

January 24, 2012

The Honorable Kimberly D. Bose  
Secretary  
Federal Energy Regulatory Commission  
888 First Street, N.E.  
Washington, D.C. 20426

**Re: *Southwest Power Pool, Inc., Docket No. ER12-\_\_\_\_\_*  
(Amendment to Attachment P of the Tariff)**

Dear Secretary Bose:

Pursuant to section 205 of the Federal Power Act, 16 U.S.C. § 824d, and Part 35 of the Regulations of the Federal Energy Regulatory Commission (“Commission”), 18 C.F.R. Part 35, Southwest Power Pool, Inc. (“SPP”), as authorized by its Board of Directors, submits amendments to Attachment P of its Open Access Transmission Tariff (“Tariff”)<sup>1</sup> to list the transmission service increments SPP offers under the Tariff and to make other minor clarifications. SPP requests an effective date of March 25, 2012 for the amendments, which is 61 days after the date of this filing.

#### **I. DESCRIPTION OF SPP**

SPP is a Commission-approved Regional Transmission Organization (“RTO”). It is an Arkansas non-profit corporation with its principal place of business in Little Rock, Arkansas. SPP currently has 65 members in 9 states and serves more than 6 million

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<sup>1</sup> Southwest Power Pool, Inc., Open Access Transmission Tariff, Sixth Revised Volume No. 1.

households in a 370,000 square-mile area. Its members include 14 investor-owned utilities, 11 municipal systems, 12 generation and transmission cooperatives, 4 state agencies, 7 independent power producers, 10 power marketers, and 7 independent transmission companies. As an RTO, SPP provides open access transmission service over 48,930 miles of transmission lines in 8 states – Arkansas, Kansas, Louisiana, Missouri, Nebraska, New Mexico, Oklahoma, and Texas.

## **II. INCLUSION OF TRANSMISSION SERVICE INCREMENTS IN ATTACHMENT P**

Currently, Attachment P of the Tariff sets forth various timing requirements related to the transmission services provided under the Tariff. In particular, Attachment P specifies the transmission service type, the term of service, deadlines for transmission service requests, the timing for transmission provider responses for applications, study timing, customer response deadlines, and energy scheduling. As explained below, consistent with the Commission’s decision in Docket No. EL10-61, SPP and its stakeholders have determined to amend Attachment P to also include the specific service increments offered by SPP under the Tariff.

In its order granting complaint in Docket No. EL10-61, the Commission held that “[w]hile the Commission does not require transmission providers to adopt each of the flexible services included in the NAESB<sup>[2]</sup> standards, the Commission does require the OATT to state accurately which services are offered.”<sup>3</sup> It further ordered Public Service

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<sup>2</sup> North American Energy Standards Board.

<sup>3</sup> *Cargill Power Markets, LLC v. Pub. Serv. Co. of N.M.*, 132 FERC ¶ 61,079, at P 22 (2010) (footnote omitted) (“PNM Order”).

Company of New Mexico to amend its tariff “to specify all transmission services the company provides.”<sup>4</sup> Like Public Service Company of New Mexico, SPP has incorporated by reference the NAESB business practices standards into its Tariff.<sup>5</sup> However, SPP currently only lists the precise types of services it offers in its business practices. In light of the PNM Order, SPP and its stakeholders determined that it would be prudent to list the specific service increments offered by SPP in the Tariff rather than solely in its business practices. To that end, SPP files amendments to Attachment P.<sup>6</sup>

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<sup>4</sup> *Id.* at P 23.

<sup>5</sup> *See* Tariff, Attachment R-1.

<sup>6</sup> The amendments to Attachment P were fully vetted in the SPP stakeholder process and have stakeholder and SPP Board of Directors support. The SPP Regional Tariff Working Group (“RTWG”) reviewed and discussed the proposed amendments to Attachment P at its August 4, 2011 meeting. The Business Practices Working Group (“BPWG”) reviewed the amendments on August 15, 2011 and requested that the RTWG address certain language concerns. The RTWG addressed the BPWG concerns and unanimously approved the Attachment P amendments filed herein on September 30, 2011. The Markets & Operations Policy Committee likewise approved the amendments at its October 11- 12, 2011 meeting and recommended that the SPP Board of Directors also approve them, which it did on October 25, 2011. The Commission previously has recognized that provisions approved through the stakeholder processes of RTOs and ISOs are due deference. *See Sw. Power Pool, Inc.*, 127 FERC ¶ 61,283, at P 33 (2009) (noting that the Commission “accord[s] an appropriate degree of deference to RTO stakeholder processes”); *New England Power Pool*, 105 FERC ¶ 61,300, at P 34 (2003) (Commission approval of transmission cost allocation proposal based upon an extensive and thorough stakeholder process); *Policy Statement Regarding Regional Transmission Groups*, 1991-1996 FERC Stats. & Regs., Regs. Preambles ¶ 30,976, at 30,872 (1993) (the Commission will afford an appropriate degree of deference to the stakeholder approval process). The Commission’s deference to RTO stakeholder processes has been upheld by the courts. *See Pub. Serv. Comm’n of Wis. v. FERC*, 545 F.3d 1058, 1062-63 (D.C. Cir. 2008).

### **III. AMENDMENTS TO ATTACHMENT P**

The primary change to Attachment P is the addition of a new section titled “Transmission Service Increments Offered Under the Tariff.” This section provides that Point-To-Point Transmission Service and Network Integration Transmission Service are characterized by service increments and windows consistent with Version 002.1 of the NAESB Wholesale Electric Quadrant Business Practice Standards effective March 11, 2009. It further specifies that SPP offers *only* the following services: (1) Fixed Hourly; (2) Fixed Daily; (3) Extended Weekly; (4) Extended Monthly; and (5) Extended Yearly. The descriptions of these services track the applicable corresponding NAESB standards and describe the same services SPP offers today. This section also clarifies that transmission service products are offered and processed only in Central Prevailing Time and that SPP uses the 24-hour clock for time-keeping.

In addition, the title of Attachment P is revised to read “Transmission Service Timing Requirements,” which more precisely reflects the contents of the attachment than the current title. Attachment P chiefly deals with timing requirements related to applications for service, studies, and type of service, and thus the revised title is appropriate. Furthermore, the existing title of Attachment P “Schedule of Firm Point-To-Point Transmission Service” is inaccurate as Attachment P applies to more than Firm Point-To-Point Service.<sup>7</sup>

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<sup>7</sup> The Table of Contents of the Tariff also is revised to reflect the modified title of Attachment P.

Finally, colons are added to the hourly designations in Attachment P (e.g., the hourly designation now is reflected as 12:00 rather than 1200). This change improves clarity because it makes the time designations in Attachment P more consistent with time designations in the NAESB standards and SPP Business Practices.

The amendments to Attachment P are just and reasonable because, consistent with the PNM Order, they set forth in the Tariff the types of service SPP offers. In addition the amendments clarify the title of the attachment and time designations. These changes will benefit market participants as they alleviate ambiguity as to the types of services SPP offers under its Tariff. Therefore, the Commission should accept the amendments for filing.

#### **IV. ADDITIONAL INFORMATION**

##### **A. Information Required by the Commission's Regulations**

###### **1. Documents submitted with this filing:**

In addition to this transmittal letter, SPP is submitting in electronic format clean and redlined versions of the proposed revisions.

###### **2. Effective date:**

SPP requests that the Commission accept the proposed revisions to the SPP Tariff effective March 25, 2012, 61 days after the date of this filing.

###### **3. Service:**

SPP has served a copy of this filing on all its members and customers and affected state commissions. A complete copy of this filing will be posted on the SPP web site, [www.spp.org](http://www.spp.org).

**4. Requisite agreements:**

The SPP Board of Directors approved the proposed revisions to the SPP Tariff on October 25, 2011. The proposed revisions require no further agreements.

**5. Estimate of transactions and revenues:**

Not applicable.

**6. Basis of rates:**

The basis for the proposed Tariff revisions is explained above.

**7. Comparison to rates for similar services:**

Not applicable.

**8. Specifically assignable facilities installed or modified:**

There are none.

**B. Communications**

Correspondence and communications with respect to this filing should be sent to, and SPP requests the Secretary to include on the official service list, the following:

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**V. CONCLUSION**

For the foregoing reasons, SPP requests that the Commission accept the amendments to Attachment P of the Tariff as just and reasonable, with an effective date of March 25, 2012.

Respectfully submitted,



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Wendy N. Reed  
Carrie L. Bumgarner

**Attorneys for  
Southwest Power Pool, Inc.**

Southwest Power Pool, Inc.  
Open Access Transmission Tariff  
Sixth Revised Volume No. 1  
Superseding  
Fifth Revised Volume No. 1



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**ATTACHMENT P  
TRANSMISSION SERVICE TIMING REQUIREMENTS**

Transmission Service Type	Term	Transmission Requests 2/		Transmission Provider Response to Application	Aggregate Transmission Service Study		Customer Response 1/	Energy Scheduling 2/	
		No Later Than	No Earlier Than 8/					No Later Than	Changes No Later Than
Long Term Firm	1 Year or More	In accordance with the open season specified in Section II of Attachment Z1		At the close of the open season specified in Section II of Attachment Z1	Aggregate Transmission Service Study performed following close of open season as specified in Attachment Z1 in accordance with schedule specified in Sections 19.4 or 32.4 as appropriate		In accordance with the schedule specified in Sections 19.4 or 32.4 as appropriate	12:00 day prior	20 mins prior to hour
Transmission Service Type	Term	Transmission Requests 2/		Transmission Provider Response to Application	Determine Capacity Available	System Impact or Study	Customer Response 1/	Energy Scheduling 2/	
		No Later Than	No Earlier Than 8/		(From Date of Customer Commitment)			No Later Than	Changes No Later Than
Short-Term Firm	More than 1 month (monthly)	31 days prior	120 days prior	24 hrs	30 days	60 days	4 days	12:00 day prior	20 mins prior to hour
Short-Term Firm	1 mo (monthly)	8 days prior	90 days prior	24 hrs	30 days	60 days	4 days	12:00 day prior	20 mins prior to hour
Short-Term Firm	More than 1 wk up to 1 month (weekly)	8 days prior	60 days prior	24 hrs	30 days	60 days	48 hrs	12:00 day prior	20 mins prior to hour

Transmission Service Type	Term	Transmission Requests 2/		Transmission Provider Response to Application	Determine Capacity Available or System Impact Study (From Date of Customer Commitment)		Customer Response 1/	Energy Scheduling 2/ Changes	
		No Later Than	No Earlier Than 8/					No Later Than	No Later Than
Short-Term Firm	1 wk (weekly)	2 days prior	30 days prior	24 hrs	30 days	60 days	48 hrs	12:00 day prior	20 mins prior to hour
Short-Term Firm	More than 1 day up to 1 wk (daily)	2 days prior	14 days prior	24 hrs	30 days	60 days	24 hrs	12:00 day prior	20 mins prior to hour
Short-Term Firm	1 Day (daily)	10:00 day prior	3 days prior 4/	24 hrs	queued > 24 hrs to start: 30 days	60 days	queued > 24 hrs to start: 24 hrs	12:00 day prior	20 mins prior to hour
					queued < 24 hrs to start: best effort		queued < 24 hrs to start: 2 hrs		
Non-Firm	1 month or greater (monthly)	3 days prior	60 days prior	N/A	2 days	N/A	24 hrs	15:00 day prior	20 mins prior to hour

Transmission Service Type	Term	Transmission Requests 2/		Transmission Provider Response to Application	Determine Capacity Available or System Impact Study (From Date of Customer Commitment)		Customer Response 1/	Energy Scheduling 2/	
		No Later Than 8/	No Earlier Than					No Later Than	Changes No Later Than
Non-Firm	1 wk up to 1 mo (weekly)	2 days prior	14 days prior	N/A	4 hrs	N/A	24 hrs	15:00 day prior	20 mins prior to hour

Transmission Service Type	Term	Transmission Requests 2/		Transmission Provider Response to Application	Determine Capacity Available or System Impact Study (From Date of Customer Commitment)		Customer Response 1/	Energy Scheduling 2/	
		No Later Than 8/	No Earlier Than					No Later Than	Changes No Later Than
Non-Firm	1 day up to 1 wk (daily)	12:00 day prior	2 days prior 4/	N/A	30 mins	N/A	2 hrs	15:00 day prior	20 mins prior to hour
Non-Firm On-Peak Hours 9/	16 hours (06:00 – 22:00) (daily)	12:00 day prior	2 days prior 4/	N/A	30 mins	N/A	30 mins	20 mins prior to hour	20 mins prior to hour
Non-Firm Off-Peak Hours 9/	8 hours (00:00 – 06:00 & 22:00 – 24:00) (daily)	12:00 day prior	2 days prior 4/	N/A	30 mins	N/A	30 mins	20 mins prior to hour	20 mins prior to hour
Non-Firm	1 hour up to 1 day (hourly)	30 mins. prior	12:00 day prior	N/A	Queued > 1 hr prior to start: 30 mins	N/A	Queued day prior: 30 mins	20 mins prior to hour	20 mins prior to hour
					Queued < 1 hr prior to start best effort		Queued current day: 5 min 5/		
Next Hour Market	next-hour (hourly)	20 mins prior 3/	1 hour prior 3/	N/A	Best Effort	N/A	N/A 3/	20 mins prior to hour 3/	20 mins. prior to hour 3/

- 1/ For transactions not covered by an umbrella service agreement, the customer response must be execution of a service agreement or a request that an unexecuted service agreement be filed with the Commission pursuant to Section 15.3 of the Tariff. For transactions under an umbrella service agreement, the above times are the deadlines by which time the customer must notify the Transmission Provider of its acceptance of the offer to provide transmission.
- 2/ The Transmission Provider, in its discretion exercised on a non-discriminatory basis, may waive any of these requirements.
- 3/ All Next-Hour Market requests are submitted on schedule request and are deemed to be pre-confirmed.
- 4/ Excluding Sundays and NERC Holidays.
- 5/ Or 23:00 of previous day if for first hour of day.
- 6/ Non-firm schedules will be accepted after 15:00 day prior if there are no new reliability risks identified since the reservation was accepted. This includes but is not limited to NERC TLR in effect.
- 7/ With regard to non-firm hourly for next day transmission involving the DC ties under this Tariff, the following rule applies to limit abuse of the Transmission Provider's scheduling process: If more than ten (10) requests are submitted by the same Transmission Customer or group of affiliated Transmission Customers per DC tie, per direction between 11:55:00 a.m. and 12:05:00 p.m. CPT, then all such requests shall be considered invalid.
- 8/ All transmission service requests received within the first five minutes after the specified deadline shall be deemed as having been received simultaneously. Transmission Provider shall not make such requests publicly available via the OASIS or otherwise until the close of the five minute period. Subject to the preceding sentences, reservation requests received within the five minute period will receive priority--as between other requests received within the five minute period and in relation to reservation requests received thereafter--in accordance with the principle set forth in Sections 13.2 and 14.2 of the SPP OATT. Thereafter, in the event that there is insufficient capacity to meet all requests of an equal priority submitted within such five minute period, the available capacity shall be allocated to such requests on a pro rata basis in proportion to the megawatt quantity of the requests.
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Point-To-Point Transmission Service and Network Integration Transmission Service are further characterized by service increments and windows, consistent with Version 002.1 of the North American Energy Standards Board (NAESB) Wholesale Electric Quadrant (WEQ-001) Business Practice Standards effective March 11, 2009. The Transmission Provider offers only the following service increment and window combinations:

**Fixed Hourly** – The service starts at the beginning of a clock hour and stops at the end of a clock hour.

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Southwest Power Pool, Inc.  
Open Access Transmission Tariff  
Sixth Revised Volume No. 1  
Superseding  
Fifth Revised Volume No. 1



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Transmission Service Type	Term	Transmission Requests 2/		Transmission Provider Response to Application	Aggregate Transmission Service Study	Customer Response 1/	Energy Scheduling 2/		
		No Later Than	No Earlier Than 8/				No Later Than	Changes No Later Than	
Long Term Firm	1 Year or More	In accordance with the open season specified in Section II of Attachment Z1		At the close of the open season specified in Section II of Attachment Z1 <sup>s</sup>	Aggregate Transmission Service Study performed following close of open season as specified in Attachment Z1 in accordance with schedule specified in Sections 19.4 or 32.4 as appropriate	In accordance with the schedule specified in Sections 19.4 or 32.4 as appropriate	12:00 day prior	20 mins prior to hour	
Transmission Service Type	Term	Transmission Requests 2/		Transmission Provider Response to Application	Determine Capacity Available or System Impact Study (From Date of Customer Commitment)	Customer Response 1/	Energy Scheduling 2/		
		No Later Than	No Earlier Than 8/				No Later Than	Changes No Later Than	
Short-Term Firm	More than 1 month (monthly)	31 days prior	120 days prior	24 hrs	30 days	60 days	4 days	12:00 day prior	20 mins prior to hour
Short-Term Firm	1 mo (monthly)	8 days prior	90 days prior	24 hrs	30 days	60 days	4 days	12:00 day prior	20 mins prior to hour
Short-Term Firm	More than 1 wk up to 1 month (weekly)	8 days prior	60 days prior	24 hrs	30 days	60 days	48 hrs	12:00 day prior	20 mins prior to hour

Transmission Service Type	Term	Transmission Requests 2/		Transmission Provider Response to Application	Determine Capacity Available or System Impact Study (From Date of Customer Commitment)		Customer Response 1/	Energy Scheduling 2/ Changes	
		No Later Than	No Earlier Than 8/					No Later Than	No Later Than
Short-Term Firm	1 wk (weekly)	2 days prior	30 days prior	24 hrs	30 days	60 days	48 hrs	12:00 day prior	20 mins prior to hour
Short-Term Firm	More than 1 day up to 1 wk (daily)	2 days prior	14 days prior	24 hrs	30 days	60 days	24 hrs	12:00 day prior	20 mins prior to hour
Short-Term Firm	1 Day (daily)	10:00 day prior	3 days prior 4/	24 hrs	queued > 24 hrs to start: 30 days	60 days	queued > 24 hrs to start: 24 hrs	12:00 day prior	20 mins prior to hour
					queued < 24 hrs to start: best effort		queued < 24 hrs to start: 2 hrs		
Non-Firm	1 month or greater (monthly)	3 days prior	60 days prior	N/A	2 days	N/A	24 hrs	15:00 day prior	20 mins prior to hour

Transmission Service Type	Term	Transmission Requests 2/		Transmission Provider Response to Application	Determine Capacity Available or System Impact Study (From Date of Customer Commitment)		Customer Response 1/	Energy Scheduling 2/	
		No Later Than 8/	No Earlier Than					No Later Than	Changes No Later Than
Non-Firm	1 wk up to 1 mo (weekly)	2 days prior	14 days prior	N/A	4 hrs	N/A	24 hrs	15:00 day prior	20 mins prior to hour

Transmission Service Type	Term	Transmission Requests 2/		Transmission Provider Response to Application	Determine Capacity Available or System Impact Study (From Date of Customer Commitment)		Customer Response 1/	Energy Scheduling 2/	
		No Later Than 8/	No Earlier Than		No Later Than	Changes No Later Than			
Non-Firm	1 day up to 1 wk (daily)	12:00 day prior	2 days prior 4/	N/A	30 mins	N/A	2 hrs	15:00 day prior	20 mins prior to hour
Non-Firm On-Peak Hours 9/	16 hours (06:00 – 22:00) (daily)	12:00 day prior	2 days prior 4/	N/A	30 mins	N/A	30 mins	20 mins prior to hour	20 mins prior to hour
Non-Firm Off-Peak Hours 9/	8 hours (00:00 – 06:00 & 22:00 – 24:00) (daily)	12:00 day prior	2 days prior 4/	N/A	30 mins	N/A	30 mins	20 mins prior to hour	20 mins prior to hour
Non-Firm	1 hour up to 1 day (hourly)	30 mins. prior	12:00 day prior	N/A	Queued > 1 hr prior to start: 30 mins	N/A	Queued day prior: 30 mins	20 mins prior to hour	20 mins prior to hour
					Queued < 1 hr prior to start best effort		Queued current day: 5 min 5/		
Next Hour Market	next-hour (hourly)	20 mins prior 3/	1 hour prior 3/	N/A	Best Effort	N/A	N/A 3/	20 mins prior to hour 3/	20 mins. prior to hour 3/

- 1/ For transactions not covered by an umbrella service agreement, the customer response must be execution of a service agreement or a request that an unexecuted service agreement be filed with the Commission pursuant to Section 15.3 of the Tariff. For transactions under an umbrella service agreement, the above times are the deadlines by which time the customer must notify the Transmission Provider of its acceptance of the offer to provide transmission.
- 2/ The Transmission Provider, in its discretion exercised on a non-discriminatory basis, may waive any of these requirements.
- 3/ All Next-Hour Market requests are submitted on schedule request and are deemed to be pre-confirmed.
- 4/ Excluding Sundays and NERC Holidays.
- 5/ Or 23:00 of previous day if for first hour of day.
- 6/ Non-firm schedules will be accepted after 15:00 day prior if there are no new reliability risks identified since the reservation was accepted. This includes but is not limited to NERC TLR in effect.
- 7/ With regard to non-firm hourly for next day transmission involving the DC ties under this Tariff, the following rule applies to limit abuse of the Transmission Provider's scheduling process: If more than ten (10) requests are submitted by the same Transmission Customer or group of affiliated Transmission Customers per DC tie, per direction between 11:55:00 a.m. and 12:05:00 p.m. CPT, then all such requests shall be considered invalid.
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