC | Unidentified Registered Entity | NCRXXXXX | \$2,000 | SA | SERC201000493 | CIP-007-1 | 3.1 | Lower | X |
| 12/22/2010 | FERC | NP11-71-000 | SPP | City Of Minden | NCR06034 | \$3,000 | SA | SPP201000282 | CIP-001-1 | 4 | Medium | X |
| 12/22/2010 | FERC | NP11-71-000 | SPP | City Of Minden | NCR06034 | \$3,000 | SA | SPP201000279 | CIP-001-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-71-000 | SPP | City Of Minden | NCR06034 | \$3,000 | SA | SPP201000281 | CIP-001-1 | 3 | Medium | X |
| 12/22/2010 | FERC | NP11-71-000 | SPP | City Of Minden | NCR06034 | \$3,000 | SA | SPP201000280 | CIP-001-1 | 2 | Medium | X |
| 12/22/2010 | FERC | NP11-70-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | WECC200801404 | PRC-005-1 | 2.1 | High | X |
| 12/22/2010 | FERC | NP11-70-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | WECC200801403 | PRC-005-1 | 2.1 | High | X |
| 12/22/2010 | FERC | NP11-70-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | WECC200801147 | CIP-004-1 | 4 | Medium | X |
| 12/22/2010 | FERC | NP11-70-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | WECC200801146 | CIP-004-1 | 3 | Medium | X |
| 12/22/2010 | FERC | NP11-70-000 | WECC | Unidentified Registered Entity | NCRXXXXX | \$55,000 | SA | WECC200800664 | BAL-005-0 | 17 | Medium | X |
| 12/22/2010 | FERC | NP11-69-000 | WECC | Consumer's Power, Inc. | NCR05114 | \$1,000 | SA | WECC200801207 | FAC-009-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-68-000 | WECC | Lane Electric Cooperative, Inc./PNGC | NCR05212 | \$10,000 | SA | WECC200901504 | PRC-005-1 | 2.1 | High | X |
| 12/22/2010 | FERC | NP11-68-000 | WECC | Lane Electric Cooperative, Inc./PNGC | NCR05212 | \$10,000 | SA | WECC200901502 | PRC-005-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-67-000 | WECC | Umatilla Electric Cooperative Assoc. | NCR05436 | \$10,000 | SA | WECC200801019 | PRC-005-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-67-000 | WECC | Umatilla Electric Cooperative Assoc. | NCR05436 | \$10,000 | SA | WECC200801020 | PRC-005-1 | 2 | High | X |
| 12/22/2010 | FERC | NP11-66-000 | WECC | Coos-Curry Electric Cooperative, Inc. | NCR05116 | \$10,000 | SA | WECC200901506 | PRC-005-1 | 2.1 | High | X |
| 12/22/2010 | FERC | NP11-66-000 | WECC | Coos-Curry Electric Cooperative, Inc. | NCR05116 | \$10,000 | SA | WECC200901505 | PRC-005-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-65-000 | SPP | Mississippi Delta Energy Agency | NCR06050 | \$9,000 | SA | SPP200900129 | PRC-005-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-65-000 | SPP | Mississippi Delta Energy Agency | NCR06050 | \$9,000 | SA | SPP200900125 | FAC-001-0 | 3 | Medium | X |
| 12/22/2010 | FERC | NP11-65-000 | SPP | Mississippi Delta Energy Agency | NCR06050 | \$9,000 | SA | SPP200900128 | FAC-008-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-65-000 | SPP | Mississippi Delta Energy Agency | NCR06050 | \$9,000 | SA | SPP200900130 | FAC-009-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-65-000 | SPP | Mississippi Delta Energy Agency | NCR06050 | \$9,000 | SA | SPP200900126 | FAC-003-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-65-000 | SPP | Mississippi Delta Energy Agency | NCR06050 | \$9,000 | SA | SPP200900124 | FAC-001-0 | 2 | Medium | X |
| 12/22/2010 | FERC | NP11-65-000 | SPP | Mississippi Delta Energy Agency | NCR06050 | \$9,000 | SA | SPP201000196 | PRC-005-1 | 2 | High | X |
| 12/22/2010 | FERC | NP11-65-000 | SPP | Mississippi Delta Energy Agency | NCR06050 | \$9,000 | SA | SPP200900123 | FAC-001-0 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-65-000 | SPP | Mississippi Delta Energy Agency | NCR06050 | \$9,000 | SA | SPP200900127 | FAC-003-1 | 2 | High | X |

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| 12/22/2010 | FERC | NP11-64-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$38,500 | NOCV | WECC200901699 | CIP-004-1 | 4 | | X |
| 12/22/2010 | FERC | NP11-64-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$38,500 | NOCV | WECC200901776 | BAL-004-WECC-01 | 1 | Lower | X |
| 12/22/2010 | FERC | NP11-64-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$38,500 | NOCV | WECC200901726 | CIP-004-1 | 3 | Medium | X |
| 12/22/2010 | FERC | NP11-64-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$38,500 | NOCV | WECC200901650 | CIP-004-1 | 2 | Medium | X |
| 12/22/2010 | FERC | NP11-64-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$38,500 | NOCV | WECC200901777 | BAL-004-WECC-01 | 2 | Lower | X |
| 12/22/2010 | FERC | NP11-63-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$80,000 | SA | WECC200801003 | PRC-STD-005-1 | WR1 | N/A | X |
| 12/22/2010 | FERC | NP11-63-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$80,000 | SA | WECC200710217 | PRC-005-1 | 2 | High | X |
| 12/22/2010 | FERC | NP11-63-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$80,000 | SA | WECC200800855 | PRC-005-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-63-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$80,000 | SA | WECC200901652 | TOP-STD-007-0 | WR1 | N/A | X |
| 12/22/2010 | FERC | NP11-63-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$80,000 | SA | WECC200801145 | PRC-017-0 | 1 | High | X |
| 12/22/2010 | FERC | NP11-63-000 | WECC | Unidentified Registered Entity | NCRXXXXXX | \$80,000 | SA | WECC200801212 | CIP-008-1 | 1 | Lower | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP201000195 | PRC-005-1 | 2 | Lower | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP200900121 | PRC-005-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP200900119 | FAC-008-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP200900118 | EOP-004-1 | 3 | Lower | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP200900122 | PRC-008-0 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP200900117 | CIP-001-1 | 4 | Medium | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP200900116 | CIP-001-1 | 3 | Medium | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP200900120 | FAC-009-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP200900114 | CIP-001-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP200900115 | CIP-001-1 | 2 | Medium | X |
| 12/22/2010 | FERC | NP11-62-000 | SPP | City of Clarksdale, Mississippi | NCR01071 | \$5,000 | SA | SPP201000277 | VAR-002-1 | 1 | Medium | X |
| 12/22/2010 | FERC | NP11-61-000 | FRCC | Lee County Resource Recovery Facility | NCR00046 | \$4,500 | SA | FRCC200910004 | PRC-005-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-60-000 | FRCC | New Hope Power Partnership | NCR00051 | \$7,500 | SA | FRCC200800113 | PRC-005-1 | 1 | High | X |
| 12/22/2010 | FERC | NP11-59-000 | RFC | Unidentified Registered Entity | NCRXXXXXX | \$7,000 | SA | RFC200900143 | CIP-004-1 | 3 | Lower | X |
| 11/30/2010 | FERC | NP11-58-000 | SERC | Fayetteville Public Works Commission | NCR01240 | \$0 | SA | SERC201000440 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-57-000 | WECC | Naniwa Energy LLC | NCR05258 | \$27,000 | SA | WECC200901503 | PRC-005-1 | 2.1 | High | X |
| 11/30/2010 | FERC | NP11-57-000 | WECC | Naniwa Energy LLC | NCR05258 | \$27,000 | SA | WECC200901501 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-57-000 | WECC | Naniwa Energy LLC | NCR05258 | \$27,000 | SA | WECC200901511 | PRC-001-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-57-000 | WECC | Naniwa Energy LLC | NCR05258 | \$27,000 | SA | WECC200901654 | FAC-008-1 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-57-000 | WECC | Naniwa Energy LLC | NCR05258 | \$27,000 | SA | WECC200801002 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-56-000 | SERC | Unidentified Registered Entity | NCRXXXXXX | \$0 | SA | SERC201000500 | CIP-002-1 | 4 | Lower | X |
| 11/30/2010 | FERC | NP11-56-000 | SERC | Unidentified Registered Entity | NCRXXXXXX | \$0 | SA | SERC201000499 | CIP-002-1 | 2 | High | X |

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| 11/30/2010 | FERC | NP11-55-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$3,000 | NOCV | WECC201001822 | COM-001-1 | 2 | Medium | X |
| 11/30/2010 | FERC | NP11-54-000 | SPP | Public Service Commission Of Yazoo City | NCR01139 | \$2,000 | SA | SPP200900113 | PRC-008-0 | 2 | Medium | X |
| 11/30/2010 | FERC | NP11-54-000 | SPP | Public Service Commission Of Yazoo City | NCR01139 | \$2,000 | SA | SPP200900112 | PRC-008-0 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-53-000 | WECC | El Paso Electric Company | NCR05140 | \$8,000 | SA | WECC200901759 | TOP-001-1 | 4 | High | X |
| 11/30/2010 | FERC | NP11-52-000 | RFC | Jersey Central Power & Light Company / Pennsylvania | NCR00806 / NCR00872 | \$10,000 | SA | RFC200900141 | PRC-005-1 | 2 | High | X |
| 11/30/2010 | FERC | NP11-52-000 | RFC | Jersey Central Power & Light Company / Pennsylvania | NCR00806 / NCR00872 | \$10,000 | SA | RFC200900140 | PRC-005-1 | 2 | High | X |
| 11/30/2010 | FERC | NP11-51-000 | SERC | South Carolina Electric & Gas Company | NCR00915 | \$0 | SA | SERC201000459 | BAL-004-0 | 3 | Medium | X |
| 11/30/2010 | FERC | NP11-50-000 | SERC | Associated Electric Cooperative, Inc. | NCR01177 | \$0 | SA | SERC201000437 | INT-004-2 | 2.2 | Lower | X |
| 11/30/2010 | FERC | NP11-49-000 | WECC | RRI Energy Etiwanda, Inc. | NCR05352 | \$1,500 | SA | WECC200901749 | VAR-002-1 | 3 | Medium | X |
| 11/30/2010 | FERC | NP11-48-000 | MRO | Montana-Dakota Utilities Company | NCR01015 | \$0 | NOCV | MRO201000151 | FAC-001-0 | 2 | Medium | X |
| 11/30/2010 | FERC | NP11-47-000 | SERC | Unidentified Registered Entity | NCRXXXXX | \$0 | SA | SERC201000546 | CIP-003-1 | 2/2.1 | Lower | X |
| 11/30/2010 | FERC | NP11-46-000 | SERC | Claiborne Electric Cooperative, Inc. | NCR00499 | \$5,000 | SA | SERC201000436 | CIP-001-1 | 4 | Medium | X |
| 11/30/2010 | FERC | NP11-46-000 | SERC | Claiborne Electric Cooperative, Inc. | NCR00499 | \$5,000 | SA | SERC201000434 | CIP-001-1 | 2 | Medium | X |
| 11/30/2010 | FERC | NP11-46-000 | SERC | Claiborne Electric Cooperative, Inc. | NCR00499 | \$5,000 | SA | SERC201000435 | CIP-001-1 | 3 | Medium | X |
| 11/30/2010 | FERC | NP11-46-000 | SERC | Claiborne Electric Cooperative, Inc. | NCR00499 | \$5,000 | SA | SERC201000433 | CIP-001-1 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-45-000 | MRO | Forward Energy, LLC | NCR00334 | \$0 | NOCV | MRO200900134 | TOP-003-0 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-44-000 | SERC | Ameren Union Electric | NCR10248 | \$0 | SA | SERC200900340 | VAR-002-1 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-44-000 | SERC | Ameren Union Electric | NCR10248 | \$0 | SA | SERC200900341 | VAR-002-1 | 3.1 | Medium | X |
| 11/30/2010 | FERC | NP11-43-000 | WECC | Eugene Water & Electric Board | NCR05153 | \$25,000 | SA | WECC200901487 | TPL-004-0 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-43-000 | WECC | Eugene Water & Electric Board | NCR05153 | \$25,000 | SA | WECC200901478 | TPL-001-0 | 1 | High | X |
| 11/30/2010 | FERC | NP11-43-000 | WECC | Eugene Water & Electric Board | NCR05153 | \$25,000 | SA | WECC200901479 | TPL-001-0 | 2 | Medium | X |
| 11/30/2010 | FERC | NP11-43-000 | WECC | Eugene Water & Electric Board | NCR05153 | \$25,000 | SA | WECC200901481 | TPL-002-0 | 1 | High | X |
| 11/30/2010 | FERC | NP11-43-000 | WECC | Eugene Water & Electric Board | NCR05153 | \$25,000 | SA | WECC200901482 | TPL-002-0 | 2 | Medium | X |
| 11/30/2010 | FERC | NP11-43-000 | WECC | Eugene Water & Electric Board | NCR05153 | \$25,000 | SA | WECC200901485 | TPL-003-0 | 2 | Medium | X |
| 11/30/2010 | FERC | NP11-43-000 | WECC | Eugene Water & Electric Board | NCR05153 | \$25,000 | SA | WECC200901484 | TPL-003-0 | 1 | High | X |
| 11/30/2010 | FERC | NP11-42-000 | SERC | Ameren Energy Resources | NCR10309 | \$0 | SA | SERC200900293 | VAR-002-1 | 3.1 | Medium | X |
| 11/30/2010 | FERC | NP11-42-000 | SERC | Ameren Energy Resources | NCR10309 | \$0 | SA | SERC200900292 | VAR-002-1 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-41-000 | SPP | Sikeston Board Of Municipal Utilities | NCR01142 | \$1,350 | SA | SPP201000208 | FAC-001-0 | 3 | Medium | X |
| 11/30/2010 | FERC | NP11-41-000 | SPP | Sikeston Board Of Municipal Utilities | NCR01142 | \$1,350 | SA | SPP201000207 | FAC-001-0 | 2 | Medium | X |
| 11/30/2010 | FERC | NP11-41-000 | SPP | Sikeston Board Of Municipal Utilities | NCR01142 | \$1,350 | SA | SPP201000206 | FAC-001-0 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-40-000 | TRE | Barney M Davis Unit 1 | NCR04010 | \$18,000 | NOCV | TRE200900082 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-39-000 | RFC | Sunbury Generation LP | NCR06030 | \$3,000 | SA | RFC200900170 | CIP-001-1 | 4 | Medium | X |
| 11/30/2010 | FERC | NP11-38-000 | RFC | Cuyahoga Falls Electric System | NCR08014 | \$5,000 | SA | RFC200900169 | PRC-005-1 | 2 | High | X |
| 11/30/2010 | FERC | NP11-37-000 | FRCC | Wheelabrator North Broward (WTI-NB) | NCR10185 | \$0 | SA | FRCC200900177 | PRC-005-1 | 2 | High | X |
| 11/30/2010 | FERC | NP11-36-000 | RFC | Indiana Municipal Power Agency | NCR00796 | \$22,000 | SA | RFC200900157 | VAR-002-1 | 2 | Medium | X |

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|------------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 11/30/2010 | FERC | NP11-36-000 | RFC | Indiana Municipal Power Agency | NCR00796 | \$22,000 | SA | RFC201000239 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-36-000 | RFC | Indiana Municipal Power Agency | NCR00796 | \$22,000 | SA | RFC200900190 | PRC-005-1 | 2.1 | High | X |
| 11/30/2010 | FERC | NP11-35-000 | RFC | PSEG Fossil LLC | NCR00893 | \$15,000 | SA | RFC200900195 | PRC-005-1 | 2.1 | High | X |
| 11/30/2010 | FERC | NP11-34-000 | WECC | Southern California Edison - Power Procurement Business | NCR05397 | \$28,000 | NOCV | WECC201001877 | VAR-002-1 | 3 | Medium | X |
| 11/30/2010 | FERC | NP11-33-000 | WECC | Puget Sound Energy, Inc. | NCR05344 | \$0 | NOCV | WECC200800771 | PRC-STD-005-1 | WR1 | N/A | X |
| 11/30/2010 | FERC | NP11-33-000 | WECC | Puget Sound Energy, Inc. | NCR05344 | \$0 | NOCV | WECC200700465 | BAL-005-0 | 12 | Medium | X |
| 11/30/2010 | FERC | NP11-32-000 | WECC | Rosebud Operating Services, Inc. | NCR05367 | \$2,000 | NOCV | WECC201001839 | CIP-001-1 | 2 | Medium | X |
| 11/30/2010 | FERC | NP11-31-000 | WECC | Wellhead Services, Inc | NCR05457 | \$4,000 | NOCV | WECC200901835 | VAR-002-1 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-30-000 | WECC | Clallam County PUD No.1 | NCR05099 | \$15,000 | SA | WECC200901295 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-30-000 | WECC | Clallam County PUD No.1 | NCR05099 | \$15,000 | SA | WECC200901323 | PRC-005-1 | 2.1 | High | X |
| 11/30/2010 | FERC | NP11-30-000 | WECC | Clallam County PUD No.1 | NCR05099 | \$15,000 | SA | WECC200901335 | CIP-001-1 | 3 | Medium | X |
| 11/30/2010 | FERC | NP11-30-000 | WECC | Clallam County PUD No.1 | NCR05099 | \$15,000 | SA | WECC200901334 | CIP-001-1 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-29-000 | WECC | Wellhead Power Panoche, LLC | NCR05456 | \$50,000 | NOCV | WECC201001825 | FAC-008-1 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-29-000 | WECC | Wellhead Power Panoche, LLC | NCR05456 | \$50,000 | NOCV | WECC201001814 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-29-000 | WECC | Wellhead Power Panoche, LLC | NCR05456 | \$50,000 | NOCV | WECC201001854 | PRC-005-1 | 2.1 | High | X |
| 11/30/2010 | FERC | NP11-28-000 | FRCC | Solid Waste Authority of Palm Beach County | NCR10025 | \$4,500 | SA | FRCC200900218 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-27-000 | SPP | Tex-La Electric Cooperative of Texas, Inc. | NCR01342 | \$5,000 | SA | SPP200900070 | PRC-005-1 | 2 | High | X |
| 11/30/2010 | FERC | NP11-27-000 | SPP | Tex-La Electric Cooperative of Texas, Inc. | NCR01342 | \$5,000 | SA | SPP200900078 | PRC-008-0 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-27-000 | SPP | Tex-La Electric Cooperative of Texas, Inc. | NCR01342 | \$5,000 | SA | SPP200900069 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-26-000 | FRCC | Wheelerlabrador S. Broward | NCR10184 | \$0 | SA | FRCC200900184 | PRC-005-1 | 2 | High | X |
| 11/30/2010 | FERC | NP11-25-000 | FRCC | Miami Dade - Resource Recovery | NCR00047 | \$4,500 | SA | FRCC200910006 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-24-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$13,600 | SA | FRCC200900149 | FAC-009-1 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-24-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$13,600 | SA | FRCC200900148 | FAC-008-1 | 1 | Medium | X |
| 11/30/2010 | FERC | NP11-24-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$13,600 | SA | FRCC200800127 | FAC-014-1 | 6.2 | Medium | X |
| 11/30/2010 | FERC | NP11-24-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$13,600 | SA | FRCC200800124 | FAC-014-1 | 5.3 | Medium | X |
| 11/30/2010 | FERC | NP11-24-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$13,600 | SA | FRCC200800122 | FAC-014-1 | 3 | Medium | X |
| 11/30/2010 | FERC | NP11-23-000 | FRCC | Hardee Power Partners Limited | NCR00035 | \$15,000 | SA | FRCC200800130 | PRC-005-1 | 1 | High | X |
| 11/30/2010 | FERC | NP11-23-000 | FRCC | Hardee Power Partners Limited | NCR00035 | \$15,000 | SA | FRCC200800131 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-22-000 | SERC | Unidentified Registered Entity | NCRXXXXX | \$5,000 | SA | SERC200800241 | CIP-004-1 | 4.2 | Lower | X |
| 11/5/2010 | FERC | NP11-21-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$8,000 | SA | RFC200900163 | CIP-006-1 | 3.1 | Medium | X |
| 11/5/2010 | FERC | NP11-21-000 | RFC | Unidentified Registered Entity | NCRXXXXX | \$8,000 | SA | RFC200900164 | VAR-002-1 | 1 | Medium | X |
| 11/5/2010 | FERC | NP11-20-000 | SERC | Georgia Power Company | NCR01247 | \$30,000 | SA | SERC200800240 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-20-000 | SERC | Georgia Power Company | NCR01247 | \$30,000 | SA | SERC200800245 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-20-000 | SERC | Georgia Power Company | NCR01247 | \$30,000 | SA | SERC200900259 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700029 | PRC-004-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700035 | VAR-002-1 | 2 | Medium | X |

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|-----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700034 | VAR-002-1 | 1 | Medium | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700033 | TOP-002-2 | 18 | Medium | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700031 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700028 | PRC-001-1 | 3 | High | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700027 | PRC-001-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700026 | FAC-009-1 | 2 | Medium | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700024 | FAC-008-1 | 2 | Lower | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700023 | FAC-008-1 | 1 | Medium | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700022 | EOP-004-1 | 2 | Medium | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700021 | CIP-001-1 | 2 | Medium | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700025 | FAC-009-1 | 1 | Medium | X |
| 11/5/2010 | FERC | NP11-19-000 | SPP | U.S. Army Corps of Engineers - Little Rock District | NCR06037 | \$0 | NOCV | SPP200700032 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800070 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800065 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800067 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800068 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800069 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800052 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800049 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800043 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800051 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200900063 | VAR-002-1 | 3 | Medium | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800064 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800053 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800050 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800054 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800048 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800055 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-18-000 | NPCC | NextEra Energy Resources, LLC | NCR10332 | \$450,000 | SA | NPCC200800066 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-17-000 | MRO | Wisconsin Public Service Corporation | NCR00952 | \$6,000 | NOCV | MRO200900128 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-17-000 | MRO | Wisconsin Public Service Corporation | NCR00952 | \$6,000 | NOCV | MRO200900127 | PRC-008-0 | 2 | Medium | X |
| 11/5/2010 | FERC | NP11-16-000 | TRE | Nextera Energy Resources, LLC | NCR02910 | \$150,000 | SA | TRE200800065 | VAR-002-1 | 1 | Medium | X |
| 11/5/2010 | FERC | NP11-16-000 | TRE | Nextera Energy Resources, LLC | NCR02910 | \$150,000 | SA | TRE200800049 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-16-000 | TRE | Nextera Energy Resources, LLC | NCR02910 | \$150,000 | SA | TRE200800066 | VAR-002-1 | 3 | Medium | X |
| 11/5/2010 | FERC | NP11-16-000 | TRE | Nextera Energy Resources, LLC | NCR02910 | \$150,000 | SA | TRE200900069 | FAC-008-1 | 1 | Medium | X |
| 11/5/2010 | FERC | NP11-16-000 | TRE | Nextera Energy Resources, LLC | NCR02910 | \$150,000 | SA | TRE200900070 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-15-000 | NPCC | PSEG Power Connecticut LLC | NCR07201 | \$7,500 | SA | NPCC200900082 | PRC-005-1 | 2.1 | High | X |

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| 11/5/2010 | FERC | NP11-14-000 | WECC | Black Hills Power, Inc. | NCR05030 | \$12,000 | SA | WECC200901656 | PER-002-0 | 4 | High | X |
| 11/5/2010 | FERC | NP11-14-000 | WECC | Black Hills Power, Inc. | NCR05030 | \$12,000 | SA | WECC200901655 | CIP-001-1 | 3 | Medium | X |
| 11/5/2010 | FERC | NP11-13-000 | WECC | Black Hills Power, Inc. | NCR05030 | \$0 | NOCV | WECC200901789 | IRO-STD-006-0 | WR1 | N/A | X |
| 11/5/2010 | FERC | NP11-12-000 | WECC | Salem Electric | NCR05370 | \$10,000 | SA | WECC200801164 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-12-000 | WECC | Salem Electric | NCR05370 | \$10,000 | SA | WECC200801163 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-11-000 | WECC | Pend Oreille County Public Utility District No. 1 | NCR05315 | \$26,000 | SA | WECC200901523 | PER-002-0 | 3 | High | X |
| 11/5/2010 | FERC | NP11-11-000 | WECC | Pend Oreille County Public Utility District No. 1 | NCR05315 | \$26,000 | SA | WECC200901524 | PER-002-0 | 4 | High | X |
| 11/5/2010 | FERC | NP11-11-000 | WECC | Pend Oreille County Public Utility District No. 1 | NCR05315 | \$26,000 | SA | WECC200901522 | FAC-001-0 | 1 | Medium | X |
| 11/5/2010 | FERC | NP11-11-000 | WECC | Pend Oreille County Public Utility District No. 1 | NCR05315 | \$26,000 | SA | WECC200901525 | PRC-005-1 | 2.1 | High | X |
| 11/5/2010 | FERC | NP11-10-000 | WECC | Merced Irrigation District | NCR05234 | \$8,000 | SA | WECC200901277 | FAC-001-0 | 2 | Medium | X |
| 11/5/2010 | FERC | NP11-10-000 | WECC | Merced Irrigation District | NCR05234 | \$8,000 | SA | WECC200901276 | FAC-001-0 | 1 | Medium | X |
| 11/5/2010 | FERC | NP11-10-000 | WECC | Merced Irrigation District | NCR05234 | \$8,000 | SA | WECC200810420 | TPL-002-0 | 1 | High | X |
| 11/5/2010 | FERC | NP11-10-000 | WECC | Merced Irrigation District | NCR05234 | \$8,000 | SA | WECC200810419 | TPL-001-0 | 1 | High | X |
| 11/5/2010 | FERC | NP11-10-000 | WECC | Merced Irrigation District | NCR05234 | \$8,000 | SA | WECC200801166 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-09-000 | WECC | Las Vegas Cogeneration LP | NCR05214 | \$12,000 | SA | WECC200901471 | FAC-008-1 | 1 | Medium | X |
| 11/5/2010 | FERC | NP11-09-000 | WECC | Las Vegas Cogeneration LP | NCR05214 | \$12,000 | SA | WECC200901396 | PRC-005-1 | 2.1 | High | X |
| 11/5/2010 | FERC | NP11-09-000 | WECC | Las Vegas Cogeneration LP | NCR05214 | \$12,000 | SA | WECC200901395 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-08-000 | MRO | Minnesota Power (Allete, Inc.) | NCR00674 | \$62,500 | SA | MRO200900092 | FAC-003-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-08-000 | MRO | Minnesota Power (Allete, Inc.) | NCR00674 | \$62,500 | SA | MRO200900093 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-08-000 | MRO | Minnesota Power (Allete, Inc.) | NCR00674 | \$62,500 | SA | MRO200900095 | PRC-008-0 | 2 | Medium | X |
| 11/5/2010 | FERC | NP11-08-000 | MRO | Minnesota Power (Allete, Inc.) | NCR00674 | \$62,500 | SA | MRO200900098 | COM-002-2 | 2 | Medium | X |
| 11/5/2010 | FERC | NP11-08-000 | MRO | Minnesota Power (Allete, Inc.) | NCR00674 | \$62,500 | SA | MRO200900090 | CIP-001-1 | 1 | Medium | X |
| 11/5/2010 | FERC | NP11-07-000 | FRCC | Lee County Electric Cooperative, Inc. | NCR00045 | \$30,000 | SA | FRCC200900159 | FAC-003-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-07-000 | FRCC | Lee County Electric Cooperative, Inc. | NCR00045 | \$30,000 | SA | FRCC200900158 | PRC-005-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-07-000 | FRCC | Lee County Electric Cooperative, Inc. | NCR00045 | \$30,000 | SA | FRCC200900160 | FAC-003-1 | 2 | High | X |
| 11/5/2010 | FERC | NP11-07-000 | FRCC | Lee County Electric Cooperative, Inc. | NCR00045 | \$30,000 | SA | FRCC200900157 | PRC-005-1 | 1 | High | X |
| 11/5/2010 | FERC | NP11-06-000 | FRCC | Southern Power Company | NCR00071 | \$15,000 | SA | FRCC200800090 | PRC-005-1 | 2.1 | High | X |
| 11/5/2010 | FERC | NP11-06-000 | FRCC | Southern Power Company | NCR00071 | \$15,000 | SA | FRCC200800115 | PRC-005-1 | 2.1 | High | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXX | \$16,000 | SA | SERC200800170 | CIP-002-1 | 1 | Medium | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXX | \$16,000 | SA | SERC200800171 | CIP-002-1 | 2 | High | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXX | \$16,000 | SA | SERC200800172 | CIP-002-1 | 3 | High | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXX | \$16,000 | SA | SERC200800173 | CIP-003-1 | 1 | Medium | X |

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| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200800174 | CIP-003-1 | 2 | Medium | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200800175 | CIP-003-1 | 3 | Lower | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200800176 | CIP-004-1 | 2 | Medium | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200800177 | CIP-004-1 | 3 | Medium | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200800178 | CIP-004-1 | 4 | Medium | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200800179 | CIP-007-1 | 1 | Medium | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200800180 | CIP-008-1 | 1 | Lower | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200800181 | CIP-009-1 | 1 | Medium | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200800182 | CIP-009-1 | 2 | Lower | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200800200 | PRC-005-1 | 1 | High | X |
| 10/7/2010 | FERC | NP11-5-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$16,000 | SA | SERC200900291 | CIP-004-1 | 2.1 | Medium | X |
| 10/7/2010 | FERC | NP11-4-000 | FRCC | Unidentified Registered Entity | NCR0XXXXXX | \$250,000 | SA | FRCC200800080 | FAC-003-1 | 2 | High | X |
| 10/7/2010 | FERC | NP11-4-000 | FRCC | Unidentified Registered Entity | NCR0XXXXXX | \$250,000 | SA | FRCC200800120 | FAC-009-1 | 1 | Medium | X |
| 10/7/2010 | FERC | NP11-4-000 | FRCC | Unidentified Registered Entity | NCR0XXXXXX | \$250,000 | SA | FRCC200800128 | BAL-003-0 | 3 | Medium | X |
| 10/7/2010 | FERC | NP11-4-000 | FRCC | Unidentified Registered Entity | NCR0XXXXXX | \$250,000 | SA | FRCC200800139 | CIP-004-1 | 4 | Lower | X |
| 10/7/2010 | FERC | NP11-3-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$6,000 | SA | SERC200900287 | CIP-002-1 | 3 | High | X |
| 10/7/2010 | FERC | NP11-3-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$6,000 | SA | SERC200900288 | CIP-004-1 | 2.1 | Medium | X |
| 10/7/2010 | FERC | NP11-3-000 | SERC | Unidentified Registered Entity | NCR0XXXXXX | \$6,000 | SA | SERC200900289 | CIP-004-1 | 3 | Medium | X |

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|-----------|----------------------|----------------------|--------|--------------------------------|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 10/7/2010 | FERC | NP11-2-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$9,000 | SA | WECC200700484 | COM-001-1 | 3 | Lower | X |
| 10/7/2010 | FERC | NP11-2-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$9,000 | SA | WECC200700486 | MOD-018-0 | 1 | Medium | X |
| 10/7/2010 | FERC | NP11-2-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$9,000 | SA | WECC200700530 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/7/2010 | FERC | NP11-2-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$9,000 | SA | WECC200710003 | FAC-001-0 | 2 | Medium | X |
| 10/7/2010 | FERC | NP11-2-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$9,000 | SA | WECC200800920 | CIP-009-1 | 1 | Medium | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200710186 | FAC-008-1 | 1 | Lower | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200800610 | FAC-009-1 | 1 | Medium | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200801021 | COM-001-1 | 2 | Medium | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200801082 | TPL-002-0 | 1 | High | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200801083 | TPL-002-0 | 2 | Medium | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200801084 | TPL-003-0 | 1 | High | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200801085 | TPL-003-0 | 2 | Medium | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200810378 | FAC-003-1 | 2 | High | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200901336 | CIP-004-1 | 2 | Medium | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200901337 | CIP-004-1 | 3 | Medium | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200901472 | CIP-004-1 | 2 | Medium | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200901473 | CIP-004-1 | 3 | Medium | X |
| 10/7/2010 | FERC | NP11-1-000 | WECC | Unidentified Registered Entity | NCR0XXXXXX | \$106,000 | SA | WECC200901474 | CIP-004-1 | 4 | Medium | X |
| 9/30/2010 | FERC | NP10-190-000 | WECC | Colorado Springs Utilities | NCR05106 | \$31,000 | SA | WECC200810400 | MOD-018-0 | 1 | Medium | X |

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|-----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 9/30/2010 | FERC | NP10-190-000 | WECC | Colorado Springs Utilities | NCR05106 | \$31,000 | SA | WECC200910405 | TPL-003-0 | 1 | High/Medium | X |
| 9/30/2010 | FERC | NP10-190-000 | WECC | Colorado Springs Utilities | NCR05106 | \$31,000 | SA | WECC200901407 | IRO-STD-006-0 | WR1 | N/A | X |
| 9/30/2010 | FERC | NP10-190-000 | WECC | Colorado Springs Utilities | NCR05106 | \$31,000 | SA | WECC200801421 | FAC-003-1 | 2 | High | X |
| 9/30/2010 | FERC | NP10-190-000 | WECC | Colorado Springs Utilities | NCR05106 | \$31,000 | SA | WECC200801243 | FAC-010-1 | 4 | Lower | X |
| 9/30/2010 | FERC | NP10-190-000 | WECC | Colorado Springs Utilities | NCR05106 | \$31,000 | SA | WECC200801242 | FAC-010-1 | 3 | Lower | X |
| 9/30/2010 | FERC | NP10-190-000 | WECC | Colorado Springs Utilities | NCR05106 | \$31,000 | SA | WECC200801241 | FAC-010-1 | 1 | Lower | X |
| 9/30/2010 | FERC | NP10-190-000 | WECC | Colorado Springs Utilities | NCR05106 | \$31,000 | SA | WECC200801034 | PRC-005-1 | 2 | High | X |
| 9/30/2010 | FERC | NP10-190-000 | WECC | Colorado Springs Utilities | NCR05106 | \$31,000 | SA | WECC200800883 | FAC-010-1 | 2 | Medium | X |
| 9/30/2010 | FERC | NP10-189-000 | WECC | Hetch Hetchy Water and Power | NCR05182 | \$4,000 | NOCV | WECC200901785 | VAR-002-1 | 3 | Medium | X |
| 9/30/2010 | FERC | NP10-188-000 | WECC | City of Tacoma, Department of Public Utilities, Light Division | NCR05097 | \$7,500 | SA | WECC200901286 | EOP-001-0 | 6 | Medium | X |
| 9/30/2010 | FERC | NP10-187-000 | SERC | City of Ruston, LA (DERS) | NCR01203 | \$0 | SA | SERC200900356 | EOP-004-1 | 3.1 | Lower | X |
| 9/30/2010 | FERC | NP10-186-000 | RFC | Fox Energy Company LLC | NCR08021 | \$4,000 | SA | RFC200900178 | CIP-001-1 | 2 | Medium | X |
| 9/30/2010 | FERC | NP10-185-000 | SERC | South Louisiana Electric Cooperative Association | NCR00163 | \$5,000 | SA | SERC200900425 | CIP-001-1 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-185-000 | SERC | South Louisiana Electric Cooperative Association | NCR00163 | \$5,000 | SA | SERC200900328 | CIP-001-1 | 4 | Medium | X |
| 9/30/2010 | FERC | NP10-184-000 | WECC | Klickitat County PUD | NCR05206 | \$4,800 | SA | WECC200901281 | FAC-001-0 | 3 | Medium | X |
| 9/30/2010 | FERC | NP10-184-000 | WECC | Klickitat County PUD | NCR05206 | \$4,800 | SA | WECC200800670 | CIP-001-1 | 4 | Medium | X |
| 9/30/2010 | FERC | NP10-184-000 | WECC | Klickitat County PUD | NCR05206 | \$4,800 | SA | WECC200901280 | FAC-001-0 | 2 | Medium | X |
| 9/30/2010 | FERC | NP10-184-000 | WECC | Klickitat County PUD | NCR05206 | \$4,800 | SA | WECC200901279 | FAC-001-0 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-183-000 | WECC | Dynegy Inc. | NCR00200 | \$30,000 | SA | WECC200901680 | PRC-005-1 | 2.1 | High | X |
| 9/30/2010 | FERC | NP10-182-000 | RFC | Conectiv Energy Supply, Inc | NCR00732 | \$15,000 | SA | RFC200900188 | PRC-005-1 | 1 | High | X |
| 9/30/2010 | FERC | NP10-182-000 | RFC | Conectiv Energy Supply, Inc | NCR00732 | \$15,000 | SA | RFC200900189 | PRC-005-1 | 2.1 | High | X |
| 9/30/2010 | FERC | NP10-181-000 | RFC | UGI Utilities, Inc. | NCR00935 | \$5,000 | SA | RFC200900196 | PRC-005-1 | 2 | High | X |
| 9/30/2010 | FERC | NP10-180-000 | SERC | Tennessee Valley Authority | NCR01151 | \$10,000 | SA | SERC200900271 | PRC-005-1 | 2.1 | High | X |
| 9/30/2010 | FERC | NP10-180-000 | SERC | Tennessee Valley Authority | NCR01151 | \$10,000 | SA | SERC200900273 | PRC-005-1 | 2.1 | High | X |
| 9/30/2010 | FERC | NP10-179-000 | MRO | Minnesota Municipal Power Agency | NCR01012 | \$0 | SA | MRO200900082 | VAR-002-1 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-178-000 | RFC | Dynegy Inc. | NCR00200 | \$12,500 | SA | RFC200900171 | PRC-005-1 | 2.1 | High | X |
| 9/30/2010 | FERC | NP10-177-000 | RFC | Monongahela Power Company | NCR10200 | \$13,000 | SA | RFC200900168 | FAC-008-1 | 1 | Lower | X |
| 9/30/2010 | FERC | NP10-177-000 | RFC | Monongahela Power Company | NCR10200 | \$13,000 | SA | RFC200900194 | PRC-005-1 | 2.1 | High | X |
| 9/30/2010 | FERC | NP10-176-000 | NPCC | Great Lakes Hydro America - ME | NCR10359 | \$0 | NOCV | NPCC200900102 | FAC-009-1 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-176-000 | NPCC | Great Lakes Hydro America - ME | NCR10359 | \$0 | NOCV | NPCC200900101 | FAC-008-1 | 1 | Lower | X |
| 9/30/2010 | FERC | NP10-175-000 | NPCC | Erie Boulevard Hydropower, LP | NCR10358 | \$0 | NOCV | NPCC200900099 | FAC-008-1 | 1 | Lower | X |
| 9/30/2010 | FERC | NP10-175-000 | NPCC | Erie Boulevard Hydropower, LP | NCR10358 | \$0 | NOCV | NPCC200900100 | FAC-009-1 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-174-000 | NPCC | Carr Street Generating Station, LP | NCR10354 | \$0 | NOCV | NPCC200900098 | FAC-009-1 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-174-000 | NPCC | Carr Street Generating Station, LP | NCR10354 | \$0 | NOCV | NPCC200900097 | FAC-008-1 | 1 | Lower | X |
| 9/30/2010 | FERC | NP10-173-000 | NPCC | Vermont Marble Power Division of Omya Inc | NCR07226 | \$5,000 | NOCV | NPCC201000144 | CIP-001-1 | 2 | Medium | X |
| 9/30/2010 | FERC | NP10-173-000 | NPCC | Vermont Marble Power Division of Omya Inc | NCR07226 | \$5,000 | NOCV | NPCC201000143 | CIP-001-1 | 1 | Medium | X |

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|-----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 9/30/2010 | FERC | NP10-173-000 | NPCC | Vermont Marble Power Division of Omya Inc | NCR07226 | \$5,000 | NOCV | NPCC200900106 | CIP-001-1 | 3 | Medium | X |
| 9/30/2010 | FERC | NP10-173-000 | NPCC | Vermont Marble Power Division of Omya Inc | NCR07226 | \$5,000 | NOCV | NPCC200900084 | PRC-008-0 | 2 | Medium | X |
| 9/30/2010 | FERC | NP10-173-000 | NPCC | Vermont Marble Power Division of Omya Inc | NCR07226 | \$5,000 | NOCV | NPCC201000145 | CIP-001-1 | 4 | Medium | X |
| 9/30/2010 | FERC | NP10-173-000 | NPCC | Vermont Marble Power Division of Omya Inc | NCR07226 | \$5,000 | NOCV | NPCC200900083 | PRC-008-0 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-172-000 | WECC | Klickitat County PUD | NCR05206 | \$12,500 | SA | WECC200910421 | PRC-005-1 | 2.1 | High | X |
| 9/30/2010 | FERC | NP10-171-000 | TRE | Post Oak Wind, LLC | NCR10167 | \$198,600 | NOCV | TRE200800061 | IRO-001-1 | 8 | Medium | X |
| 9/30/2010 | FERC | NP10-171-000 | TRE | Post Oak Wind, LLC | NCR10167 | \$198,600 | NOCV | TRE200800062 | VAR-002-1 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-170-000 | SERC | Seneca Light and Water | NCR01309 | \$3,000 | SA | SERC200900406 | CIP-001-1 | 2 | Medium | X |
| 9/30/2010 | FERC | NP10-170-000 | SERC | Seneca Light and Water | NCR01309 | \$3,000 | SA | SERC200900405 | CIP-001-1 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-170-000 | SERC | Seneca Light and Water | NCR01309 | \$3,000 | SA | SERC200900404 | CIP-001-1 | 3 | Medium | X |
| 9/30/2010 | FERC | NP10-170-000 | SERC | Seneca Light and Water | NCR01309 | \$3,000 | SA | SERC200900407 | CIP-001-1 | 4 | Medium | X |
| 9/30/2010 | FERC | NP10-169-000 | SERC | Citizens Electric Corporation | NCR01192 | \$2,000 | SA | SERC200900276 | FAC-001-0 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-169-000 | SERC | Citizens Electric Corporation | NCR01192 | \$2,000 | SA | SERC200900277 | FAC-001-0 | 2 | Medium | X |
| 9/30/2010 | FERC | NP10-168-000 | MRO | NorthWestern Energy | NCR01021 | \$23,000 | SA | MRO200900116 | PRC-005-1 | 1 | High | X |
| 9/30/2010 | FERC | NP10-168-000 | MRO | NorthWestern Energy | NCR01021 | \$23,000 | SA | MRO200900117 | PRC-005-1 | 2 | High | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801078 | EOP-001-0 | 3 | Medium | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801101 | TPL-003-0 | 1 | High | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200800997 | PRC-005-1 | 2 | High | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801077 | BAL-005-0 | 17 | Medium | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801104 | TPL-004-0 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801099 | TPL-002-0 | 2 | Medium | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801105 | TPL-004-0 | 2 | Lower | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801080 | PER-002-0 | 1 | High | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801103 | TPL-003-0 | 3 | Lower | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801102 | TPL-003-0 | 2 | Medium | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801100 | TPL-002-0 | 3 | Lower | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801097 | TPL-001-0 | 3 | Lower | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801096 | TPL-001-0 | 2 | Medium | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801095 | TPL-001-0 | 1 | High | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801081 | TOP-002-2 | 1 | Medium | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801216 | EOP-008-0 | 1 | High | X |
| 9/30/2010 | FERC | NP10-167-000 | WECC | PUD No. 1 of Douglas County | NCR05343 | \$70,000 | SA | WECC200801098 | TPL-002-0 | 1 | High | X |
| 9/30/2010 | FERC | NP10-166-000 | WECC | Edison Mission Marketing & Trading, Inc. | NCR00769 | \$1,000 | SA | WECC200901516 | VAR-002-1 | 3 | Medium | X |
| 9/30/2010 | FERC | NP10-165-000 | WECC | Kern River Cogeneration Company | NCR05204 | \$1,000 | NOCV | WECC200901758 | VAR-002-1 | 3 | Medium | X |
| 9/30/2010 | FERC | NP10-164-000 | FRCC | Shady Hills Power Company, LLC | NCR00069 | \$25,000 | SA | FRCC200900219 | PRC-005-1 | 1 | High | X |
| 9/30/2010 | FERC | NP10-163-000 | WECC | SWG Colorado, LLC (formerly Black Hills Colorado, LLC) | NCR05029 | \$12,000 | SA | WECC200901406 | PRC-005-1 | 2 | High | X |
| 9/30/2010 | FERC | NP10-162-000 | SPP | East Texas Electric Cooperative Inc. | NCR01227 | \$15,000 | SA | SPP200900077 | PRC-008-0 | 1 | Medium | X |

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| 9/30/2010 | FERC | NP10-162-000 | SPP | East Texas Electric Cooperative Inc. | NCR01227 | \$15,000 | SA | SPP200900068 | PRC-005-1 | 2 | High | X |
| 9/30/2010 | FERC | NP10-162-000 | SPP | East Texas Electric Cooperative Inc. | NCR01227 | \$15,000 | SA | SPP200900067 | PRC-005-1 | 1 | High | X |
| 9/30/2010 | FERC | NP10-161-000 | FRCC | Orlando Utilities Commission | NCR00057 | \$60,500 | SA | FRCC200800110 | PRC-005-1 | 2.1 | High | X |
| 9/30/2010 | FERC | NP10-161-000 | FRCC | Orlando Utilities Commission | NCR00057 | \$60,500 | SA | FRCC200900147 | FAC-003-1 | 1.3 | High | X |
| 9/30/2010 | FERC | NP10-161-000 | FRCC | Orlando Utilities Commission | NCR00057 | \$60,500 | SA | FRCC200900146 | PRC-005-1 | 1 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [California Mexico Reliability Coordinator OC] | NCR05049 | \$0 | OMNI | WECC200710001 / NCEA200700070 | IRO-005-2 | 2 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [California Mexico Reliability Coordinator OC] | NCR05049 | \$0 | OMNI | WECC200700499 / NCEA200700064 | CIP-001-1 | 4 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [California Mexico Reliability Coordinator OC] | NCR05049 | \$0 | OMNI | WECC200700500 / NCEA200700066 | COM-001-1 | 3 | Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [California Mexico Reliability Coordinator OC] | NCR05049 | \$0 | OMNI | WECC200700501 / NCEA200700067 | IRO-001-1 | 4 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [California Mexico Reliability Coordinator OC] | NCR05049 | \$0 | OMNI | WECC200700502 / NCEA200700068 | IRO-001-1 | 5 | Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [California Mexico Reliability Coordinator OC] | NCR05049 | \$0 | OMNI | WECC200700503 / NCEA200700069 | IRO-001-1 | 7 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Pacific Northwest Security Coordinator] | NCR05302 | \$0 | OMNI | WECC200700397 / NCEA200700056 | IRO-004-1 | 1 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Pacific Northwest Security Coordinator] | NCR05302 | \$0 | OMNI | WECC200810296 / NCEA200800071 | EOP-006-1 | 1 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Pacific Northwest Security Coordinator] | NCR05302 | \$0 | OMNI | WECC200700402 / NCEA200700060 | EOP-006-1 | 6 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Pacific Northwest Security Coordinator] | NCR05302 | \$0 | OMNI | WECC200700401 / NCEA200700059 | EOP-006-1 | 3 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Pacific Northwest Security Coordinator] | NCR05302 | \$0 | OMNI | WECC200700400 / NCEA200700058 | CIP-001-1 | 4 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Pacific Northwest Security Coordinator] | NCR05302 | \$0 | OMNI | WECC200810328 / NCEA200800072 | EOP-008-0 | 1 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Pacific Northwest Security Coordinator] | NCR05302 | \$0 | OMNI | WECC200700399 / NCEA200700057 | TOP-003-0 | 4 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Rocky Mountain – Desert Southwest Reliability] | NCR05364 | \$0 | OMNI | WECC200700446 / NCEA200700061 | PER-004-1 | 1 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Rocky Mountain – Desert Southwest Reliability] | NCR05364 | \$0 | OMNI | WECC200700447 / NCEA200700062 | PER-004-1 | 3 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Rocky Mountain – Desert Southwest Reliability] | NCR05364 | \$0 | OMNI | WECC200700451 / NCEA200700065 | EOP-006-1 | 1 | Medium | X |

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|-----------|----------------------|----------------------|-------------|--|--|---|-----------------------|-------------------------------|----------------------|------|-----------------------|------------------------------------|
| 9/13/2010 | FERC | NP10-160-000 | NERC as CEA | WECC RC [Rocky Mountain – Desert Southwest Reliability] | NCR05364 | \$0 | OMNI | WECC200700448 / NCEA200700063 | PER-004-1 | 4 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700044 | PRC-004-1 | 2 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700036 | CIP-001-1 | 2 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700037 | EOP-004-1 | 2 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700039 | FAC-008-1 | 2 | Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700040 | FAC-009-1 | 1 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700041 | FAC-009-1 | 2 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700042 | PRC-001-1 | 2 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700043 | PRC-001-1 | 3 | | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700047 | PRC-005-1 | 2 | Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700048 | TOP-002-2 | 18 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700049 | VAR-002-1 | 1 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700050 | VAR-002-1 | 2 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700038 | FAC-008-1 | 1 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | SPP RE | USACE - Tulsa District | NCR06038 | \$0 | OMNI | SPP200700046 | PRC-005-1 | 1 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | TRE | USACE - Tulsa District | NCR04156 | \$0 | OMNI | TRE200700022 | FAC-008-1 | 1 | Lower / Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | TRE | USACE - Tulsa District | NCR04156 | \$0 | OMNI | TRE200700023 | FAC-008-1 | 2 | Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | TRE | USACE - Tulsa District | NCR04156 | \$0 | OMNI | TRE200700024 | FAC-008-1 | 3 | Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Black-Hills Colorado Electric Utility Company, LP | NCR00089 | \$0 | OMNI | WECC200801842 | PRC-005-1 | 2 | Lower/High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | California Department of Water Resources | NCR05047 | \$0 | OMNI | WECC200800662 | PRC-005-1 | 1 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | California Department of Water Resources | NCR05047 | \$0 | OMNI | WECC200800663 | PRC-005-1 | 2 | Lower / High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | City of Forest Grove Light and Power | NCR10040 | \$0 | OMNI | WECC200801562 | PRC-008-0 | 2 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | City of Forest Grove Light and Power | NCR10040 | \$0 | OMNI | WECC200801561 | PRC-008-0 | 1 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | City of Ukiah | NCR05494 | \$0 | OMNI | WECC200701840 | PRC-007-0 | 2 | Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | City of Ukiah | NCR05494 | \$0 | OMNI | | | | | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Imperial Irrigation District | NCR05195 | \$0 | OMNI | WECC200810403 | TPL-004-0 | 1 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Imperial Irrigation District | NCR05195 | \$0 | OMNI | WECC200810404 | TPL-004-0 | 2 | Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Imperial Irrigation District | NCR05195 | \$0 | OMNI | WECC200810402 | TPL-003-0 | 1 | High / Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200700190 | PRC-005-1 | 2 | Lower / High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Navopache Electric Cooperative, Inc. | NCR05260 | \$0 | OMNI | WECC200800776 | PRC-005-1 | 1 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Navopache Electric Cooperative, Inc. | NCR05260 | \$0 | OMNI | WECC200800779 | PRC-008-0 | 2 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Navopache Electric Cooperative, Inc. | NCR05260 | \$0 | OMNI | WECC200800778 | PRC-008-0 | 1 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Navopache Electric Cooperative, Inc. | NCR05260 | \$0 | OMNI | WECC200800777 | PRC-005-1 | 2 | Lower / High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Public Service Company of New Mexico | NCR05333 | \$0 | OMNI | WECC200810410 | PRC-001-1 | 4 | High | X |

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| 9/13/2010 | FERC | NP10-160-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$35,000 | OMNI | WECC200800791 | VAR-STD-002a | WR1 | N/A | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Southern Nevada Water Authority | NCR05400 | \$0 | OMNI | WECC200801841 | TOP-005-1 | 4 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200801004 | PRC-005-1 | 2 | Lower/High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Tri-Dam Project of the Oakdale and South Joaquin Irrigation | NCR05431 | \$0 | OMNI | WECC200801576 | PRC-005-1 | 1 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Tri-Dam Project of the Oakdale and South Joaquin Irrigation | NCR05431 | \$0 | OMNI | WECC200801577 | PRC-005-1 | 2 | Lower / High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Tri-Dam Project of the Oakdale and South Joaquin Irrigation | NCR05431 | \$0 | OMNI | WECC200801575 | FAC-008-1 | 1 | Medium / Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Unidentified Registered Entity (URE) | NCRXXXXX | \$0 | OMNI | WECC200800XXX | CIP-007-1 | 1 | Medium / Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | Unidentified Registered Entity (URE) | NCRXXXXX | \$0 | OMNI | WECC200800XXX | CIP-003-1 | 1 | Lower | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801717 | PRC-005-1 | 1 | High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801718 | PRC-005-1 | 2 | Lower / High | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | USACE-Seattle District | NCR05539 | \$0 | OMNI | WECC200801190 | CIP-001-1 | 1 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | USACE-Seattle District | NCR05539 | \$0 | OMNI | WECC200801191 | CIP-001-1 | 3 | Medium | X |
| 9/13/2010 | FERC | NP10-160-000 | WECC | USACE-Seattle District | NCR05539 | \$0 | OMNI | WECC200801192 | CIP-001-1 | 4 | Medium | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200810372 | FAC-009-1 | 1 | Medium | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800856 | PER-002-0 | 3 | High | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800857 | PRC-005-1 | 1 | High | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800858 | PRC-005-1 | 2 | Lower | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800859 | PRC-STD-005-1 | WR1 | N/A | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200810373 | TPL-001-0 | 1 | High | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800860 | TPL-001-0 | 3 | Lower | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800861 | TPL-002-0 | 1 | High | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800862 | TPL-002-0 | 2 | Medium | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800863 | TPL-002-0 | 3 | Lower | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800864 | TPL-003-0 | 1 | High | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800865 | TPL-003-0 | 2 | Medium | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800866 | TPL-003-0 | 3 | Lower | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800867 | TPL-004-0 | 1 | Medium | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800868 | TPL-004-0 | 2 | Lower | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200801165 | CIP-002-1 | 3 | High | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200901309 | CIP-003-1 | 3 | Lower | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200901310 | CIP-004-1 | 4 | Lower | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200800922 | CIP-008-1 | 1 | Lower | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200901311 | CIP-009-1 | 1 | Medium | X |

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| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200700565 | EOP-008-0 | 1 | High | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200700566 | VAR-001-1 | 3 | Lower | X |
| 7/30/2010 | FERC | NP10-159-000 | WECC | NERC | NCRXXXXX | \$109,000 | SA | WECC200700567 | VAR-001-1 | 4 | Medium | X |
| 7/30/2010 | FERC | NP10-158-000 | WECC | Public Service Company of New Mexico | NCR05333 | \$30,000 | SA | WECC200810309 | FAC-009-1 | 1 | Medium | X |
| 7/30/2010 | FERC | NP10-158-000 | WECC | Public Service Company of New Mexico | NCR05333 | \$30,000 | SA | WECC200810375 | PRC-005-1 | 1 | High | X |
| 7/30/2010 | FERC | NP10-158-000 | WECC | Public Service Company of New Mexico | NCR05333 | \$30,000 | SA | WECC200800892 | PRC-005-1 | 2 | High | X |
| 7/30/2010 | FERC | NP10-158-000 | WECC | Public Service Company of New Mexico | NCR05333 | \$30,000 | SA | WECC200810312 | TOP-004-1 | 6 | Medium | X |
| 7/30/2010 | FERC | NP10-158-000 | WECC | Public Service Company of New Mexico | NCR05333 | \$30,000 | SA | WECC200801159 | VAR-002-1 | 3 | Medium | X |
| 7/30/2010 | FERC | NP10-157-000 | RFC | Commonwealth Edison Company | NCR08013 | \$23,000 | SA | RFC200900155 | PRC-005-1 | 2.1 | High | X |
| 7/30/2010 | FERC | NP10-156-000 | SERC | EPCOR USA North Carolina LLC (Southport) | NCR09020 | \$30,000 | SA | SERC200800224 | PRC-005-1 | 1 | High | X |
| 7/30/2010 | FERC | NP10-156-000 | SERC | EPCOR USA North Carolina LLC (Southport) | NCR09020 | \$30,000 | SA | SERC200800225 | PRC-005-1 | 2 | High | X |
| 7/30/2010 | FERC | NP10-156-000 | SERC | EPCOR USA North Carolina LLC (Southport) | NCR09020 | \$30,000 | SA | SERC200800216 | CIP-001-1 | 1 | Medium | X |
| 7/30/2010 | FERC | NP10-156-000 | SERC | EPCOR USA North Carolina LLC (Southport) | NCR09020 | \$30,000 | SA | SERC200800217 | CIP-001-1 | 2 | Medium | X |
| 7/30/2010 | FERC | NP10-156-000 | SERC | EPCOR USA North Carolina LLC (Southport) | NCR09020 | \$30,000 | SA | SERC200800218 | CIP-001-1 | 3 | Medium | X |
| 7/30/2010 | FERC | NP10-156-000 | SERC | EPCOR USA North Carolina LLC (Southport) | NCR09020 | \$30,000 | SA | SERC200800219 | CIP-001-1 | 4 | Medium | X |
| 7/30/2010 | FERC | NP10-156-000 | SERC | EPCOR USA North Carolina LLC (Southport) | NCR09020 | \$30,000 | SA | SERC200800214 | FAC-008-1 | 1 | Medium | X |
| 7/30/2010 | FERC | NP10-155-000 | SERC | EPCOR USA North Carolina LLC (Roxboro) | NCR09021 | \$30,000 | SA | SERC200800212 | PRC-005-1 | 1 | High | X |
| 7/30/2010 | FERC | NP10-155-000 | SERC | EPCOR USA North Carolina LLC (Roxboro) | NCR09021 | \$30,000 | SA | SERC200800213 | PRC-005-1 | 2 | High | X |
| 7/30/2010 | FERC | NP10-155-000 | SERC | EPCOR USA North Carolina LLC (Roxboro) | NCR09021 | \$30,000 | SA | SERC200800220 | CIP-001-1 | 1 | Medium | X |
| 7/30/2010 | FERC | NP10-155-000 | SERC | EPCOR USA North Carolina LLC (Roxboro) | NCR09021 | \$30,000 | SA | SERC200800221 | CIP-001-1 | 2 | Medium | X |
| 7/30/2010 | FERC | NP10-155-000 | SERC | EPCOR USA North Carolina LLC (Roxboro) | NCR09021 | \$30,000 | SA | SERC200800222 | CIP-001-1 | 3 | Medium | X |
| 7/30/2010 | FERC | NP10-155-000 | SERC | EPCOR USA North Carolina LLC (Roxboro) | NCR09021 | \$30,000 | SA | SERC200800223 | CIP-001-1 | 4 | Medium | X |
| 7/30/2010 | FERC | NP10-155-000 | SERC | EPCOR USA North Carolina LLC (Roxboro) | NCR09021 | \$30,000 | SA | SERC200800215 | FAC-008-1 | 1 | Medium | X |
| 7/30/2010 | FERC | NP10-154-000 | FRCC | Ocala Utility Services | NCR00054 | \$13,500 | SA | FRCC200800088 | PRC-005-1 | 11 | High | X |
| 7/30/2010 | FERC | NP10-154-000 | FRCC | Ocala Utility Services | NCR00054 | \$13,500 | SA | FRCC200800089 | PRC-005-1 | 2.1 | High | X |
| 7/30/2010 | FERC | NP10-153-000 | SERC | DTE Energy Trading | NCR00760 | \$0 | SA | SERC200900409 | INT-004-2 | 2.2 | Lower | X |
| 7/30/2010 | FERC | NP10-152-000 | SERC | Dynegy, Inc. | NCR00200 | \$12,500 | SA | SERC200900263 | PRC-005-1 | 2/2.1 | Lower/High | X |
| 7/30/2010 | FERC | NP10-151-000 | RFC | PECO Energy Company | NCR08026 | \$15,000 | SA | RFC200900127 | PRC-005-1 | 2.1 | High | X |
| 7/30/2010 | FERC | NP10-150-000 | RFC | Baltimore Gas & Electric Company | NCR00689 | \$10,000 | SA | RFC200900123 | PRC-005-1 | 2.1, 2.2 | High | X |
| 7/30/2010 | FERC | NP10-149-000 | TRE | Kiowa Power Partners, LLC | NCR01113 | \$25,000 | SA | TRE200800063 | FAC-003-1 | 2 | High | X |
| 7/30/2010 | FERC | NP10-149-000 | TRE | Kiowa Power Partners, LLC | NCR01113 | \$25,000 | SA | TRE200800115 | FAC-008-1 | 1 | Lower | X |
| 7/30/2010 | FERC | NP10-149-000 | TRE | Kiowa Power Partners, LLC | NCR01113 | \$25,000 | SA | TRE200800116 | FAC-009-1 | 1 | Medium | X |
| 7/30/2010 | FERC | NP10-148-000 | RFC | City of Vineland New Jersey | NCR00722 | \$2,000 | SA | RFC200800099 | FAC-008-1 | 1 | Lower | X |
| 7/30/2010 | FERC | NP10-148-000 | RFC | City of Vineland New Jersey | NCR00722 | \$2,000 | SA | RFC200800101 | FAC-009-1 | 1 | Medium | X |
| 7/30/2010 | FERC | NP10-147-000 | SERC | Greenwood Commissioners of Public Works | NCR01250 | \$10,000 | SA | SERC200900333 | CIP-001-1 | 1 | Medium | X |
| 7/30/2010 | FERC | NP10-147-000 | SERC | Greenwood Commissioners of Public Works | NCR01250 | \$10,000 | SA | SERC200900334 | CIP-001-1 | 2 | Medium | X |
| 7/30/2010 | FERC | NP10-147-000 | SERC | Greenwood Commissioners of Public Works | NCR01250 | \$10,000 | SA | SERC200900335 | CIP-001-1 | 3 | Medium | X |

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| 7/30/2010 | FERC | NP10-147-000 | SERC | Greenwood Commissioners of Public Works | NCR01250 | \$10,000 | SA | SERC200900336 | CIP-001-1 | 4 | Medium | X |
| 7/30/2010 | FERC | NP10-146-000 | WECC | San Diego Gas & Electric Company | NCR05377 | \$30,000 | NOCV | WECC200901708 | PRC-005-1 | 2.1 | High | X |
| 7/30/2010 | FERC | NP10-145-000 | WECC | Pacific Gas and Electric Company | NCR05299 | \$0 | NOCV | WECC200901640 | PRC-STD-005-1 | WR1 | N/A | X |
| 7/30/2010 | FERC | NP10-144-000 | WECC | Dynergy, Inc. | NCR00200 | \$20,000 | SA | WECC200901528 | VAR-002-1 | 2 | Medium | X |
| 7/30/2010 | FERC | NP10-143-000 | FRCC | City of Tallahassee | NCR00073 | \$5,000 | SA | FRCC200800121 | MOD-006-0 | 2 | Lower | X |
| 7/30/2010 | FERC | NP10-143-000 | FRCC | City of Tallahassee | NCR00073 | \$5,000 | SA | FRCC200800155 | BAL-003-0 | 2.1 | Lower | X |
| 7/30/2010 | FERC | NP10-143-000 | FRCC | City of Tallahassee | NCR00073 | \$5,000 | SA | FRCC200800156 | BAL-001-0 | 1 | Medium | X |
| 7/6/2010 | FERC | NP10-142-000 | RFC | NAES Corporation - Lincoln Generating Facility | NCR00845 | \$9,000 | SA | RFC200900120 | VAR-002-1 | 1 | Medium | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200800656 | INT-006-1 | 1 | Lower | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200701287 | PRC-STD-005-1 | WR1 | N/A | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200901289 | PRC-STD-005-1 | WR1 | N/A | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200810219 | PRC-005-1 | 2 | High | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200800711 | PER-002-0 | 1 | High | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200801041 | IRO-STD-006-0 | WR1 | N/A | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200800669 | INT-001-2 | 1 | Lower | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200800710 | FAC-001-0 | 2 | Medium | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200810218 | EOP-001-0 | 6 | Medium | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200700629 | EOP-001-0 | 1 | High | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200800708 | CIP-001-1 | 1 | Medium | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200800712 | PRC-005-1 | 1 | High | X |
| 7/6/2010 | FERC | NP10-141-000 | WECC | Los Angeles Department of Water and Power | NCR05223 | \$225,000 | SA | WECC200800686 | INT-004-1 | 2 | Lower | X |
| 7/6/2010 | FERC | NP10-140-000 | RFC | NERC | NCRXXXXX | \$5,600 | SA | RFC200900116 | CIP-004-1 | 4 | Lower | X |
| 7/6/2010 | FERC | NP10-140-000 | RFC | NERC | NCRXXXXX | \$5,600 | SA | RFC200900139 | CIP-004-1 | 3.2 | Lower | X |
| 7/6/2010 | FERC | NP10-139-000 | WECC | NERC | NCRXXXXX | \$3,000 | SA | WECC200901275 | CIP-003-1 | 2 | Medium | X |
| 7/6/2010 | FERC | NP10-138-000 | RFC | NERC | NCRXXXXX | \$5,000 | SA | RFC200900134 | CIP-004-1 | 4 | Medium | X |
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200800963 | CIP-004-1 | 3 | Medium | X |
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200801086 | BAL-005-0 | 17 | Medium | X |
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200801087 | CIP-001-1 | 1 | Medium | X |
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200801088 | EOP-001-0 | 1 | High | X |
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200801089 | PRC-005-1 | 1 | High | X |
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200801090 | PRC-005-1 | 2 | High | X |
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200801091 | TPL-001-0 | 1 | High | X |
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200801092 | TPL-002-0 | 1 | High | X |

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|----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200801093 | TPL-003-0 | 1 | High | X |
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200801225 | PRC-017-0 | 1 | High | X |
| 7/6/2010 | FERC | NP10-137-000 | WECC | NERC | NCRXXXXX | \$39,000 | SA | WECC200801510 | TPL-004-0 | 1 | Medium | X |
| 7/6/2010 | FERC | NP10-136-000 | WECC | NERC | NCRXXXXX | \$7,000 | NOCV | WECC200901681 | CIP-004-1 | 3 | Medium | X |
| 7/6/2010 | FERC | NP10-136-000 | WECC | NERC | NCRXXXXX | \$7,000 | NOCV | WECC200901682 | CIP-004-1 | 2 | Lower | X |
| 7/6/2010 | FERC | NP10-135-000 | WECC | NERC | NCRXXXXX | \$8,000 | NOCV | WECC200901701 | CIP-005-1 | 2 | Medium | X |
| 7/6/2010 | FERC | NP10-134-000 | SPP | NERC | NCRXXXXX | \$0 | NOCV | SPP200800054 | CIP-004-1 | 3 | Lower | X |
| 7/6/2010 | FERC | NP10-133-000 | WECC | Hermiston Generating Co., L.P. | NCR05181 | \$59,500 | NOCV | WECC200901631 | VAR-002-1 | 3 | Medium | X |
| 7/6/2010 | FERC | NP10-133-000 | WECC | Hermiston Generating Co., L.P. | NCR05181 | \$59,500 | NOCV | WECC200901740 | CIP-001-1 | 1 | Medium | X |
| 7/6/2010 | FERC | NP10-133-000 | WECC | Hermiston Generating Co., L.P. | NCR05181 | \$59,500 | NOCV | WECC200901518 | PRC-005-1 | 2.1 | High | X |
| 7/6/2010 | FERC | NP10-133-000 | WECC | Hermiston Generating Co., L.P. | NCR05181 | \$59,500 | NOCV | WECC220901517 | PRC-005-1 | 1 | High | X |
| 7/6/2010 | FERC | NP10-133-000 | WECC | Hermiston Generating Co., L.P. | NCR05181 | \$59,500 | NOCV | WECC200901630 | VAR-002-1 | 1 | Medium | X |
| 7/6/2010 | FERC | NP10-132-000 | RFC | Camp Grove Wind Farm, LLC | NCR00214 | \$2,500 | SA | RFC200800104 | TOP-002-2 | 3 | Medium | X |
| 7/6/2010 | FERC | NP10-131-000 | SERC | NERC | NCRXXXXX | \$5,000 | SA | SERC200800211 | CIP-004-1 | 4 | Lower | X |
| 7/6/2010 | FERC | NP10-130-000 | SERC | NERC | NCRXXXXX | \$0 | SA | SERC200900264 | CIP-004-1 | 4.2 | Lower | X |
| 7/6/2010 | FERC | NP10-129-000 | RFC | Benton County Wind Farm, LLC | NCR00170 | \$2,500 | SA | RFC200800103 | TOP-002-2 | 3 | Medium | X |
| 7/6/2010 | FERC | NP10-128-000 | NPCC | National Grid Generation LLC | NCR07128 | \$40,000 | NOCV | NPCC200900080 | PRC-005-1 | 1 | High | X |
| 7/6/2010 | FERC | NP10-128-000 | NPCC | National Grid Generation LLC | NCR07128 | \$40,000 | NOCV | NPCC200900081 | PRC-005-1 | 2 | High | X |
| 7/6/2010 | FERC | NP10-127-000 | SERC | SRW Limited Partnership | NCR01331 | \$20,000 | SA | SERC200900279 | PRC-005-1 | 2 | High | X |
| 7/6/2010 | FERC | NP10-127-000 | SERC | SRW Limited Partnership | NCR01331 | \$20,000 | SA | SERC200900280 | FAC-008-1 | 1 | Lower | X |
| 7/6/2010 | FERC | NP10-127-000 | SERC | SRW Limited Partnership | NCR01331 | \$20,000 | SA | SERC200900278 | PRC-005-1 | 1 | High | X |
| 7/6/2010 | FERC | NP10-126-000 | RFC | Lincoln Generating Facility, LLC | NCR10208 | \$4,500 | SA | RFC200900124 | PRC-005-1 | 2.1 | High | X |
| 7/6/2010 | FERC | NP10-125-000 | RFC | Covanta York Renewable Energy, LLC | NCR08064 | \$7,500 | SA | RFC200900131 | CIP-001-1 | 2 | Medium | X |
| 7/6/2010 | FERC | NP10-125-000 | RFC | Covanta York Renewable Energy, LLC | NCR08064 | \$7,500 | SA | RFC200900132 | CIP-001-1 | 3 | Medium | X |
| 7/6/2010 | FERC | NP10-125-000 | RFC | Covanta York Renewable Energy, LLC | NCR08064 | \$7,500 | SA | RFC200900133 | CIP-001-1 | 4 | Medium | X |
| 7/6/2010 | FERC | NP10-124-000 | WECC | Elk Hills Power, LLC | NCR05143 | \$30,000 | SA | WECC200801025 | PRC-005-1 | 1 | High | X |
| 7/6/2010 | FERC | NP10-124-000 | WECC | Elk Hills Power, LLC | NCR05143 | \$30,000 | SA | WECC200801026 | PRC-005-1 | 2 | Lower | X |
| 7/6/2010 | FERC | NP10-123-000 | SPP | Board of Public Utilities, City of McPherson, Kansas | NCR10319 | \$0 | NOCV | SPP200900085 | VAR-002-1 | 3 | Medium | X |
| 7/6/2010 | FERC | NP10-122-000 | SPP | The Empire District Electric Company | NCR01155 | \$4,000 | SA | SPP200800060 | FAC-008-1 | 1 | Medium | X |
| 7/6/2010 | FERC | NP10-121-000 | FRCC | Reedy Creek Improvement District | NCR00065 | \$0 | SA | FRCC200800132 | BAL-005-0 | 12.1 | Lower | X |
| 7/6/2010 | FERC | NP10-120-000 | SERC | E.ON U.S. Services Inc. for LG&E & KU Companies | NCR01223 | \$115,000 | SA | SERC200800135 | PRC-005-1 | 2 | Lower | X |
| 7/6/2010 | FERC | NP10-120-000 | SERC | E.ON U.S. Services Inc. for LG&E & KU Companies | NCR01223 | \$115,000 | SA | SERC200800132 | FAC-008-1 | 1 | Lower | X |
| 7/6/2010 | FERC | NP10-120-000 | SERC | E.ON U.S. Services Inc. for LG&E & KU Companies | NCR01223 | \$115,000 | SA | SERC200800209 | VAR-002-1 | 2 | Medium | X |
| 7/6/2010 | FERC | NP10-120-000 | SERC | E.ON U.S. Services Inc. for LG&E & KU Companies | NCR01223 | \$115,000 | SA | SERC200800134 | PRC-005-1 | 1 | High | X |
| 7/6/2010 | FERC | NP10-119-000 | SERC | Citizens Electric Corporation | NCR01192 | \$10,000 | SA | SERC200700015 | PRC-005-1 | 1 | High | X |
| 7/6/2010 | FERC | NP10-119-000 | SERC | Citizens Electric Corporation | NCR01192 | \$10,000 | SA | SERC200700051 | FAC-008-1 | 1 | Lower | X |
| 6/2/2010 | FERC | NP10-118-000 | WECC | Public Utility District No. 1 of Whatcom County | NCR05522 | \$20,000 | SA | WECC200710124 | CIP-001-1 | 1 | Medium | X |

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|----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 6/2/2010 | FERC | NP10-118-000 | WECC | Public Utility District No. 1 of Whatcom County | NCR05522 | \$20,000 | SA | WECC200710125 | CIP-001-1 | 2 | Medium | X |
| 6/2/2010 | FERC | NP10-118-000 | WECC | Public Utility District No. 1 of Whatcom County | NCR05522 | \$20,000 | SA | WECC200710126 | CIP-001-1 | 3 | Medium | X |
| 6/2/2010 | FERC | NP10-118-000 | WECC | Public Utility District No. 1 of Whatcom County | NCR05522 | \$20,000 | SA | WECC200710127 | CIP-001-1 | 4 | Medium | X |
| 6/2/2010 | FERC | NP10-118-000 | WECC | Public Utility District No. 1 of Whatcom County | NCR05522 | \$20,000 | SA | WECC200901282 | FAC-001-0 | 1 | Medium | X |
| 6/2/2010 | FERC | NP10-118-000 | WECC | Public Utility District No. 1 of Whatcom County | NCR05522 | \$20,000 | SA | WECC200901283 | FAC-001-0 | 2 | Medium | X |
| 6/2/2010 | FERC | NP10-117-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | NOCV | WECC200901442 | PRC-005-1 | 1 | High | X |
| 6/2/2010 | FERC | NP10-117-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | NOCV | WECC200901443 | PRC-005-1 | 2 | High | X |
| 6/2/2010 | FERC | NP10-116-000 | WECC | Public Utility District No. 1 of Chelan County | NCR05338 | \$35,000 | SA | WECC200700471 | EOP-005-1 | 2 | Medium | X |
| 6/2/2010 | FERC | NP10-116-000 | WECC | Public Utility District No. 1 of Chelan County | NCR05338 | \$35,000 | SA | WECC200700472 | EOP-005-1 | 6 | High | X |
| 6/2/2010 | FERC | NP10-116-000 | WECC | Public Utility District No. 1 of Chelan County | NCR05338 | \$35,000 | SA | WECC200700473 | EOP-005-1 | 7 | High | X |
| 6/2/2010 | FERC | NP10-116-000 | WECC | Public Utility District No. 1 of Chelan County | NCR05338 | \$35,000 | SA | WECC200700474 | FAC-001-0 | 2 | Medium | X |
| 6/2/2010 | FERC | NP10-116-000 | WECC | Public Utility District No. 1 of Chelan County | NCR05338 | \$35,000 | SA | WECC200700475 | FAC-001-0 | 3 | Medium | X |
| 6/2/2010 | FERC | NP10-115-000 | RFC | Northern Indiana Public Service Company | NCR02611 | \$5,000 | SA | RFC200800105 | BAL-003-0a | 1 | Lower | X |
| 6/2/2010 | FERC | NP10-115-000 | RFC | Northern Indiana Public Service Company | NCR02611 | \$5,000 | SA | RFC200800106 | BAL-003-0a | 2 | Medium | X |
| 6/2/2010 | FERC | NP10-115-000 | RFC | Northern Indiana Public Service Company | NCR02611 | \$5,000 | SA | RFC200800107 | FAC-008-1 | 1 | Lower | X |
| 6/2/2010 | FERC | NP10-115-000 | RFC | Northern Indiana Public Service Company | NCR02611 | \$5,000 | SA | RFC200800108 | FAC-009-1 | 1 | Medium | X |
| 6/2/2010 | FERC | NP10-114-000 | RFC | Exelon Generation Company, LCC - Exelon Nuclear | NCR00778 | \$10,000 | SA | RFC200900109 | VAR-002-1 | 1 | Medium | X |
| 6/2/2010 | FERC | NP10-113-000 | MRO | Tri-State Generation and Transmission Association, Inc. - Merchant | NCR10103 | \$0 | NOCV | MRO200900103 | INT-004-2 | 2/2.2 | Lower | X |
| 6/2/2010 | FERC | NP10-112-000 | MRO | Southern Minnesota Municipal Power Agency | NCR01030 | \$0 | SA | MRO200900088 | FAC-003-1 | 1.5 | High | X |
| 6/2/2010 | FERC | NP10-112-000 | MRO | Southern Minnesota Municipal Power Agency | NCR01030 | \$0 | SA | MRO200900086 | PER-002-0 | 4 | High | X |
| 6/2/2010 | FERC | NP10-112-000 | MRO | Southern Minnesota Municipal Power Agency | NCR01030 | \$0 | SA | MRO200900085 | PRC-005-1 | 1 | High | X |
| 6/2/2010 | FERC | NP10-111-000 | MRO | Great River Energy | NCR00992 | \$125,000 | SA | MRO200900077 | FAC-003-1 | 1.5 | High | X |
| 6/2/2010 | FERC | NP10-111-000 | MRO | Great River Energy | NCR00992 | \$125,000 | SA | MRO200900078 | PRC-005-1 | 1 | High | X |
| 6/2/2010 | FERC | NP10-111-000 | MRO | Great River Energy | NCR00992 | \$125,000 | SA | MRO200900079 | PRC-005-1 | 2 | High | X |
| 6/2/2010 | FERC | NP10-111-000 | MRO | Great River Energy | NCR00992 | \$125,000 | SA | MRO200900080 | PRC-008-0 | 1 | Medium | X |
| 6/2/2010 | FERC | NP10-111-000 | MRO | Great River Energy | NCR00992 | \$125,000 | SA | MRO200900102 | PRC-008-0 | 2 | Medium | X |
| 6/2/2010 | FERC | NP10-111-000 | MRO | Great River Energy | NCR00992 | \$125,000 | SA | MRO200900081 | PRC-017-1 | 1 | High | X |
| 6/2/2010 | FERC | NP10-110-000 | WECC | Platte River Power Authority | NCR05321 | \$0 | SA | WECC200901272 | TOP-006-1 | 6 | High | X |
| 6/2/2010 | FERC | NP10-109-000 | WECC | Montana-Dakota Utilities Co., a Division of MDU resources Group, Inc. | NCR05247 | \$5,000 | NOCV | WECC200901658 | PRC-005-1 | 2.1 | High | X |
| 6/2/2010 | FERC | NP10-108-000 | WECC | Tri-State Generation and Transmission Association, Inc. - Reliability | NCR10030 | \$26,000 | NOCV | WECC200901668 | EOP-005-1 | 1 | Medium | X |
| 6/2/2010 | FERC | NP10-108-000 | WECC | Tri-State Generation and Transmission Association, Inc. - Reliability | NCR10030 | \$26,000 | NOCV | WECC200901669 | EOP-005-1 | 4 | Medium | X |
| 6/2/2010 | FERC | NP10-108-000 | WECC | Tri-State Generation and Transmission Association, Inc. - Reliability | NCR10030 | \$26,000 | NOCV | WECC200901670 | PRC-005-1 | 1 | High | X |

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|-----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 6/2/2010 | FERC | NP10-107-000 | WECC | City of Burbank Water and Power | NCR05072 | \$44,500 | SA | WECC200800722 | CIP-001-1 | 1 | Medium | X |
| 6/2/2010 | FERC | NP10-107-000 | WECC | City of Burbank Water and Power | NCR05072 | \$44,500 | SA | WECC200800723 | CIP-001-1 | 2 | Medium | X |
| 6/2/2010 | FERC | NP10-107-000 | WECC | City of Burbank Water and Power | NCR05072 | \$44,500 | SA | WECC200800724 | CIP-001-1 | 3 | Medium | X |
| 6/2/2010 | FERC | NP10-107-000 | WECC | City of Burbank Water and Power | NCR05072 | \$44,500 | SA | WECC200800725 | CIP-001-1 | 4 | Medium | X |
| 6/2/2010 | FERC | NP10-107-000 | WECC | City of Burbank Water and Power | NCR05072 | \$44,500 | SA | WECC200801023 | FAC-008-1 | 1/1.1 | Medium | X |
| 6/2/2010 | FERC | NP10-107-000 | WECC | City of Burbank Water and Power | NCR05072 | \$44,500 | SA | WECC200801300 | PRC-005-1 | 1 | High | X |
| 6/2/2010 | FERC | NP10-107-000 | WECC | City of Burbank Water and Power | NCR05072 | \$44,500 | SA | WECC200801301 | PRC-005-1 | 2 | Lower | X |
| 6/2/2010 | FERC | NP10-107-000 | WECC | City of Burbank Water and Power | NCR05072 | \$44,500 | SA | WECC200800846 | TOP-003-0 | 2 | Medium | X |
| 6/2/2010 | FERC | NP10-107-000 | WECC | City of Burbank Water and Power | NCR05072 | \$44,500 | SA | WECC200800847 | VAR-002-1 | 3 | Medium | X |
| 5/3/2010 | FERC | NP10-106-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$9,900 | SA | WECC200801235 | TOP-002-2 | 16.1 | High | X |
| 5/3/2010 | FERC | NP10-106-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$9,900 | SA | WECC200901494 | BAL-002-0 | 4 | Medium | X |
| 5/3/2010 | FERC | NP10-106-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$9,900 | SA | WECC200901294 | IRO-004-1 | 4 | High | X |
| 5/3/2010 | FERC | NP10-106-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$9,900 | SA | WECC200901659 | IRO-STD-006-0 | WR1 | N/A | X |
| 4/28/2010 | FERC | NP10-105-000 | WECC | Oregon Trail Electric Consumers Cooperative | NCR05287 | \$8,000 | SA | WECC200810418 | PRC-005-1 | 1 | High | X |
| 4/28/2010 | FERC | NP10-105-000 | WECC | Oregon Trail Electric Consumers Cooperative | NCR05287 | \$8,000 | SA | WECC200810411 | TOP-002-2 | 3 | Medium | X |
| 4/28/2010 | FERC | NP10-105-000 | WECC | Oregon Trail Electric Consumers Cooperative | NCR05287 | \$8,000 | SA | WECC200810412 | TOP-002-2 | 18 | Medium | X |
| 4/28/2010 | FERC | NP10-104-000 | SPP | NextEra Energy Resources, LLC | NCR01096 | \$137,500 | SA | SPP200800056 | PRC-005-1 | 2.1 | High | X |
| 4/28/2010 | FERC | NP10-104-000 | SPP | NextEra Energy Resources, LLC | NCR01096 | \$137,500 | SA | SPP200800057 | PRC-005-1 | 2.1 | High | X |
| 4/28/2010 | FERC | NP10-103-000 | NPCC | Montville Power LLC | NCR07150 | \$2,000 | SA | NPCC200800047 | VAR-002-1 | 3.1 | Medium | X |
| 4/28/2010 | FERC | NP10-102-000 | NPCC | Huntley Power LLC | NCR07108 | \$9,500 | SA | NPCC200800045 | VAR-002-1 | 3.1 | Medium | X |
| 4/28/2010 | FERC | NP10-102-000 | NPCC | Huntley Power LLC | NCR07108 | \$9,500 | SA | NPCC200900062 | VAR-002-1 | 3.1 | Medium | X |
| 4/28/2010 | FERC | NP10-101-000 | NPCC | Dunkirk Power LLC | NCR07068 | \$2,000 | SA | NPCC200800044 | VAR-002-1 | 3.1 | Medium | X |
| 4/28/2010 | FERC | NP10-100-000 | WECC | Tri-State Generation and Transmission Association, Inc. - Marketing | NCR05432 | \$0 | NOCV | WECC200901754 | IRO-STD-006-0 | WR1 | N/A | X |
| 4/28/2010 | FERC | NP10-99-000 | RFC | Wolverine Power Supply Cooperative, Inc. | NCR00954 | \$15,000 | SA | RFC200900128 | PRC-005-1 | 2 | High | X |
| 4/28/2010 | FERC | NP10-99-000 | RFC | Wolverine Power Supply Cooperative, Inc. | NCR00954 | \$15,000 | SA | RFC200900144 | CIP-001-1 | 1 | Medium | X |
| 4/28/2010 | FERC | NP10-99-000 | RFC | Wolverine Power Supply Cooperative, Inc. | NCR00954 | \$15,000 | SA | RFC200900145 | FAC-008-1 | 1.2 | Medium | X |
| 4/28/2010 | FERC | NP10-99-000 | RFC | Wolverine Power Supply Cooperative, Inc. | NCR00954 | \$15,000 | SA | RFC200900146 | FAC-009-1 | 1 | Medium | X |
| 4/28/2010 | FERC | NP10-99-000 | RFC | Wolverine Power Supply Cooperative, Inc. | NCR00954 | \$15,000 | SA | RFC200900147 | PRC-005-1 | 1.1 | High | X |
| 4/28/2010 | FERC | NP10-98-000 | RFC | Dynegy, Inc. | NCR00200 | \$2,500 | SA | RFC200800095 | FAC-008-1 | 1 | Lower | X |
| 4/28/2010 | FERC | NP10-97-000 | WECC | Glacier Electric Cooperative, Inc. | NCR05171 | \$2,500 | SA | WECC200901256 | FAC-008-1 | 1 | Lower | X |
| 4/28/2010 | FERC | NP10-97-000 | WECC | Glacier Electric Cooperative, Inc. | NCR05171 | \$2,500 | SA | WECC200801255 | FAC-009-1 | 1 | Medium | X |
| 4/28/2010 | FERC | NP10-96-000 | WECC | Pacific Gas and Electric Company | NCR05299 | \$85,000 | NOCV | WECC200801247 | PRC-017-0 | 1/1.6 | Medium | X |
| 4/28/2010 | FERC | NP10-96-000 | WECC | Pacific Gas and Electric Company | NCR05299 | \$85,000 | NOCV | WECC200901422 | PRC-005-1 | 2/2.1 | High | X |
| 4/28/2010 | FERC | NP10-95-000 | WECC | Tri-State Generation and Transmission Association, Inc. - Marketing | NCR05432 | \$25,000 | NOCV | WECC200801238 | VAR-002-1 | 3/3.1 | Medium | X |

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|-----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 4/28/2010 | FERC | NP10-94-000 | FRCC | Florida Keys Electric Corporation | NCR00021 | \$1,500 | SA | FRCC200900133 | EOP-004-1 | 3.1 | Lower | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800799 | EOP-001-0 | 5 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800800 | EOP-005-1 | 1 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200700544 | EOP-008-0 | 1 | High | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200810368 | PER-002-0 | 2 | High | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200810369 | PER-002-0 | 3 | High | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200810370 | PER-002-0 | 4 | High | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800707 | TOP-002-2 | 18 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800801 | TPL-001-0 | 1 | High | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800802 | TPL-001-1 | 2 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800803 | TPL-001-0 | 3 | Lower | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800804 | TPL-002-0 | 1 | High | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800805 | TPL-002-0 | 2 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800806 | TPL-002-0 | 3 | Lower | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800807 | TPL-003-0 | 1 | High | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800808 | TPL-003-0 | 2 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800809 | TPL-003-0 | 3 | Lower | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800810 | TPL-004-0 | 1 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800811 | TPL-004-0 | 2 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800568 | CIP-001-1 | 1 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800569 | CIP-001-1 | 2 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200800570 | CIP-001-1 | 3 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200810363 | FAC-001-0 | 1 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200810364 | FAC-001-0 | 2 | Medium | X |
| 3/31/2010 | FERC | NP10-93-000 | WECC | Modesto Irrigation District | NCR05244 | \$0 | SA | WECC200810365 | FAC-001-0 | 3 | Medium | X |
| 3/31/2010 | FERC | NP10-92-000 | SERC | Alcoa Power Generating, Inc. - Yadkin Division | NCR01169 | \$2,000 | SA | SERC200800189 | PRC-005-1 | 1 | High | X |
| 3/31/2010 | FERC | NP10-91-000 | SERC | Alcoa Power Generating, Inc. - Tapoco Division | NCR01168 | \$2,000 | SA | SERC200800188 | PRC-005-1 | 1 | High | X |
| 3/31/2010 | FERC | NP10-90-000 | SERC | ExxonMobil Oil Corporation - Beaumont Refinery | NCR01239 | \$10,000 | SA | SERC200900253 | IRO-004-1 | 4 | High | X |
| 3/31/2010 | FERC | NP10-90-000 | SERC | ExxonMobil Oil Corporation - Beaumont Refinery | NCR01239 | \$10,000 | SA | SERC200900254 | TOP-002-2 | 3 | Medium | X |
| 3/31/2010 | FERC | NP10-90-000 | SERC | ExxonMobil Oil Corporation - Beaumont Refinery | NCR01239 | \$10,000 | SA | SERC200900255 | PRC-005-1 | 2.1 | High | X |
| 3/31/2010 | FERC | NP10-89-000 | RFC | Ebensburg Power Company | NCR08051 | \$5,000 | SA | RFC200800094 | PRC-005-1 | 2 | High | X |
| 3/31/2010 | FERC | NP10-88-000 | RFC | Brookfield Power Piney & Deep Creek, LLC | NCR00699 | \$5,000 | SA | RFC200800102 | FAC-009-1 | 1 | Medium | X |
| 3/31/2010 | FERC | NP10-87-000 | RFC | RRI Energy Mid-Atlantic | NCR08070 | \$8,000 | SA | RFC200800088 | PRC-005-1 | 2.1 | High | X |
| 3/31/2010 | FERC | NP10-87-000 | RFC | RRI Energy Mid-Atlantic | NCR08070 | \$8,000 | SA | RFC200800085 | PRC-005-1 | 2.1 | High | X |
| 3/31/2010 | FERC | NP10-87-000 | RFC | RRI Energy Mid-Atlantic | NCR08070 | \$8,000 | SA | RFC200800087 | PRC-005-1 | 2.1 | High | X |
| 3/31/2010 | FERC | NP10-87-000 | RFC | RRI Energy Mid-Atlantic | NCR08070 | \$8,000 | SA | RFC200800086 | PRC-0051 | 2.1 | High | X |
| 3/31/2010 | FERC | NP10-86-000 | RFC | Michigan Electric Coordinated Systems | NCR08023 | \$5,000 | SA | RFC200800112 | BAL-003-0 | 2.1 | Lower | X |

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| 3/31/2010 | FERC | NP10-86-000 | RFC | Michigan Electric Coordinated Systems | NCR08023 | \$5,000 | SA | RFC200800113 | BAL-005-0 | 17 | Medium | X |
| 3/31/2010 | FERC | NP10-85-000 | RFC | Allegheny Power | NCR02602 | \$5,000 | SA | RFC200800076 | EOP-004-1 | 3 | Lower | X |
| 3/31/2010 | FERC | NP10-85-000 | RFC | Allegheny Power | NCR02602 | \$5,000 | SA | RFC200800083 | FAC-003-1 | 1 | High | X |
| 3/31/2010 | FERC | NP10-84-000 | SERC | Virginia Electric and Power Company - Fossil and Hydro (GO,GOP) | NCR09028 | \$7,500 | SA | SERC200900270 | PRC-005-1 | 2 | High | X |
| 3/31/2010 | FERC | NP10-83-000 | SERC | East Kentucky Power Cooperative | NCR01225 | \$7,000 | SA | SERC200800204 | CIP-001-1 | 1 | Medium | X |
| 3/31/2010 | FERC | NP10-83-000 | SERC | East Kentucky Power Cooperative | NCR01225 | \$7,000 | SA | SERC200800205 | CIP-001-1 | 2 | Medium | X |
| 3/31/2010 | FERC | NP10-83-000 | SERC | East Kentucky Power Cooperative | NCR01225 | \$7,000 | SA | SERC200800206 | CIP-001-1 | 3 | Medium | X |
| 3/31/2010 | FERC | NP10-83-000 | SERC | East Kentucky Power Cooperative | NCR01225 | \$7,000 | SA | SERC200800207 | CIP-001-1 | 4 | Medium | X |
| 3/31/2010 | FERC | NP10-82-000 | SERC | Tenaska Alabama Partners, L.P. | NCR01335 | \$2,000 | SA | SERC200800190 | FAC-008-1 | 1 | Medium | X |
| 3/31/2010 | FERC | NP10-81-000 | WECC | Sunray Energy, Inc. | NCR05415 | \$14,000 | SA | WECC200901456 | FAC-008-1 | 1 | Lower | X |
| 3/31/2010 | FERC | NP10-81-000 | WECC | Sunray Energy, Inc. | NCR05415 | \$14,000 | SA | WECC200901457 | FAC-009-1 | 1 | Medium | X |
| 3/31/2010 | FERC | NP10-81-000 | WECC | Sunray Energy, Inc. | NCR05415 | \$14,000 | SA | WECC200901454 | PRC-005-1 | 1 | High | X |
| 3/31/2010 | FERC | NP10-80-000 | RFC | Consumers Energy Company | NCR00740 | \$15,000 | SA | RFC200800077 | PRC-005-1 | 1 | High | X |
| 3/31/2010 | FERC | NP10-79-000 | WECC | Southwest Transmission Cooperative, Inc. | NCR05402 | \$44,000 | SA | WECC200801136 | EOP-008-0 | 1.1, 1.2, 1.3, 1.5, 1.7, 1.8 | Medium | X |
| 3/31/2010 | FERC | NP10-79-000 | WECC | Southwest Transmission Cooperative, Inc. | NCR05402 | \$44,000 | SA | WECC200801121 | PRC-005-1 | 1 | High | X |
| 3/31/2010 | FERC | NP10-79-000 | WECC | Southwest Transmission Cooperative, Inc. | NCR05402 | \$44,000 | SA | WECC200901332 | PER-002-0 | 1 | High | X |
| 3/31/2010 | FERC | NP10-79-000 | WECC | Southwest Transmission Cooperative, Inc. | NCR05402 | \$44,000 | SA | WECC200901331 | PER-002-0 | 4 | High | X |
| 3/31/2010 | FERC | NP10-78-000 | SERC | Entergy | NCR01234 | \$10,000 | SA | SERC200800244 | BAL-005-0 | 8 | Medium | X |
| 3/31/2010 | FERC | NP10-77-000 | SERC | Owensboro, KY Municipal Utilities | NCR01290 | \$9,000 | SA | SERC200800229 | PRC-005-1 | 1 | High | X |
| 3/31/2010 | FERC | NP10-77-000 | SERC | Owensboro, KY Municipal Utilities | NCR01290 | \$9,000 | SA | SERC200800230 | FAC-009-1 | 1 | Medium | X |
| 3/31/2010 | FERC | NP10-76-000 | SERC | Occidental Chemical Corporation | NCR09019 | \$20,000 | SA | SERC200800184 | PRC-005-1 | 1 | High | X |
| 3/31/2010 | FERC | NP10-75-000 | WECC | NextEra Resources, LLC | NCR05163 | \$30,000 | NOCV | WECC200801039 | FAC-003-1 | 1 | High | X |
| 3/31/2010 | FERC | NP10-75-000 | WECC | NextEra Resources, LLC | NCR05163 | \$30,000 | NOCV | WECC200801040 | FAC-003-1 | 2 | High | X |
| 3/31/2010 | FERC | NP10-74-000 | WECC | Salt River Project Agricultural Improvement and Power District | NCR05372 | \$10,000 | SA | WECC200800599 | PRC-008-0 | 2 | Medium | X |
| 3/31/2010 | FERC | NP10-74-000 | WECC | Salt River Project Agricultural Improvement and Power District | NCR05372 | \$10,000 | SA | WECC200800600 | VAR-001-1 | 3 | Lower | X |
| 3/31/2010 | FERC | NP10-74-000 | WECC | Salt River Project Agricultural Improvement and Power District | NCR05372 | \$10,000 | SA | WECC200800601 | VAR-001-1 | 4 | Medium | X |
| 3/31/2010 | FERC | NP10-73-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$1,500 | SA | FRCC200800129 | FAC-010-1 | 1 | Lower | X |
| 3/31/2010 | FERC | NP10-72-000 | TRE | NextEra Energy Resources, LLC | NCR02910 | \$10,000 | SA | TRE200800045 | TOP-002-2 | 14 | Medium | X |
| 3/31/2010 | FERC | NP10-71-000 | RFC | PPL Electric Utilities | NCR00884 | \$290,000 | SA | RFC200700007 | FAC-003-1 | 2 | High | X |
| 3/31/2010 | FERC | NP10-71-000 | RFC | PPL Electric Utilities | NCR00884 | \$290,000 | SA | RFC200900142 | FAC-003-1 | 2 | High | X |
| 3/31/2010 | FERC | NP10-71-000 | RFC | PPL Electric Utilities | NCR00884 | \$290,000 | SA | RFC200900117 | EOP-004-1 | 3.1 | Lower | X |

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| 3/31/2010 | FERC | NP10-71-000 | RFC | PPL Electric Utilities | NCR00884 | \$290,000 | SA | RFC200900185 | PRC-005-1 | 2.1 | High | X |
| 3/1/2010 | FERC | NP10-70-000 | WECC | Salt River Project Agricultural Improvement and Power District | NCR05372 | \$15,000 | SA | WECC200801237 | PRC-005-1 | 2 | High | X |
| 3/1/2010 | FERC | NP10-69-000 | WECC | Colorado Energy Management - BCM | NCR00213 | \$2,000 | NOCV | WECC200801022 | VAR-STD-002b-1 | WR1 | N/A | X |
| 3/1/2010 | FERC | NP10-68-000 | WECC | Energy Northwest - Energy Business Services | NCR00250 | \$3,000 | SA | WECC200801186 | CIP-001-1 | 1 | Medium | X |
| 3/1/2010 | FERC | NP10-68-000 | WECC | Energy Northwest - Energy Business Services | NCR00250 | \$3,000 | SA | WECC200801187 | CIP-001-1 | 2 | Medium | X |
| 3/1/2010 | FERC | NP10-68-000 | WECC | Energy Northwest - Energy Business Services | NCR00250 | \$3,000 | SA | WECC200801188 | CIP-001-1 | 3 | Medium | X |
| 3/1/2010 | FERC | NP10-68-000 | WECC | Energy Northwest - Energy Business Services | NCR00250 | \$3,000 | SA | WECC200801189 | CIP-001-1 | 4 | Medium | X |
| 3/1/2010 | FERC | NP10-67-000 | WECC | City of Palo Alto | NCR05089 | \$24,500 | SA | WECC200801107 | CIP-001-1 | 1 | Medium | X |
| 3/1/2010 | FERC | NP10-67-000 | WECC | City of Palo Alto | NCR05089 | \$24,500 | SA | WECC200801108 | CIP-001-1 | 2 | Medium | X |
| 3/1/2010 | FERC | NP10-67-000 | WECC | City of Palo Alto | NCR05089 | \$24,500 | SA | WECC200801109 | CIP-001-1 | 3 | Medium | X |
| 3/1/2010 | FERC | NP10-67-000 | WECC | City of Palo Alto | NCR05089 | \$24,500 | SA | WECC200801110 | PRC-005-1 | 1 | High | X |
| 3/1/2010 | FERC | NP10-66-000 | WECC | Arizona Public Service Company | NCR05016 | \$30,000 | NOCV | WECC200801217 | PRC-005-1 | 2 | High | X |
| 3/1/2010 | FERC | NP10-65-000 | WECC | Mirant Delta, LLC | NCR05511 | \$11,500 | SA | WECC200901410 | PRC-005-1 | 2.1 | High | X |
| 3/1/2010 | FERC | NP10-64-000 | WECC | Southern California Edison - Transmission & Distribution Business Unit | NCR05398 | \$28,000 | NOCV | WECC200801269 | FAC-001-0 | 1 | Medium | X |
| 3/1/2010 | FERC | NP10-64-000 | WECC | Southern California Edison - Transmission & Distribution Business Unit | NCR05398 | \$28,000 | NOCV | WECC200901458 | PRC-STD-003-1 | WR1 | N/A | X |
| 3/1/2010 | FERC | NP10-63-000 | WECC | CalPeak Power Vaca Dixon LLC | NCR05054 | \$20,000 | NOCV | WECC200901321 | PRC-005-1 | 2/2.1 | High | X |
| 3/1/2010 | FERC | NP10-62-000 | WECC | CalPeak Power Panoche LLC | NCR05053 | \$20,000 | NOCV | WECC200901319 | PRC-005-1 | 2/2.1 | High | X |
| 3/1/2010 | FERC | NP10-61-000 | SPP | Sunflower Electric Power Corporation | NCR01148 | \$50,000 | SA | SPP200800052 | EOP-003-1 | 8 | High | X |
| 3/1/2010 | FERC | NP10-60-000 | WECC | Midway Sunset Cogeneration Company | NCR05510 | \$3,000 | NOCV | WECC200801118 | VAR-002-1 | 3 | Medium | X |
| 3/1/2010 | FERC | NP10-59-000 | WECC | PPL Montana, LLC | NCR05329 | \$7,000 | NOCV | WECC200901508 | VAR-002-1 | 3 | Medium | X |
| 3/1/2010 | FERC | NP10-58-000 | RFC | EFS Parlin Holdings LLC | NCR10257 | \$5,000 | SA | RFC200800114 | CIP-001-1 | 2 | Medium | X |
| 3/1/2010 | FERC | NP10-57-000 | WECC | PPL Montana, LLC | NCR05329 | \$5,000 | NOCV | WECC200901409 | PRC-005-1 | 2.1 | High | X |
| 3/1/2010 | FERC | NP10-56-000 | WECC | Public Utility District No. 1 of Chelan County | NCR05338 | \$7,000 | SA | WECC200800665 | BAL-005-0 | 12.1 | Lower | X |
| 3/1/2010 | FERC | NP10-56-000 | WECC | Public Utility District No. 1 of Chelan County | NCR05338 | \$7,000 | SA | WECC200901397 | VAR-002-1 | 1 | Medium | X |
| 3/1/2010 | FERC | NP10-56-000 | WECC | Public Utility District No. 1 of Chelan County | NCR05338 | \$7,000 | SA | WECC200901398 | VAR-002-1 | 3 | Medium | X |
| 3/1/2010 | FERC | NP10-55-000 | WECC | Inland Power and Light Company | NCR05198 | \$8,000 | NOCV | WECC200901412 | PRC-005-1 | 2.1 | High | X |
| 3/1/2010 | FERC | NP10-54-000 | WECC | Nevada Power Company | NCR05261 | \$52,000 | SA | WECC200800829 | EOP-001-0 | 1 | High | X |
| 3/1/2010 | FERC | NP10-54-000 | WECC | Nevada Power Company | NCR05261 | \$52,000 | SA | WECC200800831 | FAC-009-1 | 1 | Medium | X |
| 3/1/2010 | FERC | NP10-54-000 | WECC | Nevada Power Company | NCR05261 | \$52,000 | SA | WECC200800832 | MOD-010-0 | 2 | Medium | X |
| 3/1/2010 | FERC | NP10-54-000 | WECC | Nevada Power Company | NCR05261 | \$52,000 | SA | WECC200800833 | PRC-005-1 | 2 | High | X |
| 3/1/2010 | FERC | NP10-54-000 | WECC | Nevada Power Company | NCR05261 | \$52,000 | SA | WECC200800834 | TPL-001-1 | 1 | High | X |
| 3/1/2010 | FERC | NP10-54-000 | WECC | Nevada Power Company | NCR05261 | \$52,000 | SA | WECC200800835 | TPL-002-0 | 1 | High | X |
| 3/1/2010 | FERC | NP10-54-000 | WECC | Nevada Power Company | NCR05261 | \$52,000 | SA | WECC200800836 | TPL-003-0 | 1 | High | X |

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| 3/1/2010 | FERC | NP10-54-000 | WECC | Nevada Power Company | NCR05261 | \$52,000 | SA | WECC200800837 | TPL-004-0 | 1 | Medium | X |
| 3/1/2010 | FERC | NP10-54-000 | WECC | Nevada Power Company | NCR05261 | \$52,000 | SA | WECC200800838 | TPL-004-0 | 2 | Lower | X |
| 3/1/2010 | FERC | NP10-53-000 | NPCC | Verso Bucksport LLC | NCR10239 | \$2,500 | SA | NPCC200800056 | CIP-001-1 | 1 | Medium | X |
| 3/1/2010 | FERC | NP10-53-000 | NPCC | Verso Bucksport LLC | NCR10239 | \$2,500 | SA | NPCC200800057 | CIP-001-1 | 2 | Medium | X |
| 3/1/2010 | FERC | NP10-53-000 | NPCC | Verso Bucksport LLC | NCR10239 | \$2,500 | SA | NPCC200800058 | CIP-001-1 | 4 | Medium | X |
| 3/1/2010 | FERC | NP10-52-000 | NPCC | Verso Androscoggin LLC | NCR07229 | \$2,500 | SA | NPCC200800059 | CIP-001-1 | 1 | Medium | X |
| 3/1/2010 | FERC | NP10-52-000 | NPCC | Verso Androscoggin LLC | NCR07229 | \$2,500 | SA | NPCC200800060 | CIP-001-1 | 2 | Medium | X |
| 3/1/2010 | FERC | NP10-52-000 | NPCC | Verso Androscoggin LLC | NCR07229 | \$2,500 | SA | NPCC200800061 | CIP-001-1 | 4 | Medium | X |
| 2/12/2010 | FERC | NP10-51-000 | SERC | Duke Energy Carolinas, LLC | NCR01219 | \$10,000 | SA | SERC200800185 | PRC-005-1 | 2 | Lower/High | X |
| 2/12/2010 | FERC | NP10-50-000 | SERC | Henderson Municipal Power & Light | NCR01254 | \$35,000 | SA | SERC200800233 | CIP-001-1 | 1 | Medium | X |
| 2/12/2010 | FERC | NP10-50-000 | SERC | Henderson Municipal Power & Light | NCR01254 | \$35,000 | SA | SERC200800193 | CIP-001-1 | 3 | Medium | X |
| 2/12/2010 | FERC | NP10-50-000 | SERC | Henderson Municipal Power & Light | NCR01254 | \$35,000 | SA | SERC200800234 | FAC-008-1 | 1 | Medium | X |
| 2/12/2010 | FERC | NP10-50-000 | SERC | Henderson Municipal Power & Light | NCR01254 | \$35,000 | SA | SERC200800191 | PRC-005-1 | 1 | High | X |
| 2/12/2010 | FERC | NP10-50-000 | SERC | Henderson Municipal Power & Light | NCR01254 | \$35,000 | SA | SERC200800192 | PRC-005-1 | 2 | High | X |
| 2/12/2010 | FERC | NP10-49-000 | SERC | Municipal Electric Authority of Georgia | NCR01278 | \$3,000 | SA | SERC200900269 | PRC-005-1 | 2.1 | High | X |
| 2/12/2010 | FERC | NP10-49-000 | SERC | Municipal Electric Authority of Georgia | NCR01278 | \$3,000 | SA | SERC200900309 | PRC-005-1 | 1 | High | X |
| 2/1/2010 | FERC | NP10-47-000 | WECC | California Independent System Operator | NCR05048 | \$0 | NOCV | WECC200700514 | IRO-STD-006-0 | WR1 | N/A | X |
| 2/1/2010 | FERC | NP10-45-000 | MRO | Montana Dakota Utilities Company | NCR01015 | \$4,000 | NOCV | MRO200900115 | PRC-005-1 | 2.1 | High | X |
| 2/1/2010 | FERC | NP10-48-000 | NPCC | New York State Electric and Gas | NCR07181 | \$250,000 | SA | NPCC200800041 | FAC-003-1 | 2 | High | X |
| 2/1/2010 | FERC | NP10-43-000 | SERC | Calpine Energy Services | NCR01189 | \$20,000 | SA | SERC200800140 | TOP-002-2 | 3 | Medium | X |
| 2/1/2010 | FERC | NP10-43-000 | SERC | Calpine Energy Services | NCR01189 | \$20,000 | SA | SERC200800139 | TOP-003-0 | 1 | Medium | X |
| 2/1/2010 | FERC | NP10-43-000 | SERC | Calpine Energy Services | NCR01189 | \$20,000 | SA | SERC200800136 | TOP-005-1 | 4 | Medium | X |
| 2/1/2010 | FERC | NP10-43-000 | SERC | Calpine Energy Services | NCR01189 | \$20,000 | SA | SERC200800141 | IRO-004-1 | 4 | High | X |
| 2/1/2010 | FERC | NP10-44-000 | SERC | Calpine Corporation | NCR00006 | \$140,000 | SA | SERC200800138 | PRC-005-1 | 1 | High | X |
| 2/1/2010 | FERC | NP10-44-000 | SERC | Calpine Corporation | NCR00006 | \$140,000 | SA | SERC200800137 | PRC-005-1 | 2 | High | X |
| 2/1/2010 | FERC | NP10-44-000 | SERC | Calpine Corporation | NCR00006 | \$140,000 | SA | SERC200800142 | IRO-004-1 | 4 | High | X |
| 2/1/2010 | FERC | NP10-46-000 | SERC | Electric Energy Inc. | NCR01230 | \$5,000 | SA | SERC200800226 | BAL-002-0 | 4 | Medium | X |
| 2/1/2010 | FERC | NP10-46-000 | SERC | Electric Energy Inc. | NCR01230 | \$5,000 | SA | SERC200800227 | PER-002-0 | 3.1 | Medium | X |
| 2/1/2010 | FERC | NP10-42-000 | WECC | Farmington Electric Utility System | NCR05155 | \$15,000 | NOCV | WECC200901440 | PRC-017-0 | 1.6 | Medium | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200800636 | COM-001-1 | 3 | Lower | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200810024 | COM-001-1 | 5 | Lower | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200800635 | COM-002-2 | 2 | Medium | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200800637 | EOP-001-0 | 4 | Medium | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200810025 | EOP-008-0 | 1 | High | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200810026 | FAC-001-0 | 1 | Medium | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200810027 | FAC-001-0 | 2 | Medium | X |

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|------------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200810029 | FAC-008-1 | 1 | Lower | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200810030 | FAC-009-1 | 1 | Medium | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200810032 | MOD-017-0 | 1 | Medium | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200810033 | MOD-018-0 | 1/1.2 | Medium/Lower | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200800642 | PRC-005-1 | 1 | High | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200800643 | PRC-005-1 | 2 | Lower | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200800645 | PRC-008-0 | 2 | Medium | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200800644 | PRC-STD-005-1 | WR1 | None | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200800594 | PRC-017-0 | 1 | High | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200810036 | TOP-002-2 | 4 | Medium | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200810037 | TOP-002-2 | 11 | Medium | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200800648 | TOP-002-2 | 14 | Medium | X |
| 2/1/2010 | FERC | NP10-40-000 | WECC | Farmington Electric Utility System | NCR05155 | \$40,250 | SA | WECC200800649 | TOP-002-2 | 17 | High | X |
| 2/1/2010 | FERC | NP10-39-000 | MRO | Nebraska Public Power District | NCR01018 | \$70,500 | SA | MRO200800072 | BAL-005-0 | 11 | Medium | X |
| 2/1/2010 | FERC | NP10-39-000 | MRO | Nebraska Public Power District | NCR01018 | \$70,500 | SA | MRO200900089 | PRC-005-1 | 2 | High | X |
| 2/1/2010 | FERC | NP10-41-000 | WECC | Overton Power District #5 | NCR05296 | \$10,000 | SA | WECC200800732 | FAC-001-0 | 1 | Medium | X |
| 2/1/2010 | FERC | NP10-41-000 | WECC | Overton Power District #5 | NCR05296 | \$10,000 | SA | WECC200800733 | FAC-001-0 | 2 | Medium | X |
| 2/1/2010 | FERC | NP10-41-000 | WECC | Overton Power District #5 | NCR05296 | \$10,000 | SA | WECC200801444 | FAC-001-0 | 3 | Medium | X |
| 2/1/2010 | FERC | NP10-41-000 | WECC | Overton Power District #5 | NCR05296 | \$10,000 | SA | WECC200800736 | FAC-008-1 | 1 | Lower | X |
| 2/1/2010 | FERC | NP10-41-000 | WECC | Overton Power District #5 | NCR05296 | \$10,000 | SA | WECC200800737 | FAC-009-1 | 1 | Medium | X |
| 2/1/2010 | FERC | NP10-41-000 | WECC | Overton Power District #5 | NCR05296 | \$10,000 | SA | WECC200800740 | PRC-005-1 | 1 | High | X |
| 2/1/2010 | FERC | NP10-41-000 | WECC | Overton Power District #5 | NCR05296 | \$10,000 | SA | WECC200800744 | TOP-002-2 | 18 | Medium | X |
| 2/1/2010 | FERC | NP10-38-000 | RFC | PSE&G | NCR00896 | \$5,000 | SA | RFC200800122 | PRC-005-1 | 2.1 | High | X |
| 2/1/2010 | FERC | NP10-37-000 | WECC | Puget Sound Energy, Inc. | NCR05344 | \$50,000 | SA | WECC200700495 | FAC-003-1 | 1/1.3 | High | X |
| 2/1/2010 | FERC | NP10-37-000 | WECC | Puget Sound Energy, Inc. | NCR05344 | \$50,000 | SA | WECC200700497 | EOP-001-0 | 6 | Medium | X |
| 2/1/2010 | FERC | NP10-36-000 | WECC | Utah Associated Municipal Power Systems | NCR05445 | \$4,000 | SA | WECC200801130 | MOD-010-0 | 1 | Medium | X |
| 2/1/2010 | FERC | NP10-36-000 | WECC | Utah Associated Municipal Power Systems | NCR05445 | \$4,000 | SA | WECC200801131 | MOD-010-0 | 2 | Medium | X |
| 2/1/2010 | FERC | NP10-36-000 | WECC | Utah Associated Municipal Power Systems | NCR05445 | \$4,000 | SA | WECC200801132 | MOD-012-0 | 1 | Medium | X |
| 2/1/2010 | FERC | NP10-36-000 | WECC | Utah Associated Municipal Power Systems | NCR05445 | \$4,000 | SA | WECC200801134 | MOD-012-0 | 2 | Medium | X |
| 12/30/2009 | FERC | NP10-35-000 | SERC | Southern Power Company | NCR01322 | \$7,500 | SA | SERC200800239 | PRC-005-1 | 2/2.1 | High | X |
| 12/30/2009 | FERC | NP10-34-000 | SERC | Alabama Power Company | NCR01166 | \$7,500 | SA | SERC200800237 | PRC-005-1 | 2/2.1 | High | X |
| 12/30/2009 | FERC | NP10-33-000 | SERC | Mississippi Power Company | NCR01273 | \$7,500 | SA | SERC200800236 | PRC-005-1 | 2/2.1 | High | X |
| 12/30/2009 | FERC | NP10-32-000 | SERC | Gulf Power Company | NCR01252 | \$15,000 | SA | SERC200800151 | PRC-005-1 | 2/2.1 | High | X |
| 12/30/2009 | FERC | NP10-32-000 | SERC | Gulf Power Company | NCR01252 | \$15,000 | SA | SERC200800238 | PRC-005-1 | 2/2.1 | High | X |

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|------------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 12/30/2009 | FERC | NP10-31-000 | SERC | South Eastern Generating Corporation | NCR01314 | \$11,000 | SA | SERC200800156 | PRC-005-1 | 1 | High | X |
| 12/30/2009 | FERC | NP10-31-000 | SERC | South Eastern Generating Corporation | NCR01314 | \$11,000 | SA | SERC200800194 | PRC-005-1 | 1 | High | X |
| 12/30/2009 | FERC | NP10-31-000 | SERC | South Eastern Generating Corporation | NCR01314 | \$11,000 | SA | SERC200800195 | PRC-005-1 | 2 | High | X |
| 12/30/2009 | FERC | NP10-31-000 | SERC | South Eastern Generating Corporation | NCR01314 | \$11,000 | SA | SERC200800196 | FAC-008-1 | 1/1.1 | Medium | X |
| 12/30/2009 | FERC | NP10-30-000 | SERC | South Eastern Electric Development Corporation | NCR01313 | \$11,000 | SA | SERC200800157 | PRC-005-1 | 1 | High | X |
| 12/30/2009 | FERC | NP10-30-000 | SERC | South Eastern Electric Development Corporation | NCR01313 | \$11,000 | SA | SERC200800197 | PRC-005-1 | 1 | High | X |
| 12/30/2009 | FERC | NP10-30-000 | SERC | South Eastern Electric Development Corporation | NCR01313 | \$11,000 | SA | SERC200800198 | PRC-005-1 | 2 | High | X |
| 12/30/2009 | FERC | NP10-30-000 | SERC | South Eastern Electric Development Corporation | NCR01313 | \$11,000 | SA | SERC200800199 | FAC-008-1 | 1/1.1 | Medium | X |
| 12/30/2009 | FERC | NP10-29-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$65,000 | SA | WECC200700546 | EOP-001-0 | 4 | Medium | X |
| 12/30/2009 | FERC | NP10-29-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$65,000 | SA | WECC200700548 | FAC-001-1 | 1 | Medium | X |
| 12/30/2009 | FERC | NP10-29-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$65,000 | SA | WECC200700549 | FAC-001-1 | 2 | Medium | X |
| 12/30/2009 | FERC | NP10-29-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$65,000 | SA | WECC200700550 | FAC-001-1 | 3 | Medium | X |
| 12/30/2009 | FERC | NP10-29-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$65,000 | SA | WECC200710011 | FAC-003-1 | 1 | High | X |
| 12/30/2009 | FERC | NP10-29-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$65,000 | SA | WECC200700557 | PRC-017-0 | 1 | High | X |
| 12/30/2009 | FERC | NP10-29-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$65,000 | SA | WECC200700558 | TPL-002-0 | 1 | High | X |
| 12/30/2009 | FERC | NP10-29-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$65,000 | SA | WECC200700559 | TPL-003-0 | 1 | High | X |
| 12/30/2009 | FERC | NP10-28-000 | SERC | Southwestern Electric Cooperative, Inc. | NCR01324 | \$3,000 | SA | SERC200800202 | CIP-001-1 | 4 | Medium | X |
| 12/30/2009 | FERC | NP10-26-000 | WECC | Avista Corporation | NCR05020 | \$30,000 | SA | WECC200700423 | EOP-005-1 | 1 | Medium | X |
| 12/30/2009 | FERC | NP10-26-000 | WECC | Avista Corporation | NCR05020 | \$30,000 | SA | WECC200700419 | PER-002-0 | 3 | High | X |
| 12/30/2009 | FERC | NP10-26-000 | WECC | Avista Corporation | NCR05020 | \$30,000 | SA | WECC200700417 | PRC-005-1 | 2 | Lower | X |
| 12/30/2009 | FERC | NP10-26-000 | WECC | Avista Corporation | NCR05020 | \$30,000 | SA | WECC200700418 | TPL-002-0 | 1.2 | Medium | X |
| 12/30/2009 | FERC | NP10-26-000 | WECC | Avista Corporation | NCR05020 | \$30,000 | SA | WECC200700420 | TPL-003-0 | 1.2 | Medium | X |
| 12/30/2009 | FERC | NP10-26-000 | WECC | Avista Corporation | NCR05020 | \$30,000 | SA | WECC200801031 | VAR-002-1 | 1 | Medium | X |
| 12/30/2009 | FERC | NP10-26-000 | WECC | Avista Corporation | NCR05020 | \$30,000 | SA | WECC200801032 | VAR-002-1 | 3 | Medium | X |
| 12/30/2009 | FERC | NP10-26-000 | WECC | Avista Corporation | NCR05020 | \$30,000 | SA | WECC200700469 | VAR-STD-002a-1 | WR1 | N/A | X |
| 12/30/2009 | FERC | NP10-25-000 | WECC | El Paso Electric Company | NCR05140 | \$15,000 | SA | WECC200901304 | BAL-005-0 | 17 | Medium | X |
| 12/30/2009 | FERC | NP10-25-000 | WECC | El Paso Electric Company | NCR05140 | \$15,000 | SA | WECC200901302 | VAR-001-1 | 3 | Lower | X |
| 12/30/2009 | FERC | NP10-25-000 | WECC | El Paso Electric Company | NCR05140 | \$15,000 | SA | WECC200700543 | BAL-005-0 | 8 | Medium | X |
| 12/30/2009 | FERC | NP10-25-000 | WECC | El Paso Electric Company | NCR05140 | \$15,000 | SA | WECC200800785 | BAL-005-0 | 8 | Medium | X |
| 12/30/2009 | FERC | NP10-25-000 | WECC | El Paso Electric Company | NCR05140 | \$15,000 | SA | WECC200801221 | EOP-008-0 | 1 | High | X |
| 12/30/2009 | FERC | NP10-24-000 | WECC | Fountain Valley Power, LLC | NCR05162 | \$0 | NOCV | WECC200801111 | FAC-008-1 | 1 | Lower/Medium | X |
| 12/30/2009 | FERC | NP10-23-000 | WECC | Eugene Water & Electric Board | NCR05153 | \$6,000 | SA | WECC200801293 | PRC-005-1 | 2 | High | X |
| 12/30/2009 | FERC | NP10-23-000 | WECC | Eugene Water & Electric Board | NCR05153 | \$6,000 | SA | WECC200801029 | PRC-008-0 | 2 | Medium | X |
| 12/30/2009 | FERC | NP10-22-000 | SERC | Entergy | NCR01234 | \$150,000 | SA | SERC200800144 | FAC-003-1 | 2 | High | X |
| 12/30/2009 | FERC | NP10-27-000 | MRO | Alliant Energy - West | NCR00962 | \$2,500 | NOCV | MRO200900083 | VAR-002-1 | 3.1 | Medium | X |

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| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC20070009 | TOP-004-1 | 6 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700014 | EOP-001-0 | 3 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700015 | EOP-001-0 | 4 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700016 | EOP-001-0 | 5 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700017 | EOP-001-0 | 6 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700018 | EOP-001-0 | 7 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700019 | EOP-005-1 | 1 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700020 | EOP-005-1 | 2 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700021 | EOP-005-1 | 3 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700022 | EOP-005-1 | 4 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700180 (formerly RFC200700023) | EOP-005-1 | 5 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700024 | EOP-005-1 | 6 | High | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700025 | EOP-005-1 | 7 | High | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700026 | TPL-001-0 | 1 | High | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700027 | TPL-001-0 | 2 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700028 | TPL-001-0 | 3 | Lower | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700029 | TPL-002-0 | 1 | High | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700030 | TPL-002-0 | 2 | Medium | X |

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| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700031 | TPL-002-0 | 3 | Lower | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700032 | TPL-003-0 | 1 | High | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700033 | TPL-003-0 | 2 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700034 | TPL-003-0 | 3 | Lower | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700035 | TPL-004-0 | 1 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200700036 | TPL-004-0 | 2 | Lower | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200800075 | FAC-009-1 | 1 | Medium | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200810002 | PRC-005-1 | 2 | Lower | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200810004 | PER-002-0 | 1 | High | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200810007 | PER-002-0 | 4 | High | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200810008 | PER-003-0 | 1 | High | X |
| 12/30/2009 | FERC | NP10-21-000 | RFC | City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power | NCR00712 | \$160,000 | SA | RFC200900119 | PER-003-0 | 1 | High | X |
| 12/30/2009 | FERC | NP10-20-000 | RFC | Duke Energy Corporation | NCR00761 | \$100,000 | SA | RFC200700001 | FAC-003-1 | 2 | High | X |
| 12/30/2009 | FERC | NP10-20-000 | RFC | Duke Energy Corporation | NCR00761 | \$100,000 | SA | RFC200800060 | FAC-009-1 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-19-000 | WECC | Poudre Valley Rural Electric Association | NCR05519 | \$25,000 | SA | WECC200800760 | PRC-005-1 | 1 | High | X |
| 11/13/2009 | FERC | NP10-19-000 | WECC | Poudre Valley Rural Electric Association | NCR05519 | \$25,000 | SA | WECC200800761 | PRC-005-1 | 2 | High | X |
| 11/13/2009 | FERC | NP10-18-000 | WECC | Turlock Irrigation District | NCR05435 | \$80,000 | SA | WECC200810396 | TPL-001-0 | 1 | High | X |
| 11/13/2009 | FERC | NP10-18-000 | WECC | Turlock Irrigation District | NCR05435 | \$80,000 | SA | WECC200810397 | TPL-002-0 | 1 | High | X |
| 11/13/2009 | FERC | NP10-18-000 | WECC | Turlock Irrigation District | NCR05435 | \$80,000 | SA | WECC200810398 | TPL-003-0 | 1 | High | X |
| 11/13/2009 | FERC | NP10-18-000 | WECC | Turlock Irrigation District | NCR05435 | \$80,000 | SA | WECC200810399 | TPL-004-0 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-18-000 | WECC | Turlock Irrigation District | NCR05435 | \$80,000 | SA | WECC200700388 | FAC-003-1 | 2 | High | X |
| 11/13/2009 | FERC | NP10-18-000 | WECC | Turlock Irrigation District | NCR05435 | \$80,000 | SA | WECC200801151 | COM-002-2 | 2 | Medium | X |
| 11/13/2009 | FERC | NP10-18-000 | WECC | Turlock Irrigation District | NCR05435 | \$80,000 | SA | WECC200801152 | PER-002-0 | 3 | High | X |
| 11/13/2009 | FERC | NP10-18-000 | WECC | Turlock Irrigation District | NCR05435 | \$80,000 | SA | WECC200801153 | VAR-001-1 | 3 | Lower | X |
| 11/13/2009 | FERC | NP10-17-000 | WECC | Lincoln County Power District No. 1 | NCR05220 | \$2,000 | SA | WECC200800758 | CIP-001-1 | 4 | Medium | X |

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|------------|----------------------|----------------------|--------|---------------------------------|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 11/13/2009 | FERC | NP10-16-000 | WECC | Mirant Delta, LLC | NCR05511 | \$3,000 | SA | WECC200901297 | VAR-002-1 | 3 | Medium | X |
| 11/13/2009 | FERC | NP10-15-000 | WECC | Mirant Potrero, LLC | NCR05240 | \$3,000 | SA | WECC200901298 | VAR-002-1 | 3 | Medium | X |
| 11/13/2009 | FERC | NP10-14-000 | FRCC | Cedar Bay Generating Company | NCR00009 | \$0 | NOCV | FRCC200700002 | IRO-004-1 | 4 | High | X |
| 11/13/2009 | FERC | NP10-13-000 | NPCC | AES Westover, LLC | NCR07005 | \$10,000 | SA | NPCC200800035 | CIP-001-1 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-13-000 | NPCC | AES Westover, LLC | NCR07005 | \$10,000 | SA | NPCC200800036 | CIP-001-1 | 2 | Medium | X |
| 11/13/2009 | FERC | NP10-13-000 | NPCC | AES Westover, LLC | NCR07005 | \$10,000 | SA | NPCC200800037 | CIP-001-1 | 3 | Medium | X |
| 11/13/2009 | FERC | NP10-13-000 | NPCC | AES Westover, LLC | NCR07005 | \$10,000 | SA | NPCC200800038 | CIP-001-1 | 4 | Medium | X |
| 11/13/2009 | FERC | NP10-12-000 | NPCC | AES Somerset, LLC | NCR07004 | \$10,000 | SA | NPCC200800033 | CIP-001-1 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-12-000 | NPCC | AES Somerset, LLC | NCR07004 | \$10,000 | SA | NPCC200800034 | CIP-001-1 | 2 | Medium | X |
| 11/13/2009 | FERC | NP10-11-000 | NPCC | AES Greenidge, LLC | NCR07003 | \$10,000 | SA | NPCC200800029 | CIP-001-1 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-11-000 | NPCC | AES Greenidge, LLC | NCR07003 | \$10,000 | SA | NPCC200800030 | CIP-001-1 | 2 | Medium | X |
| 11/13/2009 | FERC | NP10-11-000 | NPCC | AES Greenidge, LLC | NCR07003 | \$10,000 | SA | NPCC200800031 | CIP-001-1 | 3 | Medium | X |
| 11/13/2009 | FERC | NP10-11-000 | NPCC | AES Greenidge, LLC | NCR07003 | \$10,000 | SA | NPCC200800032 | CIP-001-1 | 4 | Medium | X |
| 11/13/2009 | FERC | NP10-10-000 | NPCC | AES Cayuga, LLC | NCR07001 | \$10,000 | SA | NPCC200800027 | CIP-001-1 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-10-000 | NPCC | AES Cayuga, LLC | NCR07001 | \$10,000 | SA | NPCC200800028 | CIP-001-1 | 2 | Medium | X |
| 11/13/2009 | FERC | NP10-9-000 | SERC | Westmoreland Partners | NCR0137 | \$10,000 | SA | SERC200800108 | PRC-005-1 | 1 | High | X |
| 11/13/2009 | FERC | NP10-9-000 | SERC | Westmoreland Partners | NCR0137 | \$10,000 | SA | SERC200800109 | CIP-001-1 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-8-000 | SERC | Union Power Partners, L.P. | NCR0135 | \$10,000 | SA | SERC200800092 | CIP-001-1 | 4 | Medium | X |
| 11/13/2009 | FERC | NP10-8-000 | SERC | Union Power Partners, L.P. | NCR0135 | \$10,000 | SA | SERC200800093 | PRC-005-1 | 1 | High | X |
| 11/13/2009 | FERC | NP10-7-000 | SERC | East Kentucky Power Cooperative | NCR01225 | \$115,000 | SA | SERC200800099 | BAL-001-0 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-7-000 | SERC | East Kentucky Power Cooperative | NCR01225 | \$115,000 | SA | SERC200800106 | BAL-005-0 | 2 | High | X |
| 11/13/2009 | FERC | NP10-6-000 | RFC | AES Warrior Run | NCR00666 | \$15,900 | SA | RFC200800053 | FAC-008-1 | 1 | Lower | X |
| 11/13/2009 | FERC | NP10-6-000 | RFC | AES Warrior Run | NCR00666 | \$15,900 | SA | RFC200800054 | FAC-009-1 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-6-000 | RFC | AES Warrior Run | NCR00666 | \$15,900 | SA | RFC200800055 | PRC-005-1 | 1 | High | X |
| 11/13/2009 | FERC | NP10-6-000 | RFC | AES Warrior Run | NCR00666 | \$15,900 | SA | RFC200800056 | PRC-005-1 | 2 | High | X |
| 11/13/2009 | FERC | NP10-5-000 | RFC | AES Red Oak, LLC | NCR00665 | \$15,000 | SA | RFC200800049 | FAC-008-1 | 1 | Lower | X |
| 11/13/2009 | FERC | NP10-5-000 | RFC | AES Red Oak, LLC | NCR00665 | \$15,000 | SA | RFC200800050 | FAC-009-1 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-5-000 | RFC | AES Red Oak, LLC | NCR00665 | \$15,000 | SA | RFC200800051 | PRC-005-1 | 1 | High | X |
| 11/13/2009 | FERC | NP10-5-000 | RFC | AES Red Oak, LLC | NCR00665 | \$15,000 | SA | RFC200800052 | PRC-005-1 | 2 | High | X |
| 11/13/2009 | FERC | NP10-4-000 | RFC | AES Ironwood, LLC | NCR00664 | \$5,000 | SA | RFC200800046 | FAC-008-1 | 1 | Lower | X |
| 11/13/2009 | FERC | NP10-4-000 | RFC | AES Ironwood, LLC | NCR00664 | \$5,000 | SA | RFC200800047 | FAC-009-1 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-3-000 | RFC | AES Beaver Valley, LLC | NCR00663 | \$10,000 | SA | RFC200800043 | FAC-008-1 | 1 | Lower | X |
| 11/13/2009 | FERC | NP10-3-000 | RFC | AES Beaver Valley, LLC | NCR00663 | \$10,000 | SA | RFC200800044 | FAC-009-1 | 1 | Medium | X |
| 11/13/2009 | FERC | NP10-3-000 | RFC | AES Beaver Valley, LLC | NCR00663 | \$10,000 | SA | RFC200800045 | PRC-005-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Bartow | NCR00003 | \$0 | OMNI | FRCC200800052 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Bartow | NCR00003 | \$0 | OMNI | FRCC200800053 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Bartow | NCR00003 | \$0 | OMNI | FRCC200800054 | CIP-001-1 | 3 | Medium | X |

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|------------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Bartow | NCR00003 | \$0 | OMNI | FRCC200800055 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700009 | TPL-001-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700010 | TPL-001-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700011 | TPL-001-0 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700012 | TPL-002-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700013 | TPL-002-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700014 | TPL-002-0 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700015 | TPL-003-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700016 | TPL-003-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700017 | TPL-003-0 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700018 | TPL-004-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Municipal Power Agency | NCR00022 | \$0 | OMNI | FRCC200700049 | TPL-004-0 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Power & Light Co. | NCR00024 | \$0 | OMNI | FRCC200700050 | PRC-005-1 | 2.1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Public Utilities Company | NCR00025 | \$0 | OMNI | FRCC200800097 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Public Utilities Company | NCR00025 | \$0 | OMNI | FRCC200800098 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Public Utilities Company | NCR00025 | \$0 | OMNI | FRCC200800099 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Florida Public Utilities Company | NCR00025 | \$0 | OMNI | FRCC200800100 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Hardee Power Partners Limited | NCR00035 | \$0 | OMNI | FRCC200800056 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Hardee Power Partners Limited | NCR00035 | \$0 | OMNI | FRCC200800057 | PRC-005-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Hillsborough County Resource Recovery Facility | NCR00036 | \$0 | OMNI | FRCC200700019 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Homestead | NCR00037 | \$47,000 | OMNI | FRCC200800071 | BAL-005-0 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Homestead | NCR00037 | \$47,000 | OMNI | FRCC200800072 | BAL-005-0 | 7 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Homestead | NCR00037 | \$47,000 | OMNI | FRCC200800073 | BAL-005-0 | 8 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Homestead | NCR00037 | \$47,000 | OMNI | FRCC200800074 | BAL-005-0 | 11 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Homestead | NCR00037 | \$47,000 | OMNI | FRCC200800075 | EOP-001-0 | 6 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Homestead | NCR00037 | \$47,000 | OMNI | FRCC200800076 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Homestead | NCR00037 | \$47,000 | OMNI | FRCC200800077 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Homestead | NCR00037 | \$47,000 | OMNI | FRCC200800078 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | City of Homestead | NCR00037 | \$47,000 | OMNI | FRCC200800079 | TOP-002-2 | 9 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Lakeland Electric | NCR00044 | \$0 | OMNI | FRCC200700022 | PER-002-0 | 3.2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Lakeland Electric | NCR00044 | \$0 | OMNI | FRCC200700023 | PRC-005-1 | 1.1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Lakeland Electric | NCR00044 | \$0 | OMNI | FRCC200700024 | PRC-005-1 | 2/2.1 | Lower/High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | New Hope Power Partnership | NCR00051 | \$0 | OMNI | FRCC200700025 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | New Hope Power Partnership | NCR00051 | \$0 | OMNI | FRCC200700026 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | New Hope Power Partnership | NCR00051 | \$0 | OMNI | FRCC200700027 | TOP-003-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | New Hope Power Partnership | NCR00051 | \$0 | OMNI | FRCC200700066 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | New Hope Power Partnership | NCR00051 | \$0 | OMNI | FRCC200700067 | CIP-001-1 | 3 | Medium | X |

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| 10/14/2009 | FERC | NP10-2-000 | FRCC | New Hope Power Partnership | NCR00051 | \$0 | OMNI | FRCC200700068 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | New Hope Power Partnership | NCR00051 | \$0 | OMNI | FRCC200700069 | TOP-003-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | New Hope Power Partnership | NCR00051 | \$0 | OMNI | FRCC200700070 | TOP-003-0 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700028 | COM-001-1 | 5 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700029 | EOP-001-0 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700030 | EOP-001-0 | 6 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700031 | EOP-001-0 | 7 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700033 | EOP-003-1 | 7 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700034 | EOP-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700035 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700036 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700038 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700039 | TOP-004-1 | 6 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700040 | TPL-001-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700041 | TPL-002-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700042 | TPL-003-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700043 | TPL-004-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700044 | VAR-001-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700045 | EOP-005-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700046 | EOP-005-1 | 7 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Utilities Commission of New Smyrna Beach | NCR00052 | \$0 | OMNI | FRCC200700051 | BAL-005-0 | 8.1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Pasco County Resource Recovery Facility | NCR00058 | \$0 | OMNI | FRCC200700063 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | FRCC | Progress Energy Florida | NCR00063 | \$0 | OMNI | FRCC200700047 | VAR-002-1 | 3.1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Southern California Edison - Generation - Power Production Business Unit | NCR00460 | \$0 | OMNI | WECC200801408 | PRC-005-1 | 2.1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Baltimore Gas and Electric Company | NCR00689 | \$0 | OMNI | RFC200700121 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | City of Lansing by its Board of Water and Light | NCR00718 | \$6,500 | OMNI | RFC200800059 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | City of Rochelle | NCR00721 | \$7,500 | OMNI | RFC200800089 | FAC-001-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | City of Rochelle | NCR00721 | \$7,500 | OMNI | RFC200800090 | FAC-001-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | City of Rochelle | NCR00721 | \$7,500 | OMNI | RFC200800091 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | City of Rochelle | NCR00721 | \$7,500 | OMNI | RFC200800092 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | City of Rochelle | NCR00721 | \$7,500 | OMNI | RFC200800093 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Cloverland Electric Cooperative | NCR00724 | \$0 | OMNI | RFC200700008 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Cloverland Electric Cooperative | NCR00724 | \$0 | OMNI | RFC200700010 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Cloverland Electric Cooperative | NCR00724 | \$0 | OMNI | RFC200700011 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Cloverland Electric Cooperative | NCR00724 | \$0 | OMNI | RFC200700012 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Delmarva Power & Light Company | NCR00752 | \$0 | OMNI | RFC200800061 | PRC-005-1 | 2.1 | High | X |

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| 10/14/2009 | FERC | NP10-2-000 | RFC | Illinois Municipal Electric Agency | NCR00795 | \$0 | OMNI | RFC200700038 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Illinois Municipal Electric Agency | NCR00795 | \$0 | OMNI | RFC200700039 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Illinois Municipal Electric Agency | NCR00795 | \$0 | OMNI | RFC200700040 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Illinois Municipal Electric Agency | NCR00795 | \$0 | OMNI | RFC200700041 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | LSP-Whitewater, LP | NCR00817 | \$6,000 | OMNI | RFC200800062 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | LSP-Whitewater, LP | NCR00817 | \$6,000 | OMNI | RFC200800063 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Mirant Potomac River, LLC | NCR00830 | \$5,000 | OMNI | RFC200700006 | PRC-005-1 | 2.1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | MRO | Board of Water, Electric & Communications of the City of Muscatine, | NCR00967 | \$0 | OMNI | MRO200800062 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | MRO | Board of Water, Electric & Communications of the City of Muscatine, | NCR00967 | \$0 | OMNI | MRO200800063 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | MRO | City of Grand Island, NE | NCR00974 | \$0 | OMNI | MRO200700012 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | MRO | City of Grand Island, NE | NCR00974 | \$0 | OMNI | MRO200700013 | PRC-005-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | MRO | Western Area Power Administration - Upper Great Plains East | NCR01036 | \$0 | OMNI | MRO200800059 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | MRO | Western Area Power Administration - Upper Great Plains East | NCR01036 | \$0 | OMNI | MRO200800060 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | Southwestern Public Service Co. | NCR01145 | \$0 | OMNI | SPP200700007 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | SERC | Associated Electric Cooperative, Inc. | NCR01177 | \$6,000 | OMNI | SERC200800149 | BAL-005-0 | 8.1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | SERC | Duke Energy Carolinas | NCR01219 | \$8,000 | OMNI | SERC200800107 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | SERC | Duke Energy Carolinas | NCR01219 | \$8,000 | OMNI | SERC200800110 | FAC-008-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | USACE - Kansas City District | NCR01357 | \$0 | OMNI | SPP200700008 | FAC-008-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | USACE - Kansas City District | NCR01357 | \$0 | OMNI | SPP200700009 | FAC-008-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | USACE - Kansas City District | NCR01357 | \$0 | OMNI | SPP200700010 | FAC-008-1 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | USACE - Kansas City District | NCR01357 | \$0 | OMNI | SPP200700011 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | USACE - Kansas City District | NCR01357 | \$0 | OMNI | SPP200700012 | FAC-009-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | USACE - Kansas City District | NCR01357 | \$0 | OMNI | SPP200700013 | PRC-004-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | USACE - Kansas City District | NCR01357 | \$0 | OMNI | SPP200700014 | PRC-004-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | USACE - Kansas City District | NCR01357 | \$0 | OMNI | SPP200700015 | PRC-004-1 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | USACE - Kansas City District | NCR01357 | \$0 | OMNI | SPP200700016 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | SPP | USACE - Kansas City District | NCR01357 | \$0 | OMNI | SPP200700017 | PRC-005-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | AES Alamitos LLC | NCR05002 | \$0 | OMNI | WECC200800790 | TOP-002-2 | 14 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | AES Alamitos LLC | NCR05002 | \$0 | OMNI | WECC200800893 | VAR-STD-002b-1 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | AES Huntington Beach LLC | NCR05004 | \$0 | OMNI | WECC200800792 | TOP-002-2 | 14 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | AES Redondo Beach LLC | NCR05007 | \$0 | OMNI | WECC200800789 | TOP-002-2 | 14 | Medium | X |

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|------------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 10/14/2009 | FERC | NP10-2-000 | WECC | Arizona Public Service Company | NCR05016 | \$0 | OMNI | WECC200800843 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Arizona Public Service Company | NCR05016 | \$0 | OMNI | WECC200801171 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Arizona Public Service Company | NCR05016 | \$0 | OMNI | WECC200801210 | TOP-STD-007-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Blachly-Lane Electric Coop/PNGC | NCR05028 | \$0 | OMNI | WECC200710062 | TOP-002-2 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Blachly-Lane Electric Coop/PNGC | NCR05028 | \$0 | OMNI | WECC200800762 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Black Hills Power, Inc. | NCR05030 | \$0 | OMNI | WECC200700413 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Burney Forest Products, A Joint Venture | NCR05039 | \$0 | OMNI | WECC200810230 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Burney Forest Products, A Joint Venture | NCR05039 | \$0 | OMNI | WECC200810231 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | California Department of Water Resources | NCR05047 | \$0 | OMNI | WECC200810041 | FAC-003-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | California Department of Water Resources | NCR05047 | \$0 | OMNI | WECC200810042 | FAC-003-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | California Department of Water Resources | NCR05047 | \$0 | OMNI | WECC200810043 | FAC-003-1 | 3 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | California Department of Water Resources | NCR05047 | \$0 | OMNI | WECC200810044 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Central Electric Coop/PNGC | NCR05061 | \$0 | OMNI | WECC200710069 | TOP-002-2 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Central Lincoln People's Utility District | NCR05062 | \$0 | OMNI | WECC200800595 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Central Lincoln People's Utility District | NCR05062 | \$0 | OMNI | WECC200801560 | PRC-005-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Central Lincoln People's Utility District | NCR05062 | \$0 | OMNI | WECC200810225 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Pasadena Water and Power | NCR05090 | \$0 | OMNI | WECC200801590 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Pasadena Water and Power | NCR05090 | \$0 | OMNI | | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Pasadena Water and Power | NCR05090 | \$0 | OMNI | WECC200801591 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Pasadena Water and Power | NCR05090 | \$0 | OMNI | WECC200801592 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Pasadena Water and Power | NCR05090 | \$0 | OMNI | WECC200801593 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Redding | NCR05091 | \$0 | OMNI | WECC200700532 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Roseville | NCR05094 | \$0 | OMNI | WECC200700050 | PRC-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Roseville | NCR05094 | \$0 | OMNI | WECC200700051 | PRC-011-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Roseville | NCR05094 | \$0 | OMNI | WECC200700052 | PRC-021-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Roseville | NCR05094 | \$0 | OMNI | WECC200700631 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Roseville | NCR05094 | \$0 | OMNI | WECC200700632 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Roseville | NCR05094 | \$0 | OMNI | WECC200700633 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Roseville | NCR05094 | \$0 | OMNI | WECC200700634 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Shasta Lake | NCR05095 | \$0 | OMNI | WECC200801052 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Shasta Lake | NCR05095 | \$0 | OMNI | WECC200801053 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Shasta Lake | NCR05095 | \$0 | OMNI | WECC200801054 | CIP-001-1 | 3 | Medium | X |

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|------------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Shasta Lake | NCR05095 | \$0 | OMNI | WECC200801055 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Tacoma, Department of Public Utilities, Light Division | NCR05097 | \$0 | OMNI | WECC200800965 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Tacoma, Department of Public Utilities, Light Division | NCR05097 | \$0 | OMNI | WECC200800967 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Tacoma, Department of Public Utilities, Light Division | NCR05097 | \$0 | OMNI | WECC200800968 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Tacoma, Department of Public Utilities, Light Division | NCR05097 | \$0 | OMNI | WECC200800969 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Tacoma, Department of Public Utilities, Light Division | NCR05097 | \$0 | OMNI | WECC200800971 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Tacoma, Department of Public Utilities, Light Division | NCR05097 | \$0 | OMNI | WECC200800972 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Tacoma, Department of Public Utilities, Light Division | NCR05097 | \$0 | OMNI | WECC200800973 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Clatskanie People's Utility District | NCR05100 | \$0 | OMNI | WECC200801035 | EOP-004-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Clatskanie People's Utility District | NCR05100 | \$0 | OMNI | WECC200801036 | EOP-004-1 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Clatskanie People's Utility District | NCR05100 | \$0 | OMNI | WECC200801178 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Clatskanie People's Utility District | NCR05100 | \$0 | OMNI | WECC200801179 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Clatskanie People's Utility District | NCR05100 | \$0 | OMNI | WECC200801180 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Clatskanie People's Utility District | NCR05100 | \$0 | OMNI | WECC200801181 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Clearwater Power Company | NCR05101 | \$0 | OMNI | WECC200800763 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Clearwater Power Company | NCR05101 | \$0 | OMNI | WECC200810240 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Clearwater Power Company | NCR05101 | \$0 | OMNI | WECC200810241 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Colorado Green Holdings, LLC | NCR05104 | \$0 | OMNI | WECC200810242 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Colorado Green Holdings, LLC | NCR05104 | \$0 | OMNI | WECC200810243 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Colorado River Commission of Nevada | NCR05105 | \$0 | OMNI | WECC200700307 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Colorado River Commission of Nevada | NCR05105 | \$0 | OMNI | WECC200700308 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Colorado River Commission of Nevada | NCR05105 | \$0 | OMNI | WECC200700309 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Colorado River Commission of Nevada | NCR05105 | \$0 | OMNI | WECC200700310 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Colorado Springs Utilities | NCR05106 | \$0 | OMNI | WECC200810244 | COM-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Colorado Springs Utilities | NCR05106 | \$0 | OMNI | WECC200810245 | PER-002-0 | 3 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Columbia River People's Utility District | NCR05109 | \$0 | OMNI | WECC200700067 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Columbia River People's Utility District | NCR05109 | \$0 | OMNI | WECC200700468 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Consumer's Power, Inc. | NCR05114 | \$0 | OMNI | WECC200810246 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Coos-Curry Electric Cooperative, Inc. | NCR05116 | \$0 | OMNI | WECC200800765 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Coos-Curry Electric Cooperative, Inc. | NCR05116 | \$0 | OMNI | WECC200810377 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Cowlitz County PUD No. 1 | NCR05123 | \$0 | OMNI | WECC200810255 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Crockett Cogeneration, a California Limited Partnership | NCR05124 | \$0 | OMNI | WECC200710256 | FAC-003-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Crockett Cogeneration, a California Limited Partnership | NCR05124 | \$0 | OMNI | WECC200710257 | FAC-003-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Deseret Generation & Transmission Co-operative | NCR05127 | \$0 | OMNI | WECC200710053 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Deseret Generation & Transmission Co-operative | NCR05127 | \$0 | OMNI | WECC200800845 | IRO-STD-006-0 | WR1 | N/A | X |

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| 10/14/2009 | FERC | NP10-2-000 | WECC | Deseret Generation & Transmission Co-operative | NCR05127 | \$0 | OMNI | WECC200800871 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Dynergy Inc. | NCR05136 | \$0 | OMNI | WECC200700470 | VAR-002-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Elmhurst Mutual Power & Light Company | NCR05144 | \$0 | OMNI | WECC200700196 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Elmhurst Mutual Power & Light Company | NCR05144 | \$0 | OMNI | WECC200700197 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Elmhurst Mutual Power & Light Company | NCR05144 | \$0 | OMNI | WECC200700198 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Elmhurst Mutual Power & Light Company | NCR05144 | \$0 | OMNI | WECC200700199 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Emerald People's Utility District | NCR05146 | \$0 | OMNI | WECC200700200 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Emerald People's Utility District | NCR05146 | \$0 | OMNI | WECC200801585 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Emerald People's Utility District | NCR05146 | \$0 | OMNI | WECC200801586 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Emerald People's Utility District | NCR05146 | \$0 | OMNI | WECC200801587 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Empire Electric Association, Inc. | NCR05147 | \$0 | OMNI | WECC200710056 | PRC-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Empire Electric Association, Inc. | NCR05147 | \$0 | OMNI | WECC200710057 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Fall River Rural Electric/PNGC | NCR05154 | \$0 | OMNI | WECC200800766 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Fall River Rural Electric/PNGC | NCR05154 | \$0 | OMNI | WECC200800841 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Fall River Rural Electric/PNGC | NCR05154 | \$0 | OMNI | WECC200800842 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Flathead Electric Cooperative, Inc. | NCR05156 | \$0 | OMNI | WECC200710266 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Flathead Electric Cooperative, Inc. | NCR05156 | \$0 | OMNI | WECC200810264 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Flathead Electric Cooperative, Inc. | NCR05156 | \$0 | OMNI | WECC200810265 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NextEra Energy Resources, LLC | NCR05163 | \$0 | OMNI | WECC200810268 | VAR-002-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NextEra Energy Resources, LLC | NCR05163 | \$0 | OMNI | WECC200810269 | VAR-002-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NextEra Energy Resources, LLC | NCR05163 | \$0 | OMNI | WECC200810270 | VAR-002-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Front Range Power Company, LLC | NCR05166 | \$0 | OMNI | WECC200700077 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Front Range Power Company, LLC | NCR05166 | \$0 | OMNI | WECC200700080 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Gila River Power, LP - GO/GOP/PSE | NCR05170 | \$0 | OMNI | WECC200800854 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Glacier Electric Cooperative, Inc. | NCR05171 | \$0 | OMNI | WECC200800998 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Glacier Electric Cooperative, Inc. | NCR05171 | \$0 | OMNI | WECC200800999 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700017 | IRO-001-1 | 8 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700018 | IRO-004-1 | 4 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700019 | IRO-005-1 | 13 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700042 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700043 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700044 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700045 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700121 | FAC-001-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700122 | FAC-001-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700123 | FAC-001-0 | 3 | Medium | X |

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|------------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700127 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700128 | FAC-008-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Grays Harbor County PUD | NCR05172 | \$0 | OMNI | WECC200700129 | FAC-008-1 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Hetch Hetchy Water and Power | NCR05182 | \$0 | OMNI | WECC200810199 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Hetch Hetchy Water and Power | NCR05182 | \$0 | OMNI | WECC200810202 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | High Desert Power Project, LLC | NCR05184 | \$0 | OMNI | WECC200801564 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | High Desert Power Project, LLC | NCR05184 | \$0 | OMNI | WECC200801565 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | High Desert Power Project, LLC | NCR05184 | \$0 | OMNI | WECC200801566 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | High Desert Power Project, LLC | NCR05184 | \$0 | OMNI | WECC200801567 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Holy Cross Energy | NCR05190 | \$0 | OMNI | WECC200801060 | PRC-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Holy Cross Energy | NCR05190 | \$0 | OMNI | WECC200801061 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200700490 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200700526 | VAR-001-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200700527 | VAR-001-1 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200700528 | VAR-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200700537 | VAR-001-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200700538 | VAR-001-1 | 9 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200710058 | BAL-005-0 | 17 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200800589 | MOD-012-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200800628 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200800657 | PRC-STD-005-1 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Idaho Power Company | NCR05191 | \$0 | OMNI | WECC200800783 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Incorporated County of Los Alamos (LAC) | NCR05196 | \$0 | OMNI | WECC200810275 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Incorporated County of Los Alamos (LAC) | NCR05196 | \$0 | OMNI | WECC200810276 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Incorporated County of Los Alamos (LAC) | NCR05196 | \$0 | OMNI | WECC200810277 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Incorporated County of Los Alamos (LAC) | NCR05196 | \$0 | OMNI | WECC200810278 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Inland Power and Light Company | NCR05198 | \$0 | OMNI | WECC200710283 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Inland Power and Light Company | NCR05198 | \$0 | OMNI | WECC200710284 | TOP-002-2 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Inland Power and Light Company | NCR05198 | \$0 | OMNI | WECC200810281 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Kootenai Electric Cooperative Inc. | NCR05209 | \$0 | OMNI | WECC200810285 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Lane Electric Cooperative, Inc./PNGC | NCR05212 | \$0 | OMNI | WECC200800767 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Lassen Municipal Utility District | NCR05216 | \$0 | OMNI | WECC200801568 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Mason County PUD No. 3 | NCR05233 | \$0 | OMNI | WECC200700082 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Mason County PUD No. 3 | NCR05233 | \$0 | OMNI | WECC200700083 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Mason County PUD No. 3 | NCR05233 | \$0 | OMNI | WECC200700084 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Mason County PUD No. 3 | NCR05233 | \$0 | OMNI | WECC200700085 | CIP-001-1 | 4 | Medium | X |

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|------------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 10/14/2009 | FERC | NP10-2-000 | WECC | Merced Irrigation District | NCR05234 | \$0 | OMNI | WECC200700424 | PRC-011-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Merced Irrigation District | NCR05234 | \$0 | OMNI | WECC200700425 | PRC-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Merced Irrigation District | NCR05234 | \$0 | OMNI | WECC200700426 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Midstate Electric Cooperative, Inc. | NCR05237 | \$0 | OMNI | WECC200710155 | PRC-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Midstate Electric Cooperative, Inc. | NCR05237 | \$0 | OMNI | WECC200710156 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Missoula Electric Cooperative, Inc. | NCR05242 | \$0 | OMNI | WECC200700319 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Missoula Electric Cooperative, Inc. | NCR05242 | \$0 | OMNI | WECC200700320 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Missoula Electric Cooperative, Inc. | NCR05242 | \$0 | OMNI | WECC200700321 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Missoula Electric Cooperative, Inc. | NCR05242 | \$0 | OMNI | WECC200700322 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Missoula Electric Cooperative, Inc. | NCR05242 | \$0 | OMNI | WECC200700330 | TOP-002-2 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Missoula Electric Cooperative, Inc. | NCR05242 | \$0 | OMNI | WECC200700331 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Modern Electric Water Company | NCR05243 | \$0 | OMNI | WECC200801605 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Mt. Poso Cogeneration Co. | NCR05254 | \$0 | OMNI | WECC200700536 | VAR-002-1 | 4 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Navopache Electric Cooperative, Inc. | NCR05260 | \$0 | OMNI | WECC200800772 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Navopache Electric Cooperative, Inc. | NCR05260 | \$0 | OMNI | WECC200800773 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Navopache Electric Cooperative, Inc. | NCR05260 | \$0 | OMNI | WECC200800774 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Navopache Electric Cooperative, Inc. | NCR05260 | \$0 | OMNI | WECC200800775 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | New Harquahala Generating Company, LLC | NCR05263 | \$0 | OMNI | WECC200810287 | PER-002-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | New Harquahala Generating Company, LLC | NCR05263 | \$0 | OMNI | WECC200810288 | PER-002-0 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | New Harquahala Generating Company, LLC | NCR05263 | \$0 | OMNI | WECC200810289 | PER-002-0 | 3 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | New Harquahala Generating Company, LLC | NCR05263 | \$0 | OMNI | WECC200810290 | PER-002-0 | 4 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | New Harquahala Generating Company, LLC | NCR05263 | \$0 | OMNI | WECC200810291 | PER-003-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | New Harquahala Generating Company, LLC | NCR05263 | \$0 | OMNI | WECC200810292 | PRC-001-1 | 4 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NAES Corporation - Tracy | NCR05274 | \$0 | OMNI | WECC200710157 | COM-002-2 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NAES Corporation - Tracy | NCR05274 | \$0 | OMNI | WECC200710158 | TOP-002-2 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NAES Corporation - Tracy | NCR05274 | \$0 | OMNI | WECC200710159 | TOP-002-2 | 13 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NAES Corporation - Tracy | NCR05274 | \$0 | OMNI | WECC200710160 | TOP-002-2 | 14 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NAES Corporation - Tracy | NCR05274 | \$0 | OMNI | WECC200710161 | TOP-002-2 | 15 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NAES Corporation - Tracy | NCR05274 | \$0 | OMNI | WECC200710162 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NAES Corporation - Tracy | NCR05274 | \$0 | OMNI | WECC200710163 | TOP-003-0 | 1/1.1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NAES Corporation - Tracy | NCR05274 | \$0 | OMNI | WECC200710164 | TOP-003-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NAES Corporation - Tracy | NCR05274 | \$0 | OMNI | WECC200710165 | TOP-003-0 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NAES Corporation - Tracy | NCR05274 | \$0 | OMNI | WECC200710166 | TOP-006-1 | 1/1.1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Northern Lights/PNGC | NCR05279 | \$0 | OMNI | WECC200800768 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Northern Lights/PNGC | NCR05279 | \$0 | OMNI | WECC200810293 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Northern Lights/PNGC | NCR05279 | \$0 | OMNI | WECC200810294 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Northern Wasco County People's Utility District | NCR05280 | \$0 | OMNI | WECC200710168 | CIP-001-1 | 1 | Medium | X |

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| 10/14/2009 | FERC | NP10-2-000 | WECC | Northern Wasco County People's Utility District | NCR05280 | \$0 | OMNI | WECC200710169 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Northern Wasco County People's Utility District | NCR05280 | \$0 | OMNI | WECC200710171 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Northern Wasco County People's Utility District | NCR05280 | \$0 | OMNI | WECC200710173 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Northern Wasco County People's Utility District | NCR05280 | \$0 | OMNI | WECC200800786 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Northwest Power Pool Reserve Sharing Group | NCR05281 | \$0 | OMNI | WECC200801509 | BAL-002-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NorthWestern Corporation | NCR05282 | \$0 | OMNI | WECC200700414 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NorthWestern Corporation | NCR05282 | \$0 | OMNI | WECC200800564 | EOP-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NorthWestern Corporation | NCR05282 | \$0 | OMNI | WECC200800575 | VAR-001-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NorthWestern Corporation | NCR05282 | \$0 | OMNI | WECC200800576 | COM-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NorthWestern Corporation | NCR05282 | \$0 | OMNI | WECC200810391 | FAC-003-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | NorthWestern Corporation | NCR05282 | \$0 | OMNI | WECC200810392 | FAC-003-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Oregon Trail Electric Cooperative | NCR05287 | \$0 | OMNI | WECC200801569 | PRC-005-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Pacific Gas and Electric Company | NCR05299 | \$0 | OMNI | WECC200700574 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Parkland Light and Water Company | NCR05314 | \$0 | OMNI | WECC200810297 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Parkland Light and Water Company | NCR05314 | \$0 | OMNI | WECC200810298 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Parkland Light and Water Company | NCR05314 | \$0 | OMNI | WECC200810299 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Peninsula Light Company, Inc. | NCR05316 | \$0 | OMNI | WECC200700344 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Peninsula Light Company, Inc. | NCR05316 | \$0 | OMNI | WECC200700345 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Peninsula Light Company, Inc. | NCR05316 | \$0 | OMNI | WECC200700346 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Peninsula Light Company, Inc. | NCR05316 | \$0 | OMNI | WECC200700347 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Plumas-Sierra Rural Electric Cooperative | NCR05322 | \$0 | OMNI | WECC200710303 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Plumas-Sierra Rural Electric Cooperative | NCR05322 | \$0 | OMNI | WECC200710304 | PRC-011-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Plumas-Sierra Rural Electric Cooperative | NCR05322 | \$0 | OMNI | WECC200710305 | PRC-021-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Plumas-Sierra Rural Electric Cooperative | NCR05322 | \$0 | OMNI | WECC200810302 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Port of Oakland | NCR05324 | \$0 | OMNI | WECC200700515 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Port of Oakland | NCR05324 | \$0 | OMNI | WECC200700516 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Port of Oakland | NCR05324 | \$0 | OMNI | WECC200700517 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Port of Oakland | NCR05324 | \$0 | OMNI | WECC200700518 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Port of Oakland | NCR05324 | \$0 | OMNI | WECC200700520 | PRC-007-0 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Port of Oakland | NCR05324 | \$0 | OMNI | WECC200700521 | PRC-007-0 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Port of Oakland | NCR05324 | \$0 | OMNI | WECC200700522 | PRC-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Port of Oakland | NCR05324 | \$0 | OMNI | WECC200700523 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Provo City Corporation | NCR05332 | \$0 | OMNI | WECC200801072 | PRC-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Provo City Corporation | NCR05332 | \$0 | OMNI | WECC200801073 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Clark County | NCR05334 | \$0 | OMNI | WECC200810318 | VAR-002-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Clark County | NCR05334 | \$0 | OMNI | WECC200810319 | VAR-002-1 | 2 | Medium | X |

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| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Clark County | NCR05334 | \$0 | OMNI | WECC200810320 | VAR-002-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Clark County | NCR05334 | \$0 | OMNI | WECC200810321 | VAR-002-1 | 5 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 2 of Pacific County | NCR05336 | \$0 | OMNI | WECC200810407 | FAC-002-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Benton County | NCR05337 | \$0 | OMNI | WECC200700032 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Benton County | NCR05337 | \$0 | OMNI | WECC200700033 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Benton County | NCR05337 | \$0 | OMNI | WECC200700034 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Benton County | NCR05337 | \$0 | OMNI | WECC200700035 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Benton County | NCR05337 | \$0 | OMNI | WECC200700038 | PRC-007-0 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Benton County | NCR05337 | \$0 | OMNI | WECC200700039 | PRC-007-0 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Benton County | NCR05337 | \$0 | OMNI | WECC200700040 | PRC-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No. 1 of Benton County | NCR05337 | \$0 | OMNI | WECC200700041 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No.1 of Chelan County | NCR05338 | \$0 | OMNI | WECC200700353 | TPL-002-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No.1 of Chelan County | NCR05338 | \$0 | OMNI | WECC200700354 | TPL-002-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No.1 of Chelan County | NCR05338 | \$0 | OMNI | WECC200700355 | TPL-002-0 | 3 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No.1 of Chelan County | NCR05338 | \$0 | OMNI | WECC200700356 | TPL-003-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No.1 of Chelan County | NCR05338 | \$0 | OMNI | WECC200700357 | TPL-003-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No.1 of Chelan County | NCR05338 | \$0 | OMNI | WECC200700358 | TPL-003-0 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No.1 of Chelan County | NCR05338 | \$0 | OMNI | WECC200700359 | TPL-004-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No.1 of Chelan County | NCR05338 | \$0 | OMNI | WECC200700360 | TPL-004-0 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No.1 of Chelan County | NCR05338 | \$0 | OMNI | WECC200700363 | TOP-004-1 | 6 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District No.1 of Chelan County | NCR05338 | \$0 | OMNI | WECC200700445 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District #1 of Lewis County | NCR05340 | \$0 | | WECC200800658 | FAC-001-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District #1 of Lewis County | NCR05340 | \$0 | OMNI | WECC200800659 | FAC-001-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District #1 of Lewis County | NCR05340 | \$0 | OMNI | WECC200810206 | FAC-003-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District #1 of Lewis County | NCR05340 | \$0 | OMNI | WECC200810207 | FAC-003-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District #1 of Lewis County | NCR05340 | \$0 | OMNI | WECC200810209 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District #1 of Lewis County | NCR05340 | \$0 | OMNI | WECC200810215 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Utility District #1 of Lewis County | NCR05340 | \$0 | OMNI | WECC200810216 | PRC-005-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Puget Sound Energy, Inc. | NCR05344 | \$0 | OMNI | WECC200801182 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Puget Sound Energy, Inc. | NCR05344 | \$0 | OMNI | WECC200801183 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Puget Sound Energy, Inc. | NCR05344 | \$0 | OMNI | WECC200801184 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Raft River Rural Electric Coop/PNGC | NCR05346 | \$0 | OMNI | WECC200800769 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Raft River Rural Electric Coop/PNGC | NCR05346 | \$0 | OMNI | WECC200801014 | IRO-004-1 | 4 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Raft River Rural Electric Coop/PNGC | NCR05346 | \$0 | OMNI | WECC200801069 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Raft River Rural Electric Coop/PNGC | NCR05346 | \$0 | OMNI | WECC200801070 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Rocky Mountain Reserve Group | NCR05366 | \$0 | OMNI | WECC200801493 | BAL-002-0 | 2 | Medium | X |

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|------------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 10/14/2009 | FERC | NP10-2-000 | WECC | Rosebud Operating Services, Inc. | NCR05367 | \$0 | OMNI | WECC200801169 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Rosebud Operating Services, Inc. | NCR05367 | \$0 | OMNI | WECC200801170 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$0 | OMNI | WECC200710010 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Sacramento Municipal Utility District | NCR05368 | \$0 | OMNI | WECC200800923 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Salmon River Electric Coop./PNGC | NCR05371 | \$0 | OMNI | WECC200710089 | TOP-002-2 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Salmon River Electric Coop./PNGC | NCR05371 | \$0 | OMNI | WECC200800770 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Salmon River Electric Coop./PNGC | NCR05371 | \$0 | OMNI | WECC200810334 | FAC-001-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Salmon River Electric Coop./PNGC | NCR05371 | \$0 | OMNI | WECC200810335 | FAC-001-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | San Diego Gas & Electric | NCR05377 | \$0 | OMNI | WECC200800687 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Sierra Pacific Industries | NCR05389 | \$0 | OMNI | WECC200801119 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Sierra Pacific Industries | NCR05389 | \$0 | OMNI | WECC200801120 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Sierra Pacific Industries | NCR05389 | \$0 | OMNI | WECC200801137 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Sierra Pacific Industries | NCR05389 | \$0 | OMNI | WECC200801138 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Sierra Pacific Industries | NCR05389 | \$0 | OMNI | WECC200801139 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Sierra Pacific Industries | NCR05389 | \$0 | OMNI | WECC200801140 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Sierra Pacific Industries | NCR05389 | \$0 | OMNI | WECC200801155 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Sierra Pacific Power Company | NCR05390 | \$0 | OMNI | WECC200800611 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Springfield Utility Board | NCR05405 | \$0 | OMNI | WECC200710065 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Thermal Energy Development Partnership, LP | NCR05424 | \$0 | OMNI | WECC200810341 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tillamook People's Utility District | NCR05427 | \$0 | OMNI | WECC200700239 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tillamook People's Utility District | NCR05427 | \$0 | OMNI | WECC200700240 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tillamook People's Utility District | NCR05427 | \$0 | OMNI | WECC200700241 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tillamook People's Utility District | NCR05427 | \$0 | OMNI | WECC200700242 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tillamook People's Utility District | NCR05427 | \$0 | OMNI | WECC200700251 | PRC-010-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tillamook People's Utility District | NCR05427 | \$0 | OMNI | WECC200700252 | PRC-010-0 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tillamook People's Utility District | NCR05427 | \$0 | OMNI | WECC200700253 | PRC-011-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tillamook People's Utility District | NCR05427 | \$0 | OMNI | WECC200700254 | PRC-011-0 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Transmission Agency of Northern California | NCR05430 | \$0 | OMNI | WECC200801135 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-Dam Project of the Oakdale and South Joaquin Irrigation Districts | NCR05431 | \$0 | OMNI | WECC200801571 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-Dam Project of the Oakdale and South Joaquin Irrigation Districts | NCR05431 | \$0 | OMNI | WECC200801572 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-Dam Project of the Oakdale and South Joaquin Irrigation Districts | NCR05431 | \$0 | OMNI | WECC200801573 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-Dam Project of the Oakdale and South Joaquin Irrigation Districts | NCR05431 | \$0 | OMNI | WECC200801574 | CIP-001-1 | 4 | Medium | X |

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|------------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Marketing | NCR05432 | \$0 | OMNI | WECC200700415 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Marketing | NCR05432 | \$0 | OMNI | WECC200700483 | VAR-002-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Marketing | NCR05432 | \$0 | OMNI | WECC200710191 | PRC-001-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Marketing | NCR05432 | \$0 | OMNI | WECC200710193 | PRC-001-1 | 4 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Marketing | NCR05432 | \$0 | OMNI | WECC200710194 | PRC-001-1 | 5 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Marketing | NCR05432 | \$0 | OMNI | WECC200800844 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Marketing | NCR05432 | \$0 | OMNI | WECC200800849 | IRO-STD-006-0 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Umatilla Electric Cooperative Assoc. | NCR05436 | \$0 | OMNI | WECC200710084 | TOP-002-2 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | United Electric Co-op, Inc. | NCR05437 | \$0 | OMNI | WECC200710172 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | United Electric Co-op, Inc. | NCR05437 | \$0 | OMNI | WECC200710174 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | United Electric Co-op, Inc. | NCR05437 | \$0 | OMNI | WECC200710176 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | United Electric Co-op, Inc. | NCR05437 | \$0 | OMNI | WECC200710178 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Wells Rural Electric Company | NCR05458 | \$0 | OMNI | WECC200710114 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Wells Rural Electric Company | NCR05458 | \$0 | OMNI | WECC200710116 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Wells Rural Electric Company | NCR05458 | \$0 | OMNI | WECC200710117 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Wells Rural Electric Company | NCR05458 | \$0 | OMNI | WECC200710118 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Desert Southwest Region | NCR05461 | \$0 | OMNI | WECC200800987 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Desert Southwest Region | NCR05461 | \$0 | OMNI | WECC200800988 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Desert Southwest Region | NCR05461 | \$0 | OMNI | WECC200800989 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Rocky Mountain Region | NCR05464 | \$0 | OMNI | WECC200800981 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Rocky Mountain Region | NCR05464 | \$0 | OMNI | WECC200800982 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Rocky Mountain Region | NCR05464 | \$0 | OMNI | WECC200800983 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Rocky Mountain Region | NCR05464 | \$0 | OMNI | WECC200800984 | | | | X |

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| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Rocky Mountain Region | NCR05464 | \$0 | OMNI | WECC200800985 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Rocky Mountain Region | NCR05464 | \$0 | OMNI | WECC200800986 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Rocky Mountain Region | NCR05464 | \$0 | OMNI | WECC200801223 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Rocky Mountain Region | NCR05464 | \$0 | OMNI | WECC200801224 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Rocky Mountain Region | NCR05464 | \$0 | OMNI | WECC200810343 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Sierra Nevada Region | NCR05465 | \$0 | OMNI | WECC200710154 | TOP-006-1 | 5 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Sierra Nevada Region | NCR05465 | \$0 | OMNI | WECC200800885 | PRC-005-1 | 1.1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Upper Great Plains Region | NCR05467 | \$0 | OMNI | WECC200800993 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Western Area Power Administration - Upper Great Plains Region | NCR05467 | \$0 | OMNI | WECC200800994 | | | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Healdsburg | NCR05491 | \$0 | OMNI | WECC200700453 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Healdsburg | NCR05491 | \$0 | OMNI | WECC200700454 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Healdsburg | NCR05491 | \$0 | OMNI | WECC200700455 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Healdsburg | NCR05491 | \$0 | OMNI | WECC200700456 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Healdsburg | NCR05491 | \$0 | OMNI | WECC200700457 | PRC-007-0 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Healdsburg | NCR05491 | \$0 | OMNI | WECC200700458 | PRC-007-0 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Healdsburg | NCR05491 | \$0 | OMNI | WECC200700460 | PRC-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Healdsburg | NCR05491 | \$0 | OMNI | WECC200700461 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Ukiah | NCR05494 | \$0 | OMNI | WECC200800622 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Ukiah | NCR05494 | \$0 | OMNI | WECC200800623 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Ukiah | NCR05494 | \$0 | OMNI | WECC200800624 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | City of Ukiah | NCR05494 | \$0 | OMNI | WECC200800661 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Judith Gap Energy LLC | NCR05503 | \$0 | OMNI | WECC200801027 | VAR-002-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Kings River Conservation District | NCR05505 | \$0 | OMNI | WECC200801113 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Kings River Conservation District | NCR05505 | \$0 | OMNI | WECC200801115 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Kings River Conservation District | NCR05505 | \$0 | OMNI | WECC200801144 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Midway Sunset Cogeneration Company | NCR05510 | \$0 | OMNI | WECC200801495 | PRC-005-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200700023 | IRO-004-1 | 4 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200700169 | FAC-001-0 | 1 | Medium | X |

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| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200700170 | FAC-001-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200700177 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200700192 | PRC-007-0 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200700193 | PRC-007-0 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200700194 | PRC-008-0 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200700195 | PRC-008-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200801598 | EOP-005-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200801599 | EOP-005-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200801600 | EOP-005-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200801601 | EOP-005-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200801602 | EOP-005-1 | 5 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200801603 | EOP-005-1 | 6 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200801604 | EOP-005-1 | 7 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200801606 | EOP-003-1 | 3 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | National Nuclear Security Administration - Los Alamos National | NCR05515 | \$0 | OMNI | WECC200801607 | EOP-003-1 | 5 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Plains End Operating Services, LLC | NCR05518 | \$0 | OMNI | WECC200801570 | VAR-002-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Service Company of Colorado | NCR05521 | \$0 | OMNI | WECC200700384 | EOP-001-0 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Service Company of Colorado | NCR05521 | \$0 | OMNI | WECC200700385 | EOP-001-0 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Service Company of Colorado | NCR05521 | \$0 | OMNI | WECC200700386 | EOP-001-0 | 5 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Service Company of Colorado | NCR05521 | \$0 | OMNI | WECC200700387 | EOP-005-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Service Company of Colorado | NCR05521 | \$0 | OMNI | WECC200700395 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Service Company of Colorado | NCR05521 | \$0 | OMNI | WECC200700405 | EOP-002-2 | 6 | High | X |

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|------------|----------------------|----------------------|--------|-------------------------------------|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Service Company of Colorado | NCR05521 | \$0 | OMNI | WECC200700406 | EOP-005-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Service Company of Colorado | NCR05521 | \$0 | OMNI | WECC200700407 | EOP-005-1 | 5 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Service Company of Colorado | NCR05521 | \$0 | OMNI | WECC200700408 | EOP-005-1 | 7 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Public Service Company of Colorado | NCR05521 | \$0 | OMNI | WECC200700427 | EOP-001-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200700507 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200700508 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200700509 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200700510 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200700511 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200700512 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800813 | EOP-001-0 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800814 | EOP-001-0 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800815 | EOP-001-0 | 5 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800816 | EOP-001-0 | 6 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800817 | EOP-001-0 | 7 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800818 | EOP-005-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800819 | EOP-005-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800820 | EOP-005-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800821 | EOP-005-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800822 | EOP-005-1 | 5 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800823 | EOP-005-1 | 6 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800824 | EOP-005-1 | 7 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800826 | EOP-005-1 | 9 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800828 | EOP-005-1 | 11 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800924 | EOP-008-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800925 | PER-001-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800926 | PER-002-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800927 | PER-002-0 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800928 | PER-002-0 | 3 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800929 | PER-002-0 | 4 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800931 | PER-003-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800932 | TOP-004-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800933 | TOP-004-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800935 | TOP-004-1 | 4 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800936 | TOP-004-1 | 5 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800937 | TOP-004-1 | 6 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800938 | TOP-006-1 | 1 | Medium | X |

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| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800939 | TOP-006-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800940 | TOP-006-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800941 | TOP-006-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800942 | TOP-006-1 | 5 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800943 | TOP-006-1 | 6 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800944 | TOP-006-1 | 7 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800945 | TOP-007-0 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800946 | TOP-007-0 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800947 | TOP-007-0 | 3 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800948 | TOP-008-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800949 | TOP-008-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800950 | TOP-008-1 | 3 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800951 | TOP-008-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800952 | VAR-001-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800953 | VAR-001-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800954 | VAR-001-1 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800955 | VAR-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800956 | VAR-001-1 | 6 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800957 | VAR-001-1 | 7 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800958 | VAR-001-1 | 8 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800959 | VAR-001-1 | 9 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800960 | VAR-001-1 | 10 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800961 | VAR-001-1 | 11 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200800962 | VAR-001-1 | 12 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | TransAlta Centralia Generation, LLC | NCR05533 | \$0 | OMNI | WECC200801158 | TOP-003-0 | 1 | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801006 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801007 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801076 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801193 | EOP-004-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801194 | EOP-004-1 | 3 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801195 | TOP-001-1 | 3 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801196 | TOP-001-1 | 6 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801197 | TOP-001-1 | 7 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801198 | TOP-002-2 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801199 | TOP-002-2 | 13 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801200 | TOP-002-2 | 14 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801201 | TOP-002-2 | 15 | Lower | X |

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|------------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801202 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801204 | TOP-003-0 | 1 | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801205 | TOP-003-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Portland District | NCR05538 | \$0 | OMNI | WECC200801206 | TOP-003-0 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Seattle District | NCR05539 | \$0 | OMNI | WECC200801203 | COM-002-2 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Seattle District | NCR05539 | \$0 | OMNI | WECC200801413 | PRC-005-1 | 1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Seattle District | NCR05539 | \$0 | OMNI | WECC200801414 | PRC-005-1 | 2.1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Seattle District | NCR05539 | \$0 | OMNI | WECC200801578 | IRO-005-1 | 13 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Seattle District | NCR05539 | \$0 | OMNI | WECC200801579 | TOP-002-2 | 14 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Walla Walla District | NCR05540 | \$0 | OMNI | WECC200800974 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Walla Walla District | NCR05540 | \$0 | OMNI | WECC200800977 | TOP-002-2 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Walla Walla District | NCR05540 | \$0 | OMNI | WECC200800978 | TOP-003-0 | 1 | | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Walla Walla District | NCR05540 | \$0 | OMNI | WECC200800979 | TOP-003-0 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | USACE-Walla Walla District | NCR05540 | \$0 | OMNI | WECC200800980 | TOP-003-0 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Vigilante Electric Cooperative, Inc. | NCR05541 | \$0 | OMNI | WECC200801580 | CIP-001-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Vigilante Electric Cooperative, Inc. | NCR05541 | \$0 | OMNI | WECC200801581 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Vigilante Electric Cooperative, Inc. | NCR05541 | \$0 | OMNI | WECC200801582 | CIP-001-1 | 4 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Vigilante Electric Cooperative, Inc. | NCR05541 | \$0 | OMNI | WECC200801584 | TOP-002-2 | 18 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Wheelabrator Lassen Inc. | NCR05544 | \$0 | OMNI | WECC200701010 | VAR-002-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Wheelabrator Lassen Inc. | NCR05544 | \$0 | OMNI | WECC200701011 | VAR-002-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Wheelabrator Lassen Inc. | NCR05544 | \$0 | OMNI | WECC200701012 | VAR-STD-002a-1 | WR1 | N/A | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | Commonwealth Edison Company | NCR08013 | \$0 | OMNI | RFC200700013 | PRC-005-1 | 2.1 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | DTE East China, LLC | NCR08048 | \$5,000 | OMNI | RFC200800057 | FAC-008-1 | 1 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | DTE East China, LLC | NCR08048 | \$5,000 | OMNI | RFC200800058 | FAC-009-1 | 1 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | RFC | The City of Hamilton, Ohio | NCR08073 | \$0 | OMNI | RFC200700004 | PRC-005-1 | 2 | Lower | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Reliability | NCR10030 | \$0 | OMNI | WECC200710191 | PRC-001-1 | 2 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Reliability | NCR10030 | \$0 | OMNI | WECC200710192 | PRC-001-1 | 3 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Reliability | NCR10030 | \$0 | OMNI | WECC200710193 | PRC-001-1 | 4 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Reliability | NCR10030 | \$0 | OMNI | WECC200710194 | PRC-001-1 | 5 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Tri-State Generation and Transmission Association, Inc - Reliability | NCR10030 | \$0 | OMNI | WECC200710195 | PRC-001-1 | 6 | High | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Alameda Municipal Power | NCR10042 | \$0 | OMNI | WECC200801048 | CIP-001-1 | 1 | Medium | X |

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| 10/14/2009 | FERC | NP10-2-000 | WECC | Alameda Municipal Power | NCR10042 | \$0 | OMNI | WECC200801049 | CIP-001-1 | 2 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Alameda Municipal Power | NCR10042 | \$0 | OMNI | WECC200801050 | CIP-001-1 | 3 | Medium | X |
| 10/14/2009 | FERC | NP10-2-000 | WECC | Alameda Municipal Power | NCR10042 | \$0 | OMNI | WECC200801051 | CIP-001-1 | 4 | Medium | X |
| 10/8/2009 | FERC | IN08-5-000 | FRCC | Florida Power and Light Company (FPL) | NCR00024 | \$25,000,000 | SA | None Assigned | See Order IN08-5-000 | See Order IN08-5-000 | See Order IN08-5-000 | X |
| 10/1/2009 | FERC | NP10-1-000 | RFC | Commonwealth Edison Company | NCR00729 | \$225,000 | SA | RFC200800071 | FAC-003-1 | 2 | High | X |
| 9/25/2009 | FERC | NP09-46-000 | WECC | Truckee Donner Public Utility District | NCR05433 | \$3,000 | SA | WECC200801227 | CIP-001-1 | 2 | Medium | X |
| 9/25/2009 | FERC | NP09-45-000 | TRE | Brazos Electric Power Cooperative, Inc. | NCR04015 | \$0 | SA | TRE200800043 | PRC-005-1 | 2 | Lower | X |
| 9/25/2009 | FERC | NP09-45-000 | TRE | Brazos Electric Power Cooperative, Inc. | NCR04015 | \$0 | SA | TRE200800044 | PRC-008-0 | 2 | Medium | X |
| 9/25/2009 | FERC | NP09-44-000 | RFC | Indian River Power, LLC | NCR06028 | \$20,000 | SA | RFC200800082 | PRC-005-1 | 2.1 | High | X |
| 9/25/2009 | FERC | NP09-43-000 | RFC | ITC/METC | NCR00803 | \$40,000 | SA | RFC200700003 | FAC-003-1 | 2 | High | X |
| 9/25/2009 | FERC | NP09-43-000 | RFC | ITC/METC | NCR00803 | \$40,000 | SA | RFC200800080 | FAC-003-1 | 1.2.2 | High | X |
| 9/25/2009 | FERC | NP09-43-000 | RFC | ITC/METC | NCR00803 | \$40,000 | SA | RFC200800081 | FAC-003-1 | 1.2.2 | High | X |
| 9/25/2009 | FERC | NP09-42-000 | SERC | Mackinaw Power, LLC | NCR08082 | \$0 | NOCV | SERC200800131 | PRC-005-1 | 1 | High | X |
| 9/25/2009 | FERC | NP09-41-000 | SERC | Virginia Electric and Power Company | NCR01214 | \$100,000 | SA | SERC200800143 | FAC-003-1 | 2 | High | X |
| 9/25/2009 | FERC | NP09-40-000 | SERC | Georgia Power Company | NCR01247 | \$100,000 | SA | SERC200800150 | FAC-003-1 | 2 | High | X |
| 9/25/2009 | FERC | NP09-39-000 | SERC | PPG Industries, Inc. | NCR09034 | \$0 | NOCV | SERC200700021 | PRC-005-1 | 1 | High | X |
| 9/25/2009 | FERC | NP09-38-000 | SERC | South Mississippi Electric Power Association | NCR01315 | \$15,000 | SA | SERC200800228 | INT-009-1 | 1 | Medium | X |
| 9/25/2009 | FERC | NP09-38-000 | SERC | South Mississippi Electric Power Association | NCR01315 | \$15,000 | SA | SERC200800246 | INT-009-1 | 1 | Medium | X |
| 9/25/2009 | FERC | NP09-38-000 | SERC | South Mississippi Electric Power Association | NCR01315 | \$15,000 | SA | SERC200800247 | INT-009-1 | 1 | Medium | X |
| 9/25/2009 | FERC | NP09-37-000 | SERC | Alabama Power Company | NCR01166 | \$50,000 | SA | SERC200700088 | FAC-003-1 | 2 | High | X |
| 9/25/2009 | FERC | NP09-36-000 | SERC | Tennessee Valley Authority [Statement] | NCR01151 | \$0 | NOCV | SERC200700019 | PRC-005-1 | 1 | High | X |
| 9/25/2009 | FERC | NP09-36-000 | SERC | Tennessee Valley Authority [Statement] | NCR01151 | \$0 | NOCV | SERC200700090 | PRC-005-1 | 2 | High | X |
| 7/31/2009 | FERC | NP09-35-000 | WECC | Pacific Gas and Electric Company | NCR05299 | \$100,000 | SA | WECC200800930 | FAC-003-1 | 2 | High | X |
| 7/31/2009 | FERC | NP09-34-000 | NPCC | Ashburnham Municipal Light Plant | NCR07010 | \$0 | NOCV | NPCC200800023 | CIP-001-1 | 1 | Medium | X |
| 7/31/2009 | FERC | NP09-34-000 | NPCC | Ashburnham Municipal Light Plant | NCR07010 | \$0 | NOCV | NPCC200800024 | CIP-001-1 | 2 | Medium | X |
| 7/31/2009 | FERC | NP09-34-000 | NPCC | Ashburnham Municipal Light Plant | NCR07010 | \$0 | NOCV | NPCC200800025 | CIP-001-1 | 3 | Medium | X |
| 7/31/2009 | FERC | NP09-34-000 | NPCC | Ashburnham Municipal Light Plant | NCR07010 | \$0 | NOCV | NPCC200800026 | CIP-001-1 | 4 | Medium | X |
| 7/31/2009 | FERC | NP09-33-000 | MRO | MidAmerican Energy Company | NCR00824 | \$0 | NOCV | MRO200800051 | PRC-005-1 | 2.1 | High | X |
| 7/10/2009 | FERC | NP09-32-000 | SPP | Eastman Cogeneration Limited Partnership | NCR01092 | \$0 | NOCV | SPP200700018 | CIP-001-1 | 1 | Medium | X |
| 7/10/2009 | FERC | NP09-32-000 | SPP | Eastman Cogeneration Limited Partnership | NCR01092 | \$0 | NOCV | SPP200700019 | CIP-001-1 | 4 | Medium | X |
| 7/10/2009 | FERC | NP09-31-000 | MRO | Lincoln Electric System | NCR01001 | \$50,000 | SA | MRO200800052 | COM-002-2 | 2 | Medium | X |
| 7/10/2009 | FERC | NP09-31-000 | MRO | Lincoln Electric System | NCR01001 | \$50,000 | SA | MRO200800054 | FAC-003-1 | 2 | Medium | X |
| 7/10/2009 | FERC | NP09-31-000 | MRO | Lincoln Electric System | NCR01001 | \$50,000 | SA | MRO200800055 | FAC-003-1 | 2 | Medium | X |
| 7/10/2009 | FERC | NP09-30-000 | TRE | BTU QSE Services Inc. | NCR04024 | \$5,000 | SA | TRE200800030 | CIP-001-1 | 1 | Medium | X |

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| Date | Regulatory Authority | Regulatory Filing ID | Region | Registered Entity | NCR ID (NERC Compliance Registry Identifier) | Total Penalty (\$) (The total penalty amount represents an aggregate amount for the filing; it does not represent an amount per violation.) | SA, NOCV, ACP or OMNI | NERC Violation ID | Reliability Standard | Req. | Violation Risk Factor | Notice of No Further Review Issued |
|-----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 7/10/2009 | FERC | NP09-30-000 | TRE | BTU QSE Services Inc. | NCR04024 | \$5,000 | SA | TRE200800031 | CIP-001-1 | 3 | Medium | X |
| 7/10/2009 | FERC | NP09-29-000 | MRO | Dairyland Power Cooperative | NCR00979 | \$10,000 | NOCV | MRO200800047 | PRC-005-1 | 1 | High | X |
| 7/10/2009 | FERC | NP09-29-000 | MRO | Dairyland Power Cooperative | NCR00979 | \$10,000 | NOCV | MRO200800048 | PRC-008-0 | 1 | Medium | X |
| 7/10/2009 | FERC | NP09-28-000 | SERC | Louisiana Generating LLC | NCR01265 | \$10,000 | SA | SERC200800103 | VAR-002-1 | 1 | Medium | X |
| 7/10/2009 | FERC | NP09-28-000 | SERC | Louisiana Generating LLC | NCR01265 | \$10,000 | SA | SERC200800104 | VAR-002-1 | 3/3.1 | Medium | X |
| 6/24/2009 | FERC | NP09-27-000 | MRO | U.S. Army Corps of Engineers - Omaha District | NCR00978 | \$0 | NOCV | MRO200700014 | FAC-009-1 | 1 | Medium | X |
| 6/24/2009 | FERC | NP09-27-000 | MRO | U.S. Army Corps of Engineers - Omaha District | NCR00978 | \$0 | NOCV | MRO200700015 | FAC-009-1 | 2 | Medium | X |
| 6/24/2009 | FERC | NP09-27-000 | MRO | U.S. Army Corps of Engineers - Omaha District | NCR00978 | \$0 | NOCV | MRO200700017 | PRC-005-1 | 1 | High | X |
| 6/24/2009 | FERC | NP09-27-000 | MRO | U.S. Army Corps of Engineers - Omaha District | NCR00978 | \$0 | NOCV | MRO200700018 | PRC-005-1 | 2 | High | X |
| 6/24/2009 | FERC | NP09-26-000 | TRE | U.S. Army Corps of Engineers- Tulsa District | NCR04156 | \$0 | NOCV | TRE200700027 | PRC-005-1 | 1.1 | High | X |
| 5/14/2009 | FERC | NP09-25-000 | RFC | Mirant Mid-Atlantic LLC | NCR00829 | \$0 | NOCV | RFC200700005 | PRC-005-1 | 2.1 | Lower/High | X |
| 5/7/2009 | FERC | NP09-24-000 | MRO | Upper Peninsula Power Company | NCR01033 | \$0 | NOCV | MRO200700033 | FAC-008-1 | 1.2.2 | Medium | X |
| 5/7/2009 | FERC | NP09-24-000 | MRO | Upper Peninsula Power Company | NCR01033 | \$0 | NOCV | MRO200700034 | FAC-008-1 | 2 | Lower | X |
| 5/7/2009 | FERC | NP09-24-000 | MRO | Upper Peninsula Power Company | NCR01033 | \$0 | NOCV | MRO200700035 | FAC-008-1 | 3 | Lower | X |
| 5/7/2009 | FERC | NP09-24-000 | MRO | Upper Peninsula Power Company | NCR01033 | \$0 | NOCV | MRO200700036 | FAC-009-1 | 1 | Medium | X |
| 5/7/2009 | FERC | NP09-24-000 | MRO | Upper Peninsula Power Company | NCR01033 | \$0 | NOCV | MRO200700037 | FAC-009-1 | 2 | Medium | X |
| 5/7/2009 | FERC | NP09-24-000 | MRO | Upper Peninsula Power Company | NCR01033 | \$0 | NOCV | MRO200700043 | PRC-005-1 | 1 | High | X |
| 5/7/2009 | FERC | NP09-24-000 | MRO | Upper Peninsula Power Company | NCR01033 | \$0 | NOCV | MRO200700041 | PRC-005-1 | 2 | Lower/High | X |
| 5/4/2009 | FERC | NP09-23-000 | MRO | NorthWestern Energy | NCR01021 | \$0 | NOCV | MRO200700027 | CIP-001-1 | 1 | Medium | X |
| 5/4/2009 | FERC | NP09-23-000 | MRO | NorthWestern Energy | NCR01021 | \$0 | NOCV | MRO200700028 | CIP-001-1 | 2 | Medium | X |
| 5/4/2009 | FERC | NP09-23-000 | MRO | NorthWestern Energy | NCR01021 | \$0 | NOCV | MRO200700029 | CIP-001-1 | 3 | Medium | X |
| 5/4/2009 | FERC | NP09-23-000 | MRO | NorthWestern Energy | NCR01021 | \$0 | NOCV | MRO200700030 | CIP-001-1 | 4 | Medium | X |
| 5/4/2009 | FERC | NP09-22-000 | MRO | Escanaba Municipal Electric Authority | NCR00982 | \$0 | NOCV | MRO200700023 | CIP-001-1 | 1 | Medium | X |
| 5/4/2009 | FERC | NP09-22-000 | MRO | Escanaba Municipal Electric Authority | NCR00982 | \$0 | NOCV | MRO200700024 | CIP-001-1 | 2 | Medium | X |
| 5/4/2009 | FERC | NP09-22-000 | MRO | Escanaba Municipal Electric Authority | NCR00982 | \$0 | NOCV | MRO200700025 | CIP-001-1 | 3 | Medium | X |
| 5/4/2009 | FERC | NP09-22-000 | MRO | Escanaba Municipal Electric Authority | NCR00982 | \$0 | NOCV | MRO200700026 | CIP-001-1 | 4 | Medium | X |
| 5/1/2009 | FERC | NP09-21-000 | MRO | Wisconsin Public Service Corporation | NCR00952 | \$0 | NOCV | MRO200700042 | PRC-005-1 | 2.1 | High | X |
| 5/1/2009 | FERC | NP09-21-000 | MRO | Wisconsin Public Service Corporation | NCR00952 | \$0 | NOCV | MRO200700044 | PRC-005-1 | 1 | High | X |
| 5/1/2009 | FERC | NP09-18-000 | MRO | Northern States Power | NCR01020 | \$0 | NOCV | MRO200700003 | EOP-001-0 | 5 | Medium | X |
| 5/1/2009 | FERC | NP09-19-000 | MRO | Northern States Power | NCR01020 | \$0 | NOCV | MRO200700045 | VAR-001-1 | 3 | Lower | X |
| 5/1/2009 | FERC | NP09-19-000 | MRO | Northern States Power | NCR01020 | \$0 | NOCV | MRO200700046 | VAR-001-1 | 4 | Medium | X |
| 5/1/2009 | FERC | NP09-20-000 | FRCC | Kissimmee Utility Authority | NCR00042 | \$0 | NOCV | FRCC200700004 | PRC-005-1 | 2 | High | X |
| 5/1/2009 | FERC | NP09-20-000 | FRCC | Kissimmee Utility Authority | NCR00042 | \$0 | NOCV | FRCC200700003 | EOP-001-1 | 6 | Medium | X |
| 3/31/2009 | FERC | NP09-17-000 | SERC | FPL Energy, LLC | NCR01243 | \$250,000 | SA | SERC200810005 | PRC-005-1 | 1 | Medium | X |
| 3/31/2009 | FERC | NP09-17-000 | SERC | FPL Energy, LLC | NCR01243 | \$250,000 | SA | SERC200810006 | PRC-005-1 | 2 | Medium | X |
| 3/31/2009 | FERC | NP09-17-000 | SERC | FPL Energy, LLC | NCR01243 | \$250,000 | SA | SERC200810007 | CIP-001-1 | 1 | Medium | X |
| 3/31/2009 | FERC | NP09-17-000 | SERC | FPL Energy, LLC | NCR01243 | \$250,000 | SA | SERC200810008 | CIP-001-1 | 2 | Medium | X |

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|------------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 3/31/2009 | FERC | NP09-16-000 | SERC | Dynegey, Inc. | NCR00200 | \$0 | NOCV | SERC200700013 | VAR-002-1 | 2 | Medium | X |
| 3/31/2009 | FERC | NP09-16-000 | SERC | Dynegey, Inc. | NCR00200 | \$0 | NOCV | SERC200700039 | VAR-002-1 | 2 | Medium | X |
| 3/31/2009 | FERC | NP09-15-000 | NPCC | Black River Generation, LLC | NCR07019 | \$0 | NOCV | NPCC200700001 | PRC-005-1 | 1 | High | X |
| 1/21/2009 | FERC | NP09-14-000 | SERC | City of West Memphis, AR | NCR01204 | \$0 | NOCV | SERC200700044 | PER-002-0 | 3.1 | Medium | X |
| 1/21/2009 | FERC | NP09-13-000 | SERC | Union Power Partners, LLC | NCR01355 | \$0 | NOCV | SERC200700045 | PER-002-0 | 3.1 | Medium | X |
| 1/21/2009 | FERC | NP09-12-000 | SERC | Batesville Balancing Authority | NCR01179 | \$0 | NOCV | SERC200700040 | PER-002-0 | 3.1 | Medium | X |
| 1/21/2009 | FERC | NP09-11-000 | SERC | City of Ruston, LA | NCR01203 | \$0 | NOCV | SERC200700043 | PER-002-0 | 3.1 | Medium | X |
| 1/21/2009 | FERC | NP09-10-000 | SERC | City of Conway, AR | NCR01198 | \$0 | NOCV | SERC200700042 | PER-002-0 | 3.1 | Medium | X |
| 1/7/2009 | FERC | NP09-9-000 | SERC | Hot Spring Power Company | NCR01257 | \$10,000 | SA | SERC200800121 | FAC-008-1 | 1 | Medium | X |
| 1/7/2009 | FERC | NP09-9-000 | SERC | Hot Spring Power Company | NCR01257 | \$10,000 | SA | SERC200800129 | FAC-009-1 | 1 | Medium | X |
| 1/7/2009 | FERC | NP09-8-000 | SERC | Choctaw Generation Limited Partnership | NCR10206 | \$10,000 | SA | SERC200800119 | FAC-008-1 | 1 | Medium | X |
| 1/7/2009 | FERC | NP09-8-000 | SERC | Choctaw Generation Limited Partnership | NCR10206 | \$10,000 | SA | SERC200800127 | FAC-009-1 | 1 | Medium | X |
| 1/7/2009 | FERC | NP09-7-000 | SERC | Choctaw Gas Generation, LLC | NCR10207 | \$10,000 | SA | SERC200800117 | FAC-008-1 | 1 | Medium | X |
| 1/7/2009 | FERC | NP09-7-000 | SERC | Choctaw Gas Generation, LLC | NCR10207 | \$10,000 | SA | SERC200800125 | FAC-009-1 | 1 | Medium | X |
| 1/7/2009 | FERC | NP09-6-000 | SERC | Hopewell Cogeneration Limited Partnership | NCR01256 | \$10,000 | SA | SERC200800115 | FAC-008-1 | 1 | Medium | X |
| 1/7/2009 | FERC | NP09-6-000 | SERC | Hopewell Cogeneration Limited Partnership | NCR01256 | \$10,000 | SA | SERC200800123 | FAC-009-1 | 1 | Medium | X |
| 1/7/2009 | FERC | NP09-5-000 | TRE | Wise County Power Company, LP | NCR04165 | \$0 | NOCV | TRE200700016 | FAC-008-1 | 1 | Lower/Medium | X |
| 1/7/2009 | FERC | NP09-5-000 | TRE | Wise County Power Company, LP | NCR04165 | \$0 | NOCV | TRE200700017 | FAC-008-1 | 2 | Lower | X |
| 1/7/2009 | FERC | NP09-5-000 | TRE | Wise County Power Company, LP | NCR04165 | \$0 | NOCV | TRE200700018 | FAC-008-1 | 3 | Lower | X |
| 1/7/2009 | FERC | NP09-5-000 | TRE | Wise County Power Company, LP | NCR04165 | \$0 | NOCV | TRE200700019 | FAC-009-1 | 1 | Medium | X |
| 1/7/2009 | FERC | NP09-5-000 | TRE | Wise County Power Company, LP | NCR04165 | \$0 | NOCV | TRE200700020 | FAC-009-1 | 3 | Medium | X |
| 1/7/2009 | FERC | NP09-5-000 | TRE | Wise County Power Company, LP | NCR04165 | \$0 | NOCV | TRE200700021 | IRO-004-1 | 4 | High | X |
| 1/7/2009 | FERC | NP09-4-000 | TRE | SUEZ Energy Generation NA Inc. | NCR04128 | \$0 | NOCV | TRE200700005 | FAC-008-1 | 1 | Lower/Medium | X |
| 1/7/2009 | FERC | NP09-4-000 | TRE | SUEZ Energy Generation NA Inc. | NCR04128 | \$0 | NOCV | TRE200700006 | FAC-008-1 | 2 | Lower | X |
| 1/7/2009 | FERC | NP09-4-000 | TRE | SUEZ Energy Generation NA Inc. | NCR04128 | \$0 | NOCV | TRE200700007 | FAC-008-1 | 3 | Lower | X |
| 1/7/2009 | FERC | NP09-4-000 | TRE | SUEZ Energy Generation NA Inc. | NCR04128 | \$0 | NOCV | TRE200700008 | FAC-009-1 | 1 | Medium | X |
| 1/7/2009 | FERC | NP09-4-000 | TRE | SUEZ Energy Generation NA Inc. | NCR04128 | \$0 | NOCV | TRE200700009 | FAC-009-1 | 2 | Medium | X |
| 1/7/2009 | FERC | NP09-4-000 | TRE | SUEZ Energy Generation NA Inc. | NCR04128 | \$0 | NOCV | TRE200700010 | IRO-004-1 | 4 | High | X |
| 12/19/2008 | FERC | NP09-3-000 | SERC | Duke Energy Carolinas, LLC | NCR01219 | \$50,000 | SA | SERC200700008 | FAC-003-1 | 2 | High | X |
| 12/12/2008 | FERC | NP09-1-000 | SERC | Edgcombe Operating Services, LLC | NCR01229 | \$3,000 | SA | SERC200800091 | TOP-002-2 | 15 | Lower | X |
| 12/12/2008 | FERC | NP09-2-000 | SERC | E.ON U.S. Services Inc for LG&E & KU Companies | NCR01223 | \$235,000 | SA | SERC200810001 | EOP-008-0 | 1 | Medium | X |
| 12/12/2008 | FERC | NP09-2-000 | SERC | E.ON U.S. Services Inc for LG&E & KU Companies | NCR01223 | \$235,000 | SA | SERC200810002 | FAC-001-0 | 1 | Medium | X |
| 12/12/2008 | FERC | NP09-2-000 | SERC | E.ON U.S. Services Inc for LG&E & KU Companies | NCR01223 | \$235,000 | SA | SERC200810003 | FAC-001-0 | 2 | Medium | X |
| 12/12/2008 | FERC | NP09-2-000 | SERC | E.ON U.S. Services Inc for LG&E & KU Companies | NCR01223 | \$235,000 | SA | SERC200810004 | FAC-001-0 | 3 | Medium | X |
| 6/6/2008 | FERC | NP08-36-000 | SERC | City of Benton | NCR01193 | \$0 | NOCV | SERC200700066 | PER-002-0 | 3.1 | Medium | X |

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|----------|----------------------|----------------------|--------|---|--|---|-----------------------|-------------------|----------------------|-------|-----------------------|------------------------------------|
| 6/6/2008 | FERC | NP08-37-000 | SERC | City of North Little Rock, AR (DENL) | NCR01201 | \$0 | NOCV | SERC200700041 | PER-002-0 | 3.1 | Medium | X |
| 6/5/2008 | FERC | NP08-19-000 | SERC | West Georgia Generating Company, LLC | NCR01368 | \$0 | NOCV | SERC200700048 | PRC-005-1 | 2 | Lower/High | X |
| 6/5/2008 | FERC | NP08-19-000 | SERC | West Georgia Generating Company, LLC | NCR01368 | \$0 | NOCV | SERC200700064 | PRC-005-1 | 1 | High | X |
| 6/5/2008 | FERC | NP08-19-000 | SERC | West Georgia Generating Company, LLC | NCR01368 | \$0 | NOCV | SERC200700065 | CIP-001-1 | 4 | Medium | X |
| 6/5/2008 | FERC | NP08-20-000 | SERC | Doyle I, LLC | NCR01216 | \$0 | NOCV | SERC200700012 | CIP-001-1 | 4 | Medium | X |
| 6/5/2008 | FERC | NP08-22-000 | SERC | Sam Rayburn G&T Electric Cooperative, Inc. | NCR01307 | \$0 | NOCV | SERC200700018 | PRC-005-1 | 1 | High | X |
| 6/5/2008 | FERC | NP08-22-000 | SERC | Sam Rayburn G&T Electric Cooperative, Inc. | NCR01307 | \$0 | NOCV | SERC200700024 | FAC-008-1 | 1 | Lower/Medium | X |
| 6/5/2008 | FERC | NP08-22-000 | SERC | Sam Rayburn G&T Electric Cooperative, Inc. | NCR01307 | \$0 | NOCV | SERC200700027 | CIP-001-1 | 1 | Medium | X |
| 6/5/2008 | FERC | NP08-22-000 | SERC | Sam Rayburn G&T Electric Cooperative, Inc. | NCR01307 | \$0 | NOCV | SERC200700028 | CIP-001-1 | 2 | Medium | X |
| 6/5/2008 | FERC | NP08-22-000 | SERC | Sam Rayburn G&T Electric Cooperative, Inc. | NCR01307 | \$0 | NOCV | SERC200700029 | CIP-001-1 | 3 | Medium | X |
| 6/5/2008 | FERC | NP08-22-000 | SERC | Sam Rayburn G&T Electric Cooperative, Inc. | NCR01307 | \$0 | NOCV | SERC200700030 | CIP-001-1 | 4 | Medium | X |
| 6/5/2008 | FERC | NP08-23-000 | SERC | Caledonia Generating, LLC | NCR01187 | \$0 | NOCV | SERC200700052 | FAC-008-1 | 1 | Lower/Medium | X |
| 6/5/2008 | FERC | NP08-24-000 | SERC | Mt. Carmel Public Utility Co. | NCR01277 | \$0 | NOCV | SERC200700062 | CIP-001-1 | 4 | Medium | X |
| 6/5/2008 | FERC | NP08-25-000 | SERC | Tex-LA Electric Coop of Texas, Inc. | NCR01342 | \$0 | NOCV | SERC200700020 | PRC-005-1 | 1 | High | X |
| 6/5/2008 | FERC | NP08-25-000 | SERC | Tex-LA Electric Coop of Texas, Inc. | NCR01342 | \$0 | NOCV | SERC200700026 | FAC-008-1 | 1 | Lower/Medium | X |
| 6/5/2008 | FERC | NP08-25-000 | SERC | Tex-LA Electric Coop of Texas, Inc. | NCR01342 | \$0 | NOCV | SERC200700035 | CIP-001-1 | 1 | Medium | X |
| 6/5/2008 | FERC | NP08-25-000 | SERC | Tex-LA Electric Coop of Texas, Inc. | NCR01342 | \$0 | NOCV | SERC200700036 | CIP-001-1 | 2 | Medium | X |
| 6/5/2008 | FERC | NP08-25-000 | SERC | Tex-LA Electric Coop of Texas, Inc. | NCR01342 | \$0 | NOCV | SERC200700037 | CIP-001-1 | 3 | Medium | X |
| 6/5/2008 | FERC | NP08-25-000 | SERC | Tex-LA Electric Coop of Texas, Inc. | NCR01342 | \$0 | NOCV | SERC200700038 | CIP-001-1 | 4 | Medium | X |
| 6/5/2008 | FERC | NP08-26-000 | SERC | Prairie Power, Inc. | NCR09035 | \$0 | NOCV | SERC200700050 | FAC-008-1 | 1.2.2 | Lower/Medium | X |
| 6/5/2008 | FERC | NP08-27-000 | SERC | Craven County Wood Energy, LP | NCR01212 | \$0 | NOCV | SERC200700063 | PRC-005-1 | 1 | High | X |
| 6/5/2008 | FERC | NP08-28-000 | SERC | N.C. Power Holdings, Inc. - Elizabethtown Power | NCR08084 | \$0 | NOCV | SERC200700067 | IRO-004-1 | 4 | High | X |
| 6/5/2008 | FERC | NP08-28-000 | SERC | N.C. Power Holdings, Inc. - Elizabethtown Power | NCR08084 | \$0 | NOCV | SERC200700074 | CIP-001-1 | 1 | Medium | X |
| 6/5/2008 | FERC | NP08-28-000 | SERC | N.C. Power Holdings, Inc. - Elizabethtown Power | NCR08084 | \$0 | NOCV | SERC200700075 | CIP-001-1 | 2 | Medium | X |
| 6/5/2008 | FERC | NP08-28-000 | SERC | N.C. Power Holdings, Inc. - Elizabethtown Power | NCR08084 | \$0 | NOCV | SERC200700076 | CIP-001-1 | 3 | Medium | X |
| 6/5/2008 | FERC | NP08-28-000 | SERC | N.C. Power Holdings, Inc. - Elizabethtown Power | NCR08084 | \$0 | NOCV | SERC200700077 | CIP-001-1 | 4 | Medium | X |
| 6/5/2008 | FERC | NP08-28-000 | SERC | N.C. Power Holdings, Inc. - Elizabethtown Power | NCR08084 | \$0 | NOCV | SERC200700078 | PRC-005-1 | 1 | High | X |
| 6/5/2008 | FERC | NP08-28-000 | SERC | N.C. Power Holdings, Inc. - Elizabethtown Power | NCR08084 | \$0 | NOCV | SERC200700084 | FAC-008-1 | 1 | Lower/Medium | X |
| 6/5/2008 | FERC | NP08-28-000 | SERC | N.C. Power Holdings, Inc. - Elizabethtown Power | NCR08084 | \$0 | NOCV | SERC200700085 | FAC-009-1 | 1 | Medium | X |
| 6/5/2008 | FERC | NP08-29-000 | SERC | City of Columbia, MO | NCR01196 | \$0 | NOCV | SERC200700002 | PER-002-0 | 3.1 | Medium | X |
| 6/5/2008 | FERC | NP08-29-000 | SERC | City of Columbia, MO | NCR01196 | \$0 | NOCV | SERC200700003 | TPL-001-0 | 1 | High/Medium | X |
| 6/5/2008 | FERC | NP08-29-000 | SERC | City of Columbia, MO | NCR01196 | \$0 | NOCV | SERC200700004 | TPL-002-0 | 1 | High/Medium | X |

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| 6/5/2008 | FERC | NP08-29-000 | SERC | City of Columbia, MO | NCR01196 | \$0 | NOCV | SERC200700005 | TPL-003-0 | 1 | High/Medium | X |
| 6/5/2008 | FERC | NP08-29-000 | SERC | City of Columbia, MO | NCR01196 | \$0 | NOCV | SERC200700006 | TPL-004-0 | 1 | Medium | X |
| 6/5/2008 | FERC | NP08-30-000 | SERC | City of Orangeburg Dept. of Public Utilities | NCR01202 | \$0 | NOCV | SERC200700054 | CIP-001-1 | 1 | Medium | X |
| 6/5/2008 | FERC | NP08-30-000 | SERC | City of Orangeburg Dept. of Public Utilities | NCR01202 | \$0 | NOCV | SERC200700055 | CIP-001-1 | 2 | Medium | X |
| 6/5/2008 | FERC | NP08-30-000 | SERC | City of Orangeburg Dept. of Public Utilities | NCR01202 | \$0 | NOCV | SERC200700056 | CIP-001-1 | 3 | Medium | X |
| 6/5/2008 | FERC | NP08-30-000 | SERC | City of Orangeburg Dept. of Public Utilities | NCR01202 | \$0 | NOCV | SERC200700057 | CIP-001-1 | 4 | Medium | X |
| 6/5/2008 | FERC | NP08-31-000 | SERC | N.C. Power Holdings, Inc. - Lumberton Power | NCR08085 | \$0 | NOCV | SERC200700068 | IRO-004-1 | 4 | High | X |
| 6/5/2008 | FERC | NP08-31-000 | SERC | N.C. Power Holdings, Inc. - Lumberton Power | NCR08085 | \$0 | NOCV | SERC200700079 | CIP-001-1 | 1 | Medium | X |
| 6/5/2008 | FERC | NP08-31-000 | SERC | N.C. Power Holdings, Inc. - Lumberton Power | NCR08085 | \$0 | NOCV | SERC200700080 | CIP-001-1 | 2 | Medium | X |
| 6/5/2008 | FERC | NP08-31-000 | SERC | N.C. Power Holdings, Inc. - Lumberton Power | NCR08085 | \$0 | NOCV | SERC200700081 | CIP-001-1 | 3 | Medium | X |
| 6/5/2008 | FERC | NP08-31-000 | SERC | N.C. Power Holdings, Inc. - Lumberton Power | NCR08085 | \$0 | NOCV | SERC200700082 | CIP-001-1 | 4 | Medium | X |
| 6/5/2008 | FERC | NP08-31-000 | SERC | N.C. Power Holdings, Inc. - Lumberton Power | NCR08085 | \$0 | NOCV | SERC200700083 | PRC-005-1 | 1 | High | X |
| 6/5/2008 | FERC | NP08-31-000 | SERC | N.C. Power Holdings, Inc. - Lumberton Power | NCR08085 | \$0 | NOCV | SERC200700086 | FAC-008-1 | 1 | Lower/Medium | X |
| 6/5/2008 | FERC | NP08-31-000 | SERC | N.C. Power Holdings, Inc. - Lumberton Power | NCR08085 | \$0 | NOCV | SERC200700087 | FAC-009-1 | 1 | Medium | X |
| 6/5/2008 | FERC | NP08-32-000 | SERC | Old Dominion Electric Cooperative | NCR00859 | \$0 | NOCV | SERC200700010 | FAC-008-1 | 1 | Lower/Medium | X |
| 6/5/2008 | FERC | NP08-32-000 | SERC | Old Dominion Electric Cooperative | NCR00859 | \$0 | NOCV | SERC200700011 | PRC-005-1 | 1 | High | X |
| 6/5/2008 | FERC | NP08-34-000 | SERC | The Dow Chemical Company | NCR09037 | \$0 | NOCV | SERC200700022 | IRO-004-1 | 4 | High | X |
| 6/5/2008 | FERC | NP08-34-000 | SERC | The Dow Chemical Company | NCR09037 | \$0 | NOCV | SERC200700023 | TOP-003-0 | 1 | Medium | X |
| 6/5/2008 | FERC | NP08-34-000 | SERC | The Dow Chemical Company | NCR09037 | \$0 | NOCV | SERC200700053 | PRC-005-1 | 2 | Lower/High | X |
| 6/5/2008 | FERC | NP08-34-000 | SERC | The Dow Chemical Company | NCR09037 | \$0 | NOCV | SERC200700069 | FAC-009-1 | 1 | Medium | X |
| 6/5/2008 | FERC | NP08-34-000 | SERC | The Dow Chemical Company | NCR09037 | \$0 | NOCV | SERC200700070 | FAC-009-1 | 2 | Medium | X |
| 6/5/2008 | FERC | NP08-34-000 | SERC | The Dow Chemical Company | NCR09037 | \$0 | NOCV | SERC200700072 | PRC-004-1 | 2 | High | X |
| 6/5/2008 | FERC | NP08-34-000 | SERC | The Dow Chemical Company | NCR09037 | \$0 | NOCV | SERC200700073 | PRC-004-1 | 3 | Lower | X |
| 6/5/2008 | FERC | NP08-35-000 | MRO | Rochester Public Utilities | NCR01027 | \$0 | NOCV | MRO200700005 | PER-002-0 | 3 | High/Medium | X |
| 6/5/2008 | FERC | NP08-35-000 | MRO | Rochester Public Utilities | NCR01027 | \$0 | NOCV | MRO200700006 | PRC-004-1 | 2 | High | X |
| 6/5/2008 | FERC | NP08-35-000 | MRO | Rochester Public Utilities | NCR01027 | \$0 | NOCV | MRO200700007 | VAR-001-1 | 9 | High | X |
| 6/4/2008 | FERC | NP08-1-000 | RFC | Baltimore Gas and Electric Co. | NCR00689 | \$180,000 | NOCV | RFC200700002 | FAC-003-1 | 2 | High | X |
| 6/4/2008 | FERC | NP08-2-000 | MRO | MidAmerican Energy Company | NCR00824 | \$75,000 | SA | MRO200700010 | FAC-003-1 | 2 | High | X |
| 6/4/2008 | FERC | NP08-3-000 | TRE | Bandera Electric Cooperative, Inc | NCR04008 | \$0 | NOCV | TRE200700003 | PRC-005-1 | 2 | Lower/High | X |
| 6/4/2008 | FERC | NP08-4-000 | TRE | Denton Municipal Electric (TDSP) | NCR04049 | \$0 | NOCV | TRE200700001 | PRC-008-0 | 1 | Medium | X |
| 6/4/2008 | FERC | NP08-4-000 | TRE | Denton Municipal Electric (TDSP) | NCR04049 | \$0 | NOCV | TRE200700002 | PRC-008-0 | 2 | Medium | X |
| 6/4/2008 | FERC | NP08-5-000 | TRE | Exelon Generation Company, LLC | NCR04057 | \$0 | NOCV | TRE200700004 | PRC-005-1 | 2 | Lower/High | X |
| 6/4/2008 | FERC | NP08-6-000 | MRO | Tri-State G&T - Merchant | NCR10103 | \$0 | NOCV | MRO200700008 | INT-004-1 | 2 | Lower | X |
| 6/4/2008 | FERC | NP08-7-000 | MRO | American Transmission Co., LLC | NCR00685 | \$0 | NOCV | MRO200700009 | PRC-005-1 | 2 | Lower/High | X |
| 6/4/2008 | FERC | NP08-8-000 | NPCC | Peabody Municipal Light Plant | NCR07191 | \$0 | NOCV | NPCC200700015 | CIP-001-1 | 1 | Medium | X |

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|----------|----------------------|----------------------|--------|--|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 6/4/2008 | FERC | NP08-8-000 | NPCC | Peabody Municipal Light Plant | NCR07191 | \$0 | NOCV | NPCC200700016 | CIP-001-1 | 2 | Medium | X |
| 6/4/2008 | FERC | NP08-8-000 | NPCC | Peabody Municipal Light Plant | NCR07191 | \$0 | NOCV | NPCC200700017 | CIP-001-1 | 3 | Medium | X |
| 6/4/2008 | FERC | NP08-8-000 | NPCC | Peabody Municipal Light Plant | NCR07191 | \$0 | NOCV | NPCC200700018 | CIP-001-1 | 4 | Medium | X |
| 6/4/2008 | FERC | NP08-9-000 | SPP | Southwestern Public Service Co. (SPS-XCEL) | NCR01145 | \$0 | NOCV | SPP200700002 | EOP-001-0 | 3.4 | Medium | X |
| 6/4/2008 | FERC | NP08-9-000 | SPP | Southwestern Public Service Co. (SPS-XCEL) | NCR01145 | \$0 | NOCV | SPP200700003 | EOP-001-0 | 4.4 | Medium | X |
| 6/4/2008 | FERC | NP08-9-000 | SPP | Southwestern Public Service Co. (SPS-XCEL) | NCR01145 | \$0 | NOCV | SPP200700004 | EOP-001-0 | 5 | Medium | X |
| 6/4/2008 | FERC | NP08-9-000 | SPP | Southwestern Public Service Co. (SPS-XCEL) | NCR01145 | \$0 | NOCV | SPP200700005 | EOP-005-0 | 1 | Medium | X |
| 6/4/2008 | FERC | NP08-9-000 | SPP | Southwestern Public Service Co. (SPS-XCEL) | NCR01145 | \$0 | NOCV | SPP200700006 | CIP-001-1 | 2 | Medium | X |
| 6/4/2008 | FERC | NP08-10-000 | SERC | Reliant Energy Wholesale Generation, LLC | NCR00396 | \$0 | NOCV | SERC200700049 | PRC-005-1 | 1 | High | X |
| 6/4/2008 | FERC | NP08-11-000 | NPCC | The City of Holyoke Gas and Electric Dept. | NCR07217 | \$0 | NOCV | NPCC200700011 | CIP-001-1 | 1 | Medium | X |
| 6/4/2008 | FERC | NP08-11-000 | NPCC | The City of Holyoke Gas and Electric Dept. | NCR07217 | \$0 | NOCV | NPCC200700012 | CIP-001-1 | 2 | Medium | X |
| 6/4/2008 | FERC | NP08-11-000 | NPCC | The City of Holyoke Gas and Electric Dept. | NCR07217 | \$0 | NOCV | NPCC200700013 | CIP-001-1 | 3 | Medium | X |
| 6/4/2008 | FERC | NP08-11-000 | NPCC | The City of Holyoke Gas and Electric Dept. | NCR07217 | \$0 | NOCV | NPCC200700014 | CIP-001-1 | 4 | Medium | X |
| 6/4/2008 | FERC | NP08-12-000 | TRE | Suez Energy Marketing NA Inc | NCR04129 | \$0 | NOCV | TRE200700011 | CIP-001-1 | 1 | Medium | X |
| 6/4/2008 | FERC | NP08-12-000 | TRE | Suez Energy Marketing NA Inc | NCR04129 | \$0 | NOCV | TRE200700012 | CIP-001-1 | 2 | Medium | X |
| 6/4/2008 | FERC | NP08-12-000 | TRE | Suez Energy Marketing NA Inc | NCR04129 | \$0 | NOCV | TRE200700013 | CIP-001-1 | 3 | Medium | X |
| 6/4/2008 | FERC | NP08-12-000 | TRE | Suez Energy Marketing NA Inc | NCR04129 | \$0 | NOCV | TRE200700014 | CIP-001-1 | 4 | Medium | X |
| 6/4/2008 | FERC | NP08-12-000 | TRE | Suez Energy Marketing NA Inc | NCR04129 | \$0 | NOCV | TRE200700015 | IRO-004-1 | 4 | High | X |
| 6/4/2008 | FERC | NP08-13-000 | NPCC | Norwich Public Utilities | NCR07038 | \$0 | NOCV | NPCC200700019 | CIP-001-1 | 1 | Medium | X |
| 6/4/2008 | FERC | NP08-13-000 | NPCC | Norwich Public Utilities | NCR07038 | \$0 | NOCV | NPCC200700020 | CIP-001-1 | 2 | Medium | X |
| 6/4/2008 | FERC | NP08-13-000 | NPCC | Norwich Public Utilities | NCR07038 | \$0 | NOCV | NPCC200700021 | CIP-001-1 | 3 | Medium | X |
| 6/4/2008 | FERC | NP08-13-000 | NPCC | Norwich Public Utilities | NCR07038 | \$0 | NOCV | NPCC200700022 | CIP-001-1 | 4 | Medium | X |
| 6/4/2008 | FERC | NP08-14-000 | SERC | Cottonwood Energy, LP | NCR01210 | \$0 | NOCV | SERC200700047 | PRC-005-1 | 1 | High | X |
| 6/4/2008 | FERC | NP08-15-000 | SERC | Illinois Municipal Electric Agency | NCR00795 | \$0 | NOCV | SERC200700058 | CIP-001-1 | 1 | Medium | X |
| 6/4/2008 | FERC | NP08-15-000 | SERC | Illinois Municipal Electric Agency | NCR00795 | \$0 | NOCV | SERC200700059 | CIP-001-1 | 2 | Medium | X |
| 6/4/2008 | FERC | NP08-15-000 | SERC | Illinois Municipal Electric Agency | NCR00795 | \$0 | NOCV | SERC200700060 | CIP-001-1 | 3 | Medium | X |
| 6/4/2008 | FERC | NP08-15-000 | SERC | Illinois Municipal Electric Agency | NCR00795 | \$0 | NOCV | SERC200700061 | CIP-001-1 | 4 | Medium | X |
| 6/4/2008 | FERC | NP08-16-000 | MRO | Northern States Power | NCR01020 | \$0 | NOCV | MRO200700004 | CIP-001-1 | 2 | Medium | X |
| 6/4/2008 | FERC | NP08-17-000 | SERC | East Texas Electric Cooperative, Inc. | NCR01227 | \$0 | NOCV | SERC200700017 | PRC-005-1 | 1 | High | X |
| 6/4/2008 | FERC | NP08-17-000 | SERC | East Texas Electric Cooperative, Inc. | NCR01227 | \$0 | NOCV | SERC200700025 | FAC-008-1 | 1 | Lower/Medium | X |
| 6/4/2008 | FERC | NP08-17-000 | SERC | East Texas Electric Cooperative, Inc. | NCR01227 | \$0 | NOCV | SERC200700031 | CIP-001-1 | 1 | Medium | X |
| 6/4/2008 | FERC | NP08-17-000 | SERC | East Texas Electric Cooperative, Inc. | NCR01227 | \$0 | NOCV | SERC200700032 | CIP-001-1 | 2 | Medium | X |
| 6/4/2008 | FERC | NP08-17-000 | SERC | East Texas Electric Cooperative, Inc. | NCR01227 | \$0 | NOCV | SERC200700033 | CIP-001-1 | 3 | Medium | X |
| 6/4/2008 | FERC | NP08-17-000 | SERC | East Texas Electric Cooperative, Inc. | NCR01227 | \$0 | NOCV | SERC200700034 | CIP-001-1 | 4 | Medium | X |
| 6/4/2008 | FERC | NP08-18-000 | TRE | Topaz Power Management LP (QSE) | NCR04147 | \$0 | NOCV | TRE200800028 | CIP-001-1 | 1 | Medium | X |
| 6/4/2008 | FERC | NP08-21-000 | SERC | City of Orangeburg Dept. of Public Utilities | NCR01202 | \$0 | NOCV | SERC200700016 | PRC-005-1 | 1 | High | X |

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|----------|----------------------|----------------------|--------|---------------------------------------|--|---|-----------------------|-------------------|----------------------|------|-----------------------|------------------------------------|
| 6/4/2008 | FERC | NP08-33-000 | SERC | Associated Electric Cooperative, Inc. | NCR01177 | \$0 | NOCV | SERC200700001 | FAC-003-1 | 2 | High | X |



MARKET MONITORING REPORT

Oversight Committee

12 December 2011

Staffing

- The MMU currently has three open positions: two senior monitors and one monitor 2. All three positions are posted or in final review by HR prior to posting. When these positions are filled, MM will be at full staff in preparation for Integrated Marketplace startup.

Activity Update

- **Federal Energy Regulatory Commission (FERC)**
 - Ongoing weekly and monthly market update discussions with FERC staff
 - Two staff members attended the semiannual FERC/MMU meeting in DC on December 8/9. Discussion topics included Market Participant fleet and affiliate monitoring; demand response impacts; market impact of new EPA rules; and communications related to referrals.
 - FERC Enforcement staff has requested a three day symposium to be hosted by SPP in March of 2012. Potential topics: market assessment; Integrated Marketplace review; and training on requested subjects.
- **Market Studies**
 - The MMU completed 3 special studies this fall.
 - The MMU implemented a marginal resource daily report and a load balance breach email notification metric. The marginal resource report allows the monitor to quickly identify the price setters from the previous day and determine if any additional analysis is required. The load balance breach email notification will execute within an hour of a load balance breach and enable the MMU to closely monitor the event.
 - The MMU reviewed CSAPR survey submissions from Market Participants. The surveys indicate that the Market Participants expect significant impacts to the Resource offers in the EIS Market. Increases in the energy offer curves and reductions in the dispatch range are expected. The MMU has spoken with several Market Participants and will address the MWG on this topic next week.
- **Reporting**
 - Prepared several reports in support of corporate functions including the Corporate Metrics reports, Entergy Metrics reports and the coordination of the FERC/IRC annual metrics report.
 - Published regular Market Monitoring reports including the Monthly Metrics Report, monthly MWG market updates (both required by FERC) and the Market Monitoring Snapshot for the Board of Directors.



- Annual State of the Market report for 2011 is on schedule. The report will include both new metrics and enhancements of several current metrics.

Integrated Marketplace

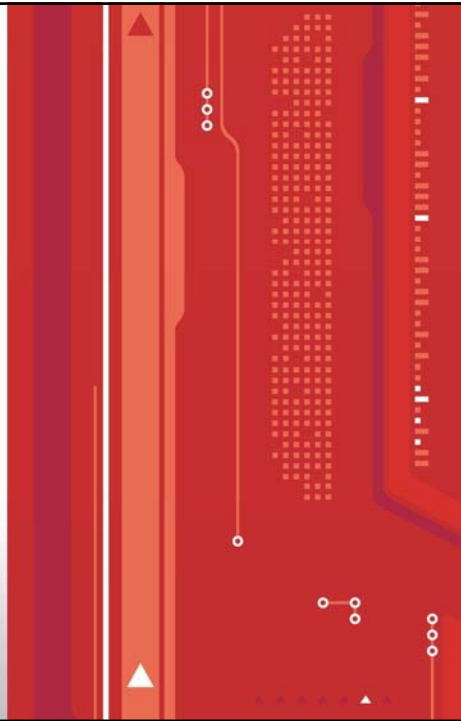
- The BI/Report Work-stream planning phase will end with proposal/recommendation to the Enterprise Data Management Steering Committee on December 19. Approved project scope/requirement will be presented to Executives and Integrated Marketplace management teams.
- Enhancement Outage Scheduler system is now operational but data will not be available to MMU for up to 6 months. Corporate strategic plan called for this to be completed in 2011.
- The MWG approved MPRR 29 which incorporates a Market Impact Test into the mitigation measures. This protocol change was requested by the MMU.
- Draft report of Market Power Assessment for the Operating Reserve markets was received from Potomac Economics in mid-November. The report does indicate local market power and recommends mitigation measures.
- Two Marketplace Protocol Revision Requests were submitted to the SPP Market Design group on November 23, 2011. One consists of the recommended mitigation measures for the Operating Reserve Markets; the second incorporates changes to the energy market mitigation.

Respectfully submitted,
Alan McQueen
Manager, Market Monitoring and Analysis

Consolidated Balancing Authority (CBA) Registration

December 12, 2011

Philip Propes
Director, Compliance



Section 1

INTRODUCTION: WHERE WE ARE

NERC Registration Options

- Multiple options have been reviewed by SPP Staff for NERC Registration with the new Consolidated Balancing Authority (CBA).
- Registration options include:
 - Written Agreement / Contract
 - Individual Entity Registration – Per Function
 - Joint Registration Organization (JRO)
 - Coordinated Functional Registration (CFR)
- The CBA Steering Committee (CBASC) and SPP Compliance Department Staff have been primarily involved with the discussion to date.

Written Agreement / Contract

- Using this option, SPP would register as the sole BA for all related NERC Standards, and separate contracts would be developed for each existing BA.
- These contracts would delineate the compliance responsibilities for each entity involving the BA standards.
- SPP would be audited for each BA standard, and SPP would request/receive compliance evidence (process documents, evidence, results) from each entity to demonstrate compliance.
- Coordinated audits, including member participation in SPP audits will be essential for this method.
- SPP may need to establish regional compliance verification processes under this registration method.

Individual Registration

- This method essentially maintains the status quo for registration, as each entity registers for their specific roles.
 - Those retaining any BA-related activities would remain individually registered as a BA.
 - SPP would also register as a BA since we would fulfill many of the BA duties.
- Audits would remain largely the same, but SPP and Members would be required to demonstrate compliance with the full suite of BA standards.

Joint Registration Organization (JRO)

- With the JRO, SPP would register on behalf of Members for the BA function, thus accepting all compliance responsibility for the BA function, including all reporting requirements.
- The JRO provides a mechanism for distributing compliance responsibility, but it lacks granularity within a function.
- Quite simply, if an entity registers for a function on behalf of another, the entity takes on responsibility for the entire set of standards for that function.
- SPP is unable to support all BA requirements under current conditions, and the JRO lacks the ability to distribute minor sub-requirements among entities.
 - For example, BAL-005, R17

Coordinated Functional Registration (CFR)

- Multiple entities may each register using a CFR for one or more reliability standard(s) and/or for one or more requirements/sub-requirements within particular reliability standard(s) applicable to a specific function.
- In this manner, entities can share compliance responsibilities within a function at a more detailed and granular level.
- The CFR provides a NERC-approved matrix approach, allowing entities to specify the “who is responsible for what” on a per-requirement basis.
 - In this case, for example, BAL-005, R17 alone could be allocated to the member(s).

Section 2

REGISTRATION REVIEW AND SUPPORT: RESEARCH CONDUCTED TO DATE

SPP Compliance Department Efforts

- Each registration option has been initially reviewed, based on several factors:
 - Implementation
 - Difficulty in developing per member
 - CEA acceptability
 - Discussions with NERC, SERC, SPP RE, MRO
 - “Ease-of-Audit”
 - Regional cultural impact
 - Long-term maintenance
 - Updating documents/matrices, etc.

SPP Compliance Department Efforts

- An initial presentation and recommendation was presented to the CBASC this summer.
- SPP Staff is seeking unanimous support by both the Membership and SPP for any option selected.
- To date, the Written Agreement and CFR appear to be the two favored options, and each has supporters.
- A uniformly supported registration choice has not been identified, so additional work is needed.

Section 3

ADDITIONAL SUPPORT: CBA RESEARCH AS A COLLECTIVE EFFORT

The Member Compliance Group (MCG)

- Due to a lack of uniform support for either registration option, the SPP Compliance Department has recommended the use of the MCG as a third-party review body.
- The MCG is composed of compliance experts from multiple SPP Member Companies.
- MCG members are also represented on other Working Groups and all are represented at the MOPC level.
- MCG members are in positions to meet with personnel (internal or external) from these Working Groups to present CBA registration needs and concerns.

The MCG Role

- Review the multiple registration options and gain an understanding of each.
- Contact each CEA acting within the region (SPP RE, MRO, SERC) and NERC for their input.
- Develop a unanimous MCG recommendation for the best NERC registration approach.
- Identify a MCG member(s) to assist staff with presenting the MCG research activities as requested by subsequent groups (CBASC, MOPC, BOD).
- The goal is to determine the best registration choice for the region, present the recommendation to appropriate groups, and gain a consensus among all involved.

Philip Propes
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