**Regulatory Status Report**

**FERC or State Jurisdiction: FERC**

**10-1227**  
**SPP Filing of FERC Form 1 and 3-Q**

On May 18, 2012, Southwest Power Pool filed a revised FERC Form No. 1, Annual Report of Major Electric Utilities, for the fourth quarter ending 2011.

**10-1273**  
**SPP’s CPA Certification Statement**


**AD12-8**  
**Non-RTO/ISO Performance Metrics**

Several entities filed comments in response to the request for comments issued on February 23, 2012.

**AD12-10**  
**Reactive Power Sources**

Numerous entities submitted Post-Technical Conference comments.

**CE11-19.002**  
**Ajit Kulkarni’s Request for Critical Energy Infrastructure Information - Nexant, Inc. (Additional Requester)**


On May 21, 2012, SPP submitted comments on this request.

**CE12-43**  
**Gary Michael Charles’ Request for Critical Energy Infrastructure Information - Entergy Corporation**

FERC issued a Notice of Intent to Release on May 21, 2012.

**CE12-44**  
**Norman Richardson, et al.’s Request for Critical Energy Infrastructure Information - Ventyx, an ABB Company**

FERC issued a Notice of Intent to Release on May 21, 2012.

**CE12-58**  
**Scott Woods’ Request for Critical Energy Infrastructure Information - EPG Inc.**

FERC issued a Notice of Intent to Release on April 25, 2012.

**CE12-60**  

FERC issued a Notice of Intent to Release on May 17, 2012.

**CE12-84**  
**Valerie Ege, et al.’s Request for Critical Energy Infrastructure Information - Cargill Power Markets, LLC**

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CE12-84.001 Andrew Morris, et al.’s Request for Critical Energy Infrastructure Information - Cargill ETM Analytics (Additional Requesters)

On May 18, 2012, Valerie Ege, Compliance Manager, Cargill Power Markets, LLC, requested to add Andrew Morris, John Vylasek, and Lionel Gordon, to her original FERC CEII Request in CE12-84 to obtain a copy of all FERC Form No. 715 data to work with Ventyx.

CE12-85 Dr. Martin Baughman’s Request for Critical Energy Infrastructure Information


CE12-86 Shawn Szydlik’s Request for Critical Energy Infrastructure Information - SAIC Energy, Environment & Infrastructure, LLC


CE12-90 Manos Obessis, et al.’s Request for Critical Energy Infrastructure Information - PowerGEM, LLC

FERC issued a Notice of Intent to Release on May 17, 2012.

CE12-92 Sunil Nair’s Request for Critical Energy Infrastructure Information - SAIC Energy, Environment & Infrastructure, LLC


CE12-93 Debbie Branch’s Request for Critical Energy Infrastructure Information - Genova Power Advisors, LLC


CE12-95 Andrew Isaacs’ Request for Critical Energy Infrastructure Information - Electranix Corporation

On April 27, 2012, SPP submitted comments on this request.

CE12-98 David Wheat’s Request for Critical Energy Infrastructure Information - New York State Department of Public Service

On May 7, 2012, David Wheat, Principal Economist - Regulatory Economics for the New York State Department of Public Service, filed a FERC CEII request for all FERC Form No. 715 data, as noticed May 7, 2012.

On May 14, 2012, SPP submitted comments on this request.

CE12-99 W. Howard Phillips’ Request for Critical Energy Infrastructure Information - WH Power Consultants

Regulatory Status Report

On May 3, 2012, SPP submitted comments on this request.


On April 26, 2012, Teresa Ives, Office Operations Manager for Electric Power Engineers, Inc., filed a FERC CEII request on behalf of herself and eight additional employees to obtain a copy of all FERC Form No. 715 data, as noticed May 3, 2012.

On May 10, 2012, SPP submitted comments on this request.

CE12-101 Yohan Sutjandra, et al.’s Request for Critical Energy Infrastructure Information - The Energy Authority

On April 23, 2012, Yohan Sutjandra, Senior Qualitative Analyst for The Energy Authority, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data on behalf of himself and six additional requesters, as noticed May 1, 2012.

On May 3, 2012, SPP submitted comments on this request.


On April 27, 2012, Adam Mummert, Electrical Engineer for Burns & McDonnell, Inc., filed a FERC CEII request on behalf of himself and sixteen additional employees to obtain a copy of all FERC Form No. 715 data, as noticed May 4, 2012.

On May 10, 2012, SPP submitted comments on this request.

CE12-103 Omar Martino’s Request for Critical Energy Infrastructure Information - enXco

On April 30, 2012, Omar Martino, Director, Transmission Strategy for enXco, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed May 7, 2012.

On May 14, 2012, SPP submitted comments on this request.


On May 18, 2012, Omar Martino, Director, Transmission Strategy for enXco, requested to add Damien Buie, Director of Innovation, and Todd A. Perry, Director, Valuation and Analytics, to his original FERC CEII request for FERC Form No. 715 data in CE12-103.

CE12-104 Jon Jamieson’s Request for Critical Energy Infrastructure Information - Self

On April 24, 2012, Jon Jamieson filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data for ERCOT, WECC, and their associated utilities, as noticed May 8, 2012. This request is not applicable to SPP.

On May 14, 2012, SPP submitted comments on this request.

CE12-105 Paul Steckley’s Request for Critical Energy Infrastructure Information - Brookfield Renewable Energy Group
On April 30, 2012, Paul Steckley, Director, Transmission Development for Brookfield Renewable Energy Group, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed May 7, 2012.

On May 14, 2012, SPP submitted comments on this request.

**CE12-109**


**EL10-55**


On May 7, 2012, FERC issued Opinion No. 519, Order on Initial Decision. The Commission affirmed that the determination of the Presiding Administrative Law Judge that the Louisiana Commission has not met its burden of proof under section 206 of the Federal Power Act to show the existing bandwidth formula is unjust and unreasonable or unduly discriminatory or preferential. Because the existing bandwidth formula has not been shown to be unjust and unreasonable, FERC affirmed the Presiding Judge's conclusion that it is not necessary to evaluate proposed alternatives to the existing bandwidth formula.

**EL12-2**

*Investigation Under Section 206 of the Federal Power Act to Determine the Justness and Reasonableness of Certain Language in Section VII.8(b) of Attachment O of SPP’s Tariff*


On May 14, 2012, in Docket No. ER12-1779, SPP submitted revisions to the Entergy-SPP Seams Agreement governing regional transmission planning pursuant to Order No. 890, in compliance with the February 29, 2012 Order issued in Docket Nos. ER09-659 and EL12-2. SPP Rate Schedule No. 11.

An effective date of May 14, 2012 was requested.

**EL12-47**

*Investigation Under Section 206 of the Federal Power Act to Determine the Justness and Reasonableness of the Rate Reduction in the Service Agreement Filed in Docket No. ER12-891*


**EL12-51**

*Investigation Under Section 206 of the Federal Power Act to Determine the Justness and Reasonableness of the Rate Reduction in the Service Agreement Filed in Docket Nos. ER12-1017 and ER12-1018*


**EL12-59**

Complaint of Golden Spread Electric Cooperative, Inc. ("Golden Spread") Against Southwestern Public Service Company ("SPS") Regarding Rate of Return on Common Equity ("ROE") Input Value for the Formula Rate Applicable to the Replacement Power Sales Agreement ("RPSA")

On May 7, 2012, SPP filed a Motion to Intervene.

On May 10, 2012, Occidental Permian, Ltd. filed a Motion to Intervene.

On May 10, 2012, the West Texas Municipal Power Agency filed a Motion to Intervene and Statement of Support of the Complaint.

On May 10, 2012, the New Mexico Cooperatives and Sharyland Utilities, L.P. filed Motions to Intervene.

On May 10, 2012, Tri-County Electric Cooperative, Inc. filed a Motion to Intervene and Comments in Support of Complaint.


SPS requested that FERC deny the Complaint. If the Commission does not deny the Complaint, SPS requested that FERC deny Golden Spread's requests for a paper hearing and Fast Track Procedures and, instead, set the Complaint for a trial-type hearing and settlement judge procedures.

**EL12-60**

SPP, Western Area Power Administration ("Western"), Basin Electric Power Cooperative ("Basin Electric") and Heartland Consumers Power District ("Heartland") Filing of a Joint Petition for Declaratory Order and Request for Shortened Notice Period and for Expedited Treatment Seeking Confirmation that the Terms of the Congestion Management Process ("CMP") in the Joint Operating Agreement ("JOA") between SPP and the Midwest Independent Transmission System Operator, Inc. ("MISO") Apply to the Reciprocal Coordinated Flowgates ("RCFs") of a Third Party Who has Entered into a Reciprocal Coordination Agreement with SPP

On April 27, 2012, Westar Energy, Inc. filed a Motion to Intervene.

On May 8, 2012, Nebraska Public Power District filed a Motion to Intervene.


On May 11, 2012, Missouri River Energy Services filed a Motion to Intervene.

On May 21, 2012, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Joint Motion to Intervene.
SPP Filing of an Executed Letter Agreement Regarding Inter-Regional Planning between SPP and Entergy Services, Inc. (Entergy Seams Agreement)


On May 14, 2012, in Docket No. ER12-1779, SPP submitted revisions to the Entergy-SPP Seams Agreement governing regional transmission planning pursuant to Order No. 890, in compliance with the February 29, 2012 Order issued in Docket Nos. ER09-659 and EL12-2. SPP Rate Schedule No. 11.

An effective date of May 14, 2012 was requested.

SPP Order No. 745 Compliance Filing Pursuant to the Final Rule Issued Concerning Demand Response Compensation in Organized Wholesale Energy Markets in Docket No. RM10-17


SPP Submission of Tariff Revisions to Incorporate Kansas Power Pool ("KPP") as a Transmission Owner

On May 1, 2012, FERC issued a Settlement Status Report. The settlement judge recommended that settlement judge procedures be continued at this time. A formal settlement conference will be held at FERC on August 8, 2012.

On May 4, 2012, SPP submitted an Informational Filing to notify the Commission and the parties in this proceeding that SPP will be updating the Annual Transmission Revenue Requirement for Kansas Power Pool effective as of December 20, 2011.

Midwest Independent Transmission System Operator, Inc. ("MISO") and the MISO Transmission Owners ("MISO TOs") Filing to Amend Open Access Transmission, Energy and Operating Reserve Markets Tariff Provisions on Allocation of Network Upgrade Costs in Connection with Transition and Integration of Entergy Corporation and its Operating Companies


Westar requested that the Commission clarify its decision in paragraphs 196 and 201 of its Order regarding whether MISO will charge the Multi-Value Project Usage Rate ("MUR") to external market participants with export and wheel-through transactions and, if so, whether the MUR will also be charged to energy transferred between the First Planning Area to the Second Planning Area. If the Commission intended to approve MISO’s proposal to charge the MUR to external market participants but not to the Second Planning Area, Westar requested rehearing of that decision because it will result in unduly discriminatory treatment of similarly situated entities.

On May 21, 2012, MISO submitted its Compliance Filing pursuant to the April 19, 2012 Order.

An effective date of June 1, 2013 was requested.

On May 21, 2012, the East Texas Cooperatives filed a Request for Rehearing of the April 19,
The Cooperatives stated:
1) the Commission improperly ignored its prior findings that the benefits of MISO’s Multi-Value Projects (“MVP”) are system-wide, not regional;
2) Entergy should not be exempted from cost recovery for MVP benefits enjoyed upon joining MISO during the transition and phase-in periods.


AECC stated:
1) the Commission should clarify or order on rehearing that the Multi-Value Projects usage rate for a Planning Area’s internal transactions and its export and wheel-through transactions should be the same; and
2) the Commission should clarify or hold on rehearing that the April 19 Order does not preclude or prejudge any future Commission proceeding to determine whether a MISO that includes Entergy would satisfy the scope and configuration requirements for Regional Transmission Organizations or should be required to enter into a seams arrangement with SPP eliminating rate pancaking between them.

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ER12-891  Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner


ER12-959  SPP Submission of Revisions to OATT to Implement a Formula Rate for Transmission Service for Tri-County Electric Cooperative, Inc. ("Tri-County"), a Transmission Owner in the Southwestern Public Service Company ("SPS") Zone


On April 26, 2012, Occidental Permian Ltd. and Occidental Power Marketing, L.P. and the New Mexico Cooperatives filed a Request for Expedited Clarification or, in the Alternative, Rehearing.


On May 10, 2012, Tri-County Electric Cooperative, Inc. filed an Answer in opposition to the Motion for Stay filed by Xcel Energy Services Inc. on April 25, 2012.

Regulatory Status Report

ER12-1017
Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kaw Valley Electric Cooperative ("Kaw Valley") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner


ER12-1018


ER12-1179
SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace

On May 2, 2012, the Midwest Independent Transmission System Operator, Inc. filed a Motion to Intervene Out of Time and Comments.

MISO stated it is concerned that the proposed SPP-Western Area Power Administration Joint Operating Agreement imposes a contract path methodology in SPP’s Integrated Marketplace, which may be inconsistent with the market design proposed by SPP.

On May 3, 2012, SPP filed a copy of the un-redacted version of the Market Power Study performed by Potomac Economics, Ltd, which was attached in redacted form as Exhibit SPP-6 to SPP’s February 29, 2012 Filing.


On May 15, 2012, SPP filed an answer in response to comments and protests submitted in this proceeding.

SPP stated:
1) SPP fully addressed all market power and market mitigation issues in the design of the Integrated Marketplace;
2) challenges to SPP’s proposed treatment of grandfathered agreements are unsupported and should be rejected;
3) the Integrated Marketplace filing sufficiently addresses firm transmission rights in the first year of market operation;
4) criticisms of SPP’s Day-Ahead must offer requirement ignore Commission precedent;
5) intervenors misinterpret SPP’s statements regarding reserve sharing;
6) the proposed Integrated Marketplace registration moratorium will not preclude resources from coming on-line;
7) SPP’s proposed Auction Revenue Rights methodology is just and reasonable;
8) the Integrated Marketplace accommodates bilateral transactions;
9) the Variable Energy Resource ("VER") provisions of the Integrated Marketplace are appropriate;
10) Western Area Power Administration's ("Western") proposed tariff revisions should be vetted through conventional SPP Stakeholder processes;
11) DC Energy's challenges to the Integrated Marketplace design should be rejected;
12) contrary to Midwest Independent Transmission System Operator, Inc.'s suggestion, SPP’s Joint Operating Agreement with Western will have little impact on the implementation of the Integrated Marketplace;
13) Nebraska Public Power District's request to be held harmless from congestion and marginal loss charges should be rejected; and
14) the Integrated Marketplace registration provisions are based on the EIS Market provisions and are reasonable.


The parties stated that MISO raised issues that are outside of this proceeding and mischaracterized the impact of the Joint Operating Agreement between SPP and Western on SPP’s Integrated Marketplace.

**ER12-1282**

**SPP Submission of Tariff Revisions to Modify Provisions Governing Reassignment of Transmission Service (Attachment A-1, Section 23)**

On May 9, 2012, FERC issued an order accepting the tariff revisions, effective May 16, 2012.

This order constitutes final agency action.

**ER12-1298**

**Transmission Interconnection Agreement Between AEP Oklahoma Transmission Company, Inc. ("AEPOTC") and ITC Great Plains, LLC ("ITC"), with SPP as Signatory**

On May 9, 2012, FERC issued a letter order accepting the agreement, effective March 1, 2012 as requested.

This order constitutes final agency action.

**ER12-1352**

**SPP Submission of Ministerial Tariff Revisions to Make Changes to Formula Rate Templates**


This order constitutes final agency action.

**ER12-1354**

**Notice of Cancellation for the Meter Agent Services Agreement between Omaha Public Power District ("OPPD") as Market Participant and Meter Agent**

On May 4, 2012, FERC issued a letter order accepting the Notice of Cancellation, effective February 1, 2012 as requested.

This order constitutes final agency action.
ER12-1423  Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Osage City, Kansas ("Osage City") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On May 22, 2012, FERC issued a letter order accepting the agreement, effective March 1, 2012 as requested.

This order constitutes final agency action.

ER12-1552  SPP Submission of Tariff Revisions to Implement Balanced Portfolio Transfers

On April 30, 2012, Oklahoma Gas and Electric Company filed a Motion to Intervene.


On May 7, 2012, SPP filed a Motion to Withdraw its proposed tariff revisions.

ER12-1586  SPP Submission of an Executed Joint Operating Agreement ("JOA") Between SPP and Western Area Power Administration, Upper Great Plains Region ("Western") (FERC Rate Schedule No. 13)

On April 27, 2012, American Electric Power Service Corporation filed a Motion to Intervene.

On May 8, 2012, Nebraska Public Power District filed a Motion to Intervene.


On May 11, 2012, Missouri River Energy Services filed a Motion to Intervene.

On May 11, 2012, Western Area Power Administration filed a Motion to Intervene and Comments in Support of SPP’s April 20, 2012 Filing.

On May 11, 2012, Xcel Energy Services Inc. filed a Motion to Intervene and Protest.

Xcel stated recommended that the Commission accept the agreement, but conditioned upon: 1) SPP/Western clarifying that the Congestion Management Process ("CMP") is the controlling document for identifying flowgates, allocating capacity, enabling the sale of transmission service, and management of the flowgates for both the day-ahead and real-time operations; 2) SPP/Western confirming that the equivalent transmission service rights are granted under the CMP and payment is made in kind for those rights; 3) SPP/Western clarify that further transmission service or compensation is not required; and 4) requiring SPP/Western to utilize a consistent approach to congestion management for the entire seam and in doing so decrease the potential that pre-determined approaches to congestion management will undermine the CMP.


On May 11, 2012, Heartland Consumers Power District filed a Motion to Intervene and Comments in Support of SPP’s April 20, 2012 Filing.
On May 11, 2012, the MISO Transmission Owners filed a Motion to Intervene and Comments. The MISO Transmission Owners stated they are concerned that Western is using the proposed JOA to circumvent Commission-approved provisions of the Midwest Independent Transmission System Operator, Inc.’s Open Access Transmission, Energy and Operating Reserve Markets Tariff and that the proposed JOA will create inefficiencies and costs.

On May 11, 2012, Tatanka Wind Power, LLC filed a Motion to Intervene.

On May 16, 2012, Exelon Corporation filed a Motion to Intervene Out-of-Time.

On May 4, 2012, American Electric Power Service Corporation filed a Motion to Intervene.

On May 15, 2012, Exelon Generation Company, LLC filed a Motion to Intervene and Comments.

Exelon requested that FERC accept the unexecuted service agreement subject to SPP making a compliance filing to revise the agreement to ensure that it properly reflects the SPP Tariff provisions regarding the rights of Qualifying Facilities in SPP selling under the Public Utility Regulatory Policies Act (PURPA) consistent with the requirements of Section 1.2.2(g) of Attachment AE to the SPP Tariff.

On May 15, 2012, Xcel Energy Services Inc. filed a Motion to Intervene and Protest.

Xcel Stated the Commission should not accept SPP’s filing because:
1) it does not fall within the scope of Section 1.2.2(g) of Attachment AE of SPP’s Tariff;
2) the Commission should uphold the settlement agreement from Docket No. EL07-87;
3) acceptance of the agreement will harm Southwestern Public Service Company through the imposition of Energy Imbalance Service (EIS) market charges and credits on behalf of Exelon; and
4) acceptance of the agreement may affect pending Texas State Court litigation to enforce the Registration Agreement.


An effective date of May 1, 2012 was requested.

On April 30, 2012, SPP submitted an executed NITSA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer, as well as a NOA with Sunflower, Midwest Energy, Inc., ITC Great Plains, LLC, and Mid-Kansas Electric Company,
Regulatory Status Report

LLC as Host Transmission Owners. SPP Service Agreement No. Second Revised 2028.

An effective date of April 1, 2012 was requested.

ER12-1671  Transmission Interconnection Agreement Between Midwest Energy, Inc.("Midwest") and ITC Great Plains, LLC ("ITC"), with SPP as Signatory

On May 1, 2012, SPP filed a Transmission Interconnection Agreement between Midwest Energy, Inc. and ITC Great Plains, LLC, with SPP as signatory. SPP Service Agreement No. 2416.

An effective date of June 1, 2012 was requested.

ER12-1674  Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Union Wind, LLC ("Union Wind") as Interconnection Customer and KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Transmission Owner

On April 30, 2012, SPP submitted a Notice of Cancellation of the GIA between SPP as Transmission Provider, Union Wind, LLC as Interconnection Customer, and KCPL&L Greater Missouri Operations Company as Transmission Owner. SPP Service Agreement No. 2044.

An effective date of April 29, 2012 was requested.

ER12-1677  Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners


An effective date of April 1, 2012 was requested.

ER12-1710  Notice of Cancellation of Two Firm Point-To-Point Transmission Service Agreements between SPP as Transmission Provider and Kansas City Power & Light Company ("KCPL") as Transmission Customer


An effective date of April 1, 2012 was requested.

ER12-1713  Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner; and Notice of Cancellation for Four NITSA

On May 3, 2012, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2415.

An effective date of April 1, 2012 was requested.


ER12-1779

**SPP Filing of an Executed Letter Agreement Regarding Inter-Regional Planning between SPP and Entergy Services, Inc. (Entergy Seams Agreement)**

On May 14, 2012, in Docket No. ER12-1779, SPP submitted revisions to the Entergy-SPP Seams Agreement governing regional transmission planning pursuant to Order No. 890, in compliance with the February 29, 2012 Order issued in Docket Nos. ER09-659 and EL12-2. SPP Rate Schedule No. 11.

An effective date of May 14, 2012 was requested.

ER12-1802

**Affected Systems’ Facilities Construction Agreement ("FCA") Between SPP as Transmission Provider, Osage Wind, LLC ("Osage Wind") as Interconnection Customer, and Public Service Company of Oklahoma ("PSO") as Transmission Owner**


An effective date of April 16, 2012 was requested.

ER12-1819

**Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Goodland Wind, LLC ("Goodland Wind") as Interconnection Customer and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner**


An effective date of April 21, 2012 was requested.

ER12-1820

**Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Rio Blanco Wind Farm, LLC ("Rio Blanco") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner**

On May 21, 2012, SPP submitted a Notice of Cancellation of the GIA between SPP as Transmission Provider, Rio Blanco Wind Farm, LLC as Interconnection Customer, and Southwestern Public Service Company as Transmission Owner. SPP Service Agreement No. 2152.

An effective date of July 9, 2012 was requested.

PL12-1

**The Commission’s Role Regarding the Environmental Protection Agency’s ("EPA") Mercury and Air Toxics Standards ("MATS")**

On May 17, 2012, FERC issued its Policy Statement to explain how it will provide advice to
the EPA for it to rule on requests for Administrative Orders to operate in noncompliance with EPA’s Mercury and Air Toxics Standards.

**RC11-6**

**North American Electric Reliability Corporation’s ("NERC") Petition Requesting Approval of New Enforcement Mechanisms and Submittal of Initial Informational Filing Regarding NERC's Efforts to Refocus Implementation of Its Compliance Monitoring and Enforcement Program ("CMEP")**


**RD11-3**


**RM01-8**

**Public Utility Filing Requirements for Electric Quarterly Reports ("EQR")**


**RM06-16**

**Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006**

On May 4, 2012, FERC issued an Order on Motion for Extension of Time and Setting Compliance Schedule. The Commission set a compliance deadline of November 5, 2012 for NERC to complete its analysis and research related to Reliability Standard BAL-003-0. The Commission granted an extension to May 2013 for NERC to complete the remainder of its work and file a revised BAL-003-0 Reliability Standard as directed in Order No. 693.

**RM10-13**

**Notice of Proposed Rulemaking: Credit Reforms in Organized Wholesale Electric Markets**

On April 30, 2012, SPP filed a Motion for Extension of Time to Submit Compliance Filing and Request for a Waiver to Defer Implementation of Compliance Requirement.

SPP requested an extension of time to December 31, 2012 to develop and submit its Order No. 741 Compliance Filing.

Additionally, SPP requested a waiver of the Order No. 741 compliance filing effective date so that SPP may defer implementation of its compliance with the netting requirement until the Integrated Marketplace commences on March 1, 2014.


On May 14, 2012, FERC issued a Notice of Extension of Time granting an extension of time to
and including December 31, 2012, for SPP to submit its filing to comply with the netting requirements of Order No. 741.

**RM10-23 Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities**

On May 17, 2012, FERC issued Order No. 1000-A, Order on Rehearing and Clarification.

FERC affirmed its basic determinations in Order No. 1000, amending the transmission planning and cost allocation requirements established in Order No. 890 to ensure that Commission-jurisdictional services are provided at just and reasonable rates and on a basis that is just and reasonable and not unduly discriminatory or preferential.

FERC clarified that Public Policy Requirements established by state or federal laws or regulations includes duly enacted laws or regulations passed by a local governmental entity, such as a municipal or county government.

FERC clarified that participation in the development of the regional transmission planning process and regional cost allocation method that a public utility transmission provider will submit to the Commission to comply with Order No. 1000 does not obligate a non-public utility transmission provider to choose to join the transmission planning region by enrolling and thus be eligible to be allocated costs under its regional cost allocation method. FERC further stated that the regional transmission planning process is not required to plan for the transmission needs of such a non-public utility transmission provider that has not made the choice to join a transmission planning region.

FERC stated is not requiring public utility transmission providers to eliminate a federal right of first refusal before the Commission makes a determination regarding whether an agreement is protected by a Mobile-Sierra provision and whether the Commission has met the applicable standard of review, while at the same time the Commission is ensuring that the Order No. 1000 compliance process proceeds expeditiously and efficiently.

FERC also stated it is not requiring that any federal or state laws or regulations themselves be considered as part of the transmission planning process.

**RM11-11 Version 4 Critical Infrastructure Protection Reliability Standards (CIP-002-4 through CIP-009-4)**

On May 18, 2012, the National Rural Electric Cooperative Association and the American Public Power Association filed a Motion for Limited Clarification, or in the Alternative, Request for Rehearing of Order No. 761 issued on April 19, 2012.

The parties requested that the Commission clarify Order No. 761 consistent with the requirements of the Regulatory Flexibility Act.

**RM11-18 Transmission Planning Reliability Standards (Order No. 762)**

On May 21, 2012, NERC filed a Request for Reconsideration, or in the Alternative, Rehearing of Order Remanding the Transmission Planning Reliability Standards.

NERC requested FERC grant reconsideration or rehearing of its directive in Paragraph 21 of Order No. 762 to afford NERC time to respond to the order and produce a permanent fix to the standard.

This rule becomes effective on July 10, 2012.

On May 4, 2012, the California Utilities filed a Motion for Leave to File Reply Comments and Reply Comments. The California Utilities requested that the Commission investigate the complicated issues posted by possible modifications to the Small Generator Interconnection Procedures through a technical conference or other procedure prior to initiating any rulemaking.


Edison stated that SEIA has not met its burden under FPA Section 206, and therefore requested that FERC deny SEIA’s Petition.

FERC or State Jurisdiction: State of Arkansas

08-136-U
In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas


08-137-U
In the Matter of the Consideration of Innovative Approaches to Ratebase, Rate of Return Ratemaking Including, but not limited to, Annual Earnings Reviews, Formula Rates, and Incentive Rates for Jurisdictional Electric and Natural Gas Public Utilities

On May 7, 2012, Arkansas Electric Consumers, Inc. and Arkansas Gas Consumers, Inc. filed a Petition for Rehearing of the April 18, 2012 Arkansas Court of Appeals decision.

On May 17, 2012, the APSC filed its Response to Petition for Rehearing and Brief in Support filed on May 7, 2012 in Arkansas Court of Appeals Case No. CA 11-496.

On May 17, 2012, the APSC filed its Response to Petition for Review filed on May 7, 2012 in Arkansas Court of Appeals Case No. CA 11-496.

On May 17, 2012, Entergy Arkansas, Inc. filed its Response to Appellants' Petition for Rehearing filed on May 7, 2012 in Arkansas Court of Appeals Case No. CA 11-496.

10-011-U
In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets

On April 27, 2012, Staff and Intevenors filed Surrebuttal Testimony.

On April 27, 2012, Carl Monroe filed Surrebuttal Testimony on behalf of SPP.

On April 30, 2012, Entergy Arkansas, Inc. filed a Motion to Present Witnesses as a Panel.

On May 1, 2012, Entergy Arkansas, Inc. filed its Responses to Order No. 54.

On May 1, 2012, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI pursuant to Order No. 54.

On May 2, 2012, APSC Staff filed its Response to Motion to Present Witnesses as a Panel filed by Entergy on April 30, 2012.

Staff agreed that a panel format may be administratively efficient; and therefore, Staff requested that its witnessed also be allowed to appear as a panel at the hearing.

On May 9, 2012, the Midwest Independent Transmission System Operator, Inc. filed a Motion to Present Witnesses as a Panel.

On May 10, 2012, the Midwest Independent Transmission System Operator, Inc. filed its Supplement to its Motion to Present Witnesses as a Panel.


On May 11, 2012, APSC Staff filed its Supplement to Response to Motion to Present Witnesses as a Panel, requesting that its witnesses be allowed to appear as a panel at the hearing beginning May 30, 2012.

On May 14, 2012, Entergy Arkansas, Inc. filed its Supplement to Motion to Present Witnesses as a Panel.


AECI stated it does not oppose Entergy's decision to join a Regional Transmission Organization. However, states that that decision should not cause harm to AECI, and AECI seeks to eliminate the potential for harm are:
1) use of the Missouri-Arkansas EHV Interconnection;
2) existing point-to-point transmission service on Entergy's system; and
3) loop flows.


On May 15, 2012, the Attorney General's Office filed a Motion to Waive Cross-Examination and Excuse the Attorney General's Witnesses Kevin Woodruff and William Marcus, or Alternatively, Present William Marcus on a Date Certain.

On May 15, 2012, SPP filed its Motion to Present a Witness Out of Order.

On May 15, 2012, the City of North Little Rock, Arkansas filed a Motion to Waive Cross-Examination and Excuse NLR Witness John W. Chiles.


On May 15, 2012, Arkansas Cities filed a Motion to Waive Cross-Examination and Excuse the City of Prescott, Arkansas and the Hope Water & Light Commission's Witness Henry H. Thompson, Jr. and Arkansas Cities Position on Cross-Examination of Other Parties Witnesses.

On May 15, 2012, the Midwest Independent Transmission System Operator, Inc. filed a Motion for Leave to Supplement the Record. MISO requested that it be permitted to supplement the record with any MISO governance proposal that may become available after noon on May 15, 2012.

Any proposed Settlement Agreements and/or Stipulations and supporting testimonies due by Noon (Order No. 57 issued December 16, 2011)

On May 16, 2012, Entergy Arkansas, Inc. filed its Response to SPP's Motion to Present a Witness Out of Order.

On May 18, 2012, the APSC issued Order No. 63, granting numerous procedural motions. The APSC also established procedures for the conduct of the evidentiary hearing scheduled to


On April 30, 2012, Kim Davis filed Supplemental Testimony on behalf of the APSC General Staff.

Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements

On May 1, 2012, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On May 7, 2012, the Advisors to the Council of the City of New Orleans filed Direct Testimony.
Regulatory Status Report

FERC or State Jurisdiction: State of Louisiana


On April 25, 2012, Midwest Independent Transmission System Operator, Inc. filed a Motion to Substitute Witnesses.


On April 27, 2012, the Parties filed a Joint Witness List.

A hearing was held May 2, 2012.


U-32300  Application of Entergy Louisiana, Inc. and Entergy Gulf States, Inc. for Approval of Interim Extension of Independent Coordinator of Transmission ("ICT") Arrangement and Change of Services Provider from Southwest Power Pool, Inc. ("SPP") to Midwest Independent Transmission System Operator, Inc. ("MISO")


Entergy requested the Commission to approve an interim extension of the ICT arrangement through and until December 31, 2013, or until the proposed transfer of Entergy's transmission assets to the functional control of MISO is completed. Entergy also asked the Commission to find that the extension of the ICT arrangement and change of services provider is in the public interest.

On May 1, 2012, Louisiana Energy Users Group filed a Notice of Intervention.

On May 1, 2012, the Midwest Independent Transmission System Operator, Inc. filed a Notice of Intervention.

On May 2, 2012, NRG Power Marketing, LLC and Louisiana Generating, LLC filed a Notice of Intervention.

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On May 7, 2012, SPP filed a Petition of Intervention and Protest.
On May 7, 2012, Cleco Power, LLC filed a Petition to Intervene.

On April 27, 2012, Ameren Missouri filed a Motion for Clarification of Report and Order.

On May 17, 2012, the MPSC issued an Order Granting Ameren Missouri's Motion to Clarify Report and Order. Ordered Paragraph 2 of the Report and Order issued on April 19, 2012 was withdrawn and replaced with language in the May 17, 2012 Order.

In the Matter of the Application of Kansas City Power & Light Company ("KCPL") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.

On April 30, 2012, MoPSC Staff filed its Status Report. Staff recommended that the Commission schedule a joint prehearing conference in this proceeding and in File No. EO-2012-0136.

In the Matter of the Application of KCP&L Greater Missouri Operations Company ("KCPL-GMO") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.

On April 30, 2012, MoPSC Staff filed its Status Report. Staff recommended that the Commission schedule a joint prehearing conference in this proceeding and in File No. EO-2012-0135.

In the Matter of The Empire District Electric Company's Submission of Its Interim Report Regarding Participation in the Southwest Power Pool, Inc.

On May 1, 2012, Dogwood Energy, LLC filed an Application to Intervene.

On May 11, 2012, SPP filed a Motion to Intervene.
Application of Southwestern Public Service Company ("SPS") to Amend a Certificate of Convenience and Necessity for a Proposed Tuco to Texas/Oklahoma Interconnection 345-KV Transmission Line Within Hale, Floyd, Motley, Cottle, Briscoe, Hall, Childress, Donley, Collingsworth, and Wheeler Counties

On May 1, 2012, the PUCT issued an Order approving SPS' Application to amend a Certificate of Convenience and Necessity for a proposed 345 kV transmission line within Hale, Floyd, Motley, Cottle, Briscoe, Hall, Childress, Donley, Collingsworth, and Wheeler Counties, Texas.

Application of Entergy Texas, Inc. ("ETI") for Approval to Transfer Operational Control of its Transmission Assets to the MISO RTO


On May 1, 2012, the PUCT issued Order no. 1, Requiring Comments on Sufficiency of Application and Notice and Requiring Agreed Proposed Procedural Schedule.

Not later than May 22, 2012, Commission Staff shall file comments/recommendations on the sufficiency of the application and notice, including whether additional notice may be required to comply with the applicable procedural rules, and proposed protective order.

Not later than May 22, 2012, the parties shall file a joint proposed procedural schedule.

On May 1, 2012, East Texas Electric Cooperative, Inc. filed a Motion to Intervene.

On May 1, 2012, East Texas Electric Cooperative, Inc. filed a Motion to Dismiss Entergy Texas, Inc.'s Application to Transfer Operational Control, without Prejudice and Request for Expedited Consideration and Ruling.

On May 2, 2012, the PUCT issued an Order Requiring and Requesting Submissions of Lists of Issues. Applicant, Commission Staff, and any other interested person may file a list of issues to be addressed in this docket by May 10, 2012. The Commission will consider and adopt a preliminary order in this docket in the open meeting currently scheduled to convene on May 18, 2012.

On May 2, 2012, the PUCT issued an Order Requesting Briefing on Threshold Legal/Policy Issues. Briefs are due by Noon on May 10, 2012 and shall be limited to 8 pages. The Commission will consider and adopt a preliminary order that will include its rulings on these briefing issues and any additional issues to be addressed in this docket at the open meeting currently schedule to convene on May 18, 2012.

On May 3, 2012, the Cities filed a Motion to Intervene.

On May 3, 2012, the Midwest Independent Transmission System Operator, Inc. filed a Motion to Intervene.

On May 4, 2012, Commission Staff filed its Response to Order No. 1. Staff requested the referral of this proceeding to the State Office of Administrative Hearings (SOAH).

On May 4, 2012, Entergy Texas, Inc. filed is Response and Motion to Clarify Order No. 1,
Regulatory Status Report

Request for Approval of Notice, and Request for Referral to the State Office of Administrative Hearings.

On May 7, 2012, the PUCT issued an Order of Referral to the State Office of Administrative Hearings.

On May 7, 2012, the Texas Industrial Energy Consumers filed a Motion to Intervene.


On May 8, 2012, SPP filed a Motion to Intervene.

On May 8, 2012, East Texas Electric Cooperative, Inc. submitted a letter requesting that the ALJ reject Entergy Texas, Inc.’s proposed notice as inadequate and direct Entergy to modify the notice to properly reflect the requirements of § 39.457.

On May 8, 2012, the PUCT issued an Order Extending Time to Respond to Order Requesting Briefing on Threshold Legal/Policy Issues. Briefs are now due by Noon on May 14, 2012.

On May 8, 2012, the Texas Industrial Energy Consumers submitted a letter requesting that the parties be allowed to present an alternative procedural schedule that would allow the Commission to consider this case at its open meeting scheduled for October 25, 2012.

On May 9, 2012, the PUCT issued SOAH Order No. 1, Approving Form and Manner of Notice, Setting Procedural Schedule, Notice of Prehearing Conference, Setting Hearing on the Merits, Modification of Deadlines, and Granting Motions to Intervene.

Motions to Intervene were granted for East Texas Electric Cooperative, Inc., the Cities, and Midwest Independent Transmission System Operator, Inc.

The Procedural Schedule was set as follows:
May 30, 2012 - Intervention Deadline
May 23, 2012 - Prehearing Conference begins at 10 AM
June 18, 2012 - Objections to Applicant's Direct Testimony
June 22, 2012 - Replies to Objections to Applicant's Direct Testimony
July 2, 2012 - Discovery on Applicant's Direct Case Ends
July 5, 2012 - Intervenor Direct Testimony or Statements of Position
July 10, 2012 - Objections to Intervenor Direct Testimony
July 16, 2012 - Staff Direct Testimony
July 16, 2012 - Responses to Objections to Intervenor Direct Testimony
July 18, 2012 - Intervenor Cross-Rebuttal Testimony
July 23, 2012 - Discovery on Intervenors' and Staff's Direct Case Ends
July 23, 2012 - Objections to Staff's Direct Testimony
July 23, 2012 - Applicant Rebuttal Testimony
July 24, 2012 - Cross-Rebuttal Discovery Ends and Objections to Cross-Rebuttal Testimony
July 26, 2012 - Discovery Ends on Applicant's Rebuttal Testimony
July 26, 2012 - Objections on Applicant's Rebuttal Testimony and Responses to Objections to Staff Direct Testimony
Live at Hearing - Replies to Objections to Cross-Rebuttal Testimony
July 30-August 1, 2012 - Hearing on the Merits

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On May 10, 2012, several Parties filed their List of Issues.

On May 10, 2012, Cottonwood Energy Company, LP, Louisiana Generating, LLC, and NRG Power Marketing, LLC filed a Motion to Intervene.


On May 14, 2012, SPP filed its Brief on Threshold Issues.

On May 14, 2012, PUCT Staff filed a draft Preliminary Order to be discussed at the May 18, 2012 Open Meeting.

On May 15, 2012, Entergy Texas, Inc. filed its Response to East Texas Electric Cooperative, Inc.'s Motion to Dismiss.

On May 15, 2012, Brazos Electric Power Cooperative, Inc. filed a Motion to Intervene.

On May 17, 2012, Southwestern Electric Power Company filed a Motion to Intervene.

On May 17, 2012, Commissioner Anderson filed a memo suggesting revisions to the Preliminary Order.

On May 22, 2012, the State of Texas' agencies and institutions of higher education served by Entergy Texas, Inc. filed a Motion to Intervene.


Staff stated it has not identified any deficiencies in Entergy's Application. Staff stated it supports the adoption of the standard protective order.

On May 22, 2012, the PUCT issued a Preliminary Order.

On May 22, 2012, East Texas Electric Cooperative, Inc. submitted notice that it is withdrawing its Motion to Dismiss dated May 1, 2012, its letter dated May 8, 2012 regarding the notice provided in this docket, and its First Set of RFIs to Energy Texas, Inc. dated May 17, 2012.